
**A NEW APPROACH TO THE SYNTHESIS
OF INTELLIGIBLE FUZZY MODELS
FROM INPUT-OUTPUT DATA**

Carles Garriga-Berga

*Departament d'Electrònica d'Enginyeria i Arquitectura La Salle
Universitat Ramon Llull
Pg. Bonanova, 8 - 08022 Barcelona
cgarriga@salleURL.edu*

*Dissertation for the Ph.D. degree in Electronic Engineering
from Enginyeria i Arquitectura La Salle - Universitat Ramon Llull (URL)*

Preface

This thesis has been written in English. In fact, when I had to begin the writing I had many doubts about the language I should use because English is not my native language, as you may notice from some expressions, for which I apologize.

I am Catalan and I am proud of my nation and my language. At this point I remember the words one of the most relevant personalities in Catalonia, the musician, virtuoso cellist and conductor, Pau Casals, said in the United Nations in defense of truth, peace and freedom and against lies, repression and impositions¹.

I believe all languages can be scientific languages. Not only they may be scientific languages but we must also promote their use in scientific domains.

At this point I remember a clear example, given by a professor, in order to defend the use of all languages in the world of knowledge. She explained through several cases, how the destruction of many native languages in Africa after being absorbed by *theoretically* civilized cultures, caused the loss of many medical procedures which had been transmitted among generations by means of oral communication.

¹"This is the greatest honour of my life. Peace has always been my greatest concern. I learnt to love it when I was but a child. When I was a boy, my mother - an exceptional, marvellous woman -, would talk to me about peace, because at that time there were also many wars. What is more, I am Catalan. Catalonia had the first democratic parliament, well before England did. And the first United Nations were in my country. At that time-the Eleventh Century - there was a meeting in Toluges - now France - to talk about peace, because in that epoch Catalans were already against, against war. That is why the United Nations, which works solely towards the peace ideal, is in my heart, because anything to do with peace goes straight to my heart. I have not played the cello in public for many years, but I feel that the time has come to play again. I am going to play a melody from Catalan folklore: *El cant dels ocells*. Birds sing when they are in the sky, they sing: "Peace, Peace, Peace", and it is a melody that Bach, Beethoven and all the greats would have admired and loved. What is more, it is born in the soul of my people, Catalonia"

Pau Casals - October 24, 1971

Nowadays it is obvious that there is a dominant scientific language which contributes to the communication among the scientific community around the world. Anyway, none of us should forget the use of our language, in order to preserve our knowledge and to be deferent to our origins.

The main reason for writing this thesis in English was in fact the defense of this multicultural need. This is not a contradictory purpose. On the contrary, the use of my native language would have been as feasible as English, but by doing so, I would have gone through much trouble in transmitting my knowledge and my worries to the scientific community.

For this reason I encourage people to develop their scientific work in their native language and later let the dominant scientific language facilitate the communication between different cultures if necessary. But also for this reason I have a copy of this thesis in Catalan.

Abstract

Fuzzy logic has been applied successfully to systems modeling for ages. One of its main advantages is that it provides an understandable knowledge representation. Nevertheless, most investigations have focused their efforts on achieving accurate models and by doing so, they have omitted the linguistic capabilities of fuzzy logic. Thus nowadays, one can find theoretically human-friendly fuzzy models which are usually very difficult to understand (or even impossible).

This thesis researches into the issues related to intelligible fuzzy models, because since science demonstrated the use of fuzzy logic when searching optimal models in terms of error (in fact a fuzzy model is a universal approximator), some but few investigators have focused their efforts in order to achieve really intelligible models in spite of losing some accuracy.

In this work we propose a whole methodology able to find an intelligible fuzzy model in a local manner (rule by rule) from input-output data. In this sense we find the number and position of the necessary fuzzy sets and also the linguistic rules related to them. For this purpose we have developed a hierarchical process which takes into account several steps and techniques, some of which are original contributions. The whole procedure has been studied in detail, as one may expect from a thesis, by arguing the different solutions we have considered in every step.

The resulting method is very simple and also intelligible. Therefore, this solution performs the final models with a low computational cost, but furthermore, allows the tuning of its different options depending on the nature of the problem and the characteristics of the users.

In this thesis we explain the whole methodology and illustrate its advantages (but also its problems) with several examples which are benchmarks in most cases. At the end we conclude that the objective of obtaining a simple but intelligible method able to give intelligible fuzzy models has been accomplished successfully.

Abstracte

La lògica difusa s'ha aplicat de manera eficient a molts problemes de modelats dels seus orígens. Un dels seus principals avantatges és que facilita una representació comprensible del coneixement. No obstant això, la majoria dels investigadors han centrat els seus esforços en aconseguir models precisos i al fer-ho, han omès les capacitats lingüístiques de la lògica difusa. Així, avui en dia un pot trobar models difusos teòricament adreçats als humans però que normalment són molt difícils d'entendre (o fins i tot impossible).

Aquesta tesi investiga els aspectes relacionats amb els models difusos intel.ligibles doncs des que la ciència va demostrar l'aplicació del modelat difús en la cerca de models òptims en termes de l'error (de fet un model difús és un aproximador universal), alguns però pocs investigadors han centrat els seus esforços en aconseguir models realment intel.ligibles tot i perdre certa precisió.

En aquest treball proposem una metodologia completa capaç de trobar un model difús intel.ligible de manera local (regla per regla) a partir de dades d'entrada-sortida. En aquest sentit trobem el número i la posició dels conjunts difusos necessaris i també definim les regles lingüístiques que els relacionen. Per aquest propòsit hem desenvolupat un procés que paulatívament té en compte diversos passos i tècniques, algunes de les quals són contribucions originals. El procediment complet s'ha estudiat en detall tal i com un es pot esperar d'una tesi tot argumentant les diferents solucions que s'han considerat en cada pas.

El mètode resultant és molt simple i també intel.ligible. Per tant, obté els models finals amb un cost computacional baix però a més a més, facilita l'ajust de les seves diferents opcions segons la naturalesa del problema i les característiques dels usuaris.

En aquesta tesi expliquem la metodologia sencera i il.lustrem els seus avantatges (però també els seus problemes) amb diversos exemples que són referents en molts casos. Al final concluem que l'objectiu d'obtenir un mètode simple però intel.ligible capaç de donar models difusos intel.ligibles s'ha assolit satisfactoriament.

Abstracto

La lógica difusa se ha aplicado de manera eficiente a muchos problemas de modelado desde sus orígenes. Una de sus principales ventajas es que facilita una representación comprensible del conocimiento. No obstante, la mayoría de los investigadores han centrado sus esfuerzos en lograr modelos exactos y han omitido las capacidades lingüísticas de la lógica difusa. Así, hoy en día uno puede encontrar modelos difusos teóricamente orientados a los humanos pero que normalmente son muy difíciles de entender (o incluso imposible).

Esta tesis investiga los aspectos relacionados con los modelos difusos inteligibles puesto que desde que la ciencia demostró la aplicación del modelado difuso en la búsqueda de modelos óptimos en términos del error (de hecho un modelo difuso es un aproximador universal), algunos aunque pocos investigadores han centrado sus esfuerzos en lograr modelos realmente inteligibles aun y perder cierta precisión.

En este trabajo proponemos una metodología completa capaz de hallar un modelo difuso inteligible de manera local (regla por regla) a partir de datos de entrada-salida. En este sentido determinamos el número y la posición de los conjuntos difusos necesarios y también definimos las reglas lingüísticas que los relacionan. Para este propósito hemos desarrollado un proceso que paulatinamente tiene en cuenta diversos pasos y técnicas, algunas de las cuales son contribuciones originales. El procedimiento completo se ha estudiado en detalle tal y como uno pede esperar de una tesis, argumentando las diferentes soluciones que se han considerado en cada paso.

El método resultante es muy simple y también inteligible. Por lo tanto, obtiene los modelos finales con un coste computacional bajo que además, facilita el ajuste de sus diferentes opciones según la naturaleza del problema y las características de los usuarios.

En esta tesis explicamos la metodología entera y ilustramos sus ventajas (pero también sus problemas) con diversos ejemplos que son referentes en muchos casos. Al final concluimos que el objetivo de obtener un método simple pero inteligible capaz de dar modelos difusos inteligibles se ha logrado satisfactoriamente.

Acknowledgements

First, I would like to thank my supervisor, Dr. Xavier Vilasís-Cardona, for giving me the chance to investigate in a field which impressed me a lot since I discovered its characteristics, and by providing me with continuous support throughout this work in order to achieve a satisfactory result.

I would also like to remember my colleagues in the Electronics Department in Enginyeria i Arquitectura La Salle for those several discussions and those who have preceded me in this stage of our university activities.

I would also like to mention other researchers all over the world, some of them anonymous, who have made several useful comments and suggestions during this work. Some of them I have met in congresses but many others have been met through the Internet and the new technologies.

Finally I must have a consideration towards my family. At this moment I remember a citation: *what one may accomplish in terms of professional career is quite meaningless compared to the importance of having children and not ruining their lives.* Despite the belief that one can always find a good balance, I have to admit that this is a great truth. I am in debt with my family for their time I have stolen and thus, I dedicate this work to them.

*Carles Garriga-Berga
Catalonia, June of 2005*

Contents

1	Introduction	1
1.1	General background	1
1.2	Problem statement	3
1.3	Major lines of work	5
1.4	Original contribution	6
1.5	Outline of this thesis	6
2	Fundamentals	9
2.1	Fuzzy logic theory	9
2.1.1	Universe of scope	10
2.1.2	Fuzzy sets versus crisp sets	10
2.1.3	Properties of fuzzy sets	12
2.1.4	Fuzzy partitions	13
2.1.5	Basic operations with fuzzy sets	15
2.1.6	Fuzzy relations and compositions	16
2.1.7	Fuzzy logic versus propositional logic	22
2.1.8	Fuzzy systems	31
2.2	Types of fuzzy rule-based systems	39
2.2.1	Mamdani type	40
2.2.2	Takagi-Sugeno type	40
2.2.3	Output singleton type	41
2.3	Criteria about intelligibility and accuracy	42
2.3.1	Trade-off between intelligibility and accuracy	42
2.3.2	Intelligibility criteria	43
2.3.3	Accuracy criteria	47
2.4	Summary	47
3	Methodology	49
3.1	Outline of the method	49
3.2	Grid partition of the UoS with rounded numbers	51
3.3	Optimal fuzzy curves	53

3.3.1	Fuzzy curves	53
3.3.2	Optimal fuzzy curves	57
3.4	Input partition	62
3.4.1	Linearization of the fuzzy curves	63
3.4.2	Round-off errors and rounded values	66
3.4.3	Odd fuzzy curves	69
3.5	Possible output sets	71
3.5.1	Wang&Mendel's alternative	71
3.5.2	Takagi&Sugeno's alternative	73
3.5.3	Removing rules not according to the samples from the rule matrix	77
3.6	Clustering the possible output sets	79
3.6.1	Fuzzy C-means	80
3.6.2	Hierarchical clustering: Chiu's method	81
3.7	Criteria to stop the process	84
3.8	Summary	85
4	Examples	87
4.1	Criteria to evaluate the methodology	88
4.2	A nonlinear static function	89
4.2.1	Problem statement	89
4.2.2	A whole case in detail	90
4.2.3	Analysis of results	100
4.2.4	Model with highest accuracy	103
4.2.5	Comparisons	103
4.2.6	Summary	104
4.3	Predicting two popular time series	104
4.3.1	Box and Jenkins' Gas Furnace Data	104
4.3.2	Mackey-Glass chaotic time series	110
4.3.3	Summary	114
4.4	Two popular control problems	115
4.4.1	Truck and trailer	115
4.4.2	Ball and beam	125
4.4.3	Summary	131
4.5	Short examples	132
4.5.1	The electrical network maintenance	132
4.5.2	Reproduction of a fuzzy system	134
4.5.3	Simplifying quasi-linear fuzzy systems	136
4.5.4	Comparisons with ANFIS	139

5 Conclusions	143
5.1 Results	143
5.2 Strong and weak points	144
5.3 Suggestions for further research	146
A References	149
B List of publications	161
C Algorithms	165

