

#### Departament de Medicina Programa de Doctorat en Medicina

#### **TESI DOCTORAL**

# DETERMINANTS AND EFFECTS OF EXERCISE CAPACITY DECLINE IN PATIENTS WITH CHRONIC OBSTRUCTIVE PULMONARY DISEASE

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## **ANNEX 2**

Articles published in the frame of the present doctoral thesis

## INSPIRATORY CAPACITY-TO-TOTAL LUNG CAPACITY RATIO AND DYSPNOEA PREDICT EXERCISE CAPACITY DECLINE IN COPD

Ramon MA, Ferrer J, Gimeno-Santos E, Donaire-Gonzalez D, Rodríguez E, Balcells E, Batlle J, Benet M, Guerra S, Sauleda J, Ferrer A, Farrero E, Gea J, Barberà JA, Agustí A, Rodriguez-Roisin R, Antó JM, Garcia-Aymerich J.



## INSPIRATORY CAPACITY-TO-TOTAL LUNG CAPACITY RATIO AND DYSPNOEA PREDICT EXERCISE CAPACITY DECLINE IN COPD

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#### ABSTRACT

Background and objective: Exercise capacity decline is a predictor of mortality in patients with chronic obstructive pulmonary disease (COPD). Static pulmonary hyperinflation is a key determinant of exercise performance, but its effect on the longitudinal decline in exercise capacity remains unknown. We aimed to study the relationship between the inspiratory capacity-to-total lung capacity (IC/TLC) ratio and exercise capacity decline in COPD.

Methods: We measured IC/TLC and other relevant clinical and functional variables in 342 clinically stable COPD patients. The 6-minute walk distance (6MWD) was determined at recruitment and after a mean±SD of 1.7±0.3 years. The annual rate of change in 6MWD was calculated. Multiple imputation to account for losses during follow-up was implemented and multivariate regression was used to analyse predictive factors of 6MWD decline.

Results: Mean decline rate in the 6MWD was  $21.9\pm34.1$ m/y. In the bivariate analysis, patients with higher levels of IC/TLC had greater 6MWD decline (-27.4±42.5, -24.9±36.5 and -13.4±39.9 m/year in the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> tertile of IC/TLC, respectively; p-for-trend=0.018). From other potential risk factors considered, dyspnoea, health status, serum C-reactive protein and Borg dyspnoea score at the end of the exercise test were related to exercise capacity decline. In the multivariate regression model, only IC/TLC (β= 0.7m/y per each percentage unit of IC/TLC; p=0.007) and dyspnoea (mMRC≥2) (β=-14.6m/y; p=0.013) were associated with the annual rate of 6MWD change.

Conclusions: IC/TLC and dyspnoea in clinically stable COPD patients predict their exercise capacity decline and may help to guide early therapeutic interventions.

**KEYWORDS**: Cohort study; COPD; Disease progression; Exercise capacity; Sixminute walk distance.

SHORT TITLE: IC/TLC and dyspnoea predict 6MWD decline.

#### LIST OF ABBREVIATIONS

BMI: body mass index

COPD: chronic obstructive pulmonary disease

DLco: diffusing capacity for carbon monoxide

FEV1: forced expiratory volume in 1s

GOLD: Global Initiative for Chronic Obstructive Lung Disease

IC: inspiratory capacity

MEP: maximum expiratory pressures

MIP: maximum inspiratory pressures

mMRC: Modified Medical Research Council dyspnoea scale

PaO2: arterial oxygen tension

RV: residual volume

SGRQ: Saint George's respiratory questionnaire

TLC: total lung capacity

6MWT: 6-minute walk test

6MWD: 6-minute walk distance

#### INTRODUCTION

Patients with chronic obstructive pulmonary disease (COPD) frequently suffer a limitation of their exercise capacity, which is multifactorial and results from complex interactions between physical, both pulmonary and non-pulmonary, and psychological factors.<sup>2-4</sup> Exercise capacity limitation worsens health status and reduces survival.<sup>5,6</sup> Furthermore, exercise capacity often declines over time.<sup>7-10</sup> This decline appears to be independent of longitudinal changes in airflow limitation<sup>9,10</sup> and it is a strong predictor of mortality.<sup>8-10</sup> Finally, exercise capacity decline can be prevented with therapeutic strategies including pulmonary rehabilitation.<sup>11</sup> Therefore, it is important to identify what factors are associated with exercise capacity decline in COPD.

To date, only a few studies have investigated risk factors associated with exercise capacity decline in COPD, mainly using the 6-minute walk test (6MWT) as an index of exercise capacity.<sup>7,12,13</sup> These studies identified that older age,<sup>4</sup> lower body mass index (BMI),<sup>4</sup> more severe airflow limitation (FEV<sub>1</sub>)<sup>4,7,12</sup> and a lower level of regular physical activity<sup>12</sup> were related to exercise capacity deterioration. However, these previous studies<sup>7,12,13</sup> suffered from varying proportions of losses during follow-up, which conditions their results to a certain degree of bias due to selective attrition.<sup>14</sup> Nowadays statistical methods are available to account for missing values during follow-up, thus increasing the accuracy of estimated statistical associations.<sup>15</sup>

Moreover, the relationship between lung hyperinflation, a variable contributing to dyspnoea, morbidity and mortality in COPD, <sup>16–18</sup> and exercise capacity decline has not been reported in any of the of the abovementioned studies. <sup>7,12,13</sup> Inspiratory capacity-to-total lung capacity (IC/TLC) ratio, a static hyperinflation-derived variable reflecting the mechanical inspiratory constraint, has been shown to be an important predictor of exercise performance in cross-sectional studies <sup>16,19–21</sup>. Therefore, IC/TLC might be a determinant of exercise capacity deterioration in COPD.

We hypothesised that IC/TLC can be a predictor of the decline in exercise capacity in COPD patients and tested this contention in 342 COPD patients prospectively followed-up in the "Phenotype and Course of COPD (PAC-COPD)" Study.<sup>22</sup>

#### METHODS

#### Study design and participants

Subjects were recruited during their first hospitalisation due to a COPD exacerbation in 9 teaching hospitals in Spain between January 2004 and March 2006. The diagnosis of COPD (post-bronchodilator forced expiratory volume in the first second to forced vital capacity ratio (FEV<sub>1</sub>/FVC) <0.70) was confirmed in stable clinical conditions, at least 3 months after discharge, during a first evaluation visit. <sup>23</sup> Following the original protocol, 18 to 24 months (2006-2008) after this first visit, patients were invited to participate in a second evaluation for follow-up assessment. Of the 342 patients originally recruited into the PAC-COPD study<sup>24</sup> 226 (66%) had measures of exercise capacity in the two evaluation visits. Subjects lost to follow-up were older, had more co-morbidities and lower FEV<sub>1</sub>, as reported elsewhere. <sup>25</sup> The Clinical Research Ethical Committee of each centre approved the study and written informed consent was obtained from all participants.

#### Measurements

Exercise capacity was assessed by the 6MWT.<sup>26</sup> In the first visit, patients completed two tests with at least a 30-min rest between them, and the longest of both 6-minute walk distances (6MWD) was used for analysis. Only one test was conducted in the second evaluation. The annual rate of change in exercise capacity was defined as the difference between the distance walked at the second evaluation minus that at baseline divided by follow-up time in each subject. Heart rate, oxygen saturation, dyspnoea and fatigue score (Borg scale)<sup>27</sup> were collected before and at the end of each exercise test. At baseline, while on stable conditions, patients also underwent complete lung function tests (forced spirometry, plethysmographic lung volumes, diffusing capacity for carbon monoxide (DLco) and arterial blood gases). Static lung hyperinflation was considered as any value of TLC above 120% of predicted.<sup>28</sup> IC/TLC was taken as an index of inspiratory constraint derived from static hyperinflation of the lung.<sup>17</sup> For analysis, disease severity was classified according to the American Thoracic Society and European Respiratory Society (ATS/ERS) criteria.<sup>29</sup>

Accepted for publication in Respirology on the 3th of September 2015

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Patients also answered a questionnaire that included socio-demographic data, smoking, physical activity, dyspnoea (modified Medical Research Council), comorbidities, health status (St George's Respiratory Questionnaire), anxiety and depression. Information on concomitant medications use, continuous long-term oxygen therapy, participation in pulmonary rehabilitation programmes and exacerbations in the previous year (defined as a worsening of the patient's respiratory symptoms that required a change in medication) was obtained from medical records. Data on body mass index (BMI), fat free mass index, airway inflammatory markers, serum levels of C-reactive protein and tumour necrosis factor- $\alpha$ , cardiac function by Doppler echocardiography, emphysema quantification by High-Resolution Computed Tomography (HRCT), maximum inspiratory and expiratory pressures (MIP and MEP, respectively) and handgrip muscle force were collected at baseline following standardised methodology. Detailed information about the methods, questionnaires, standardisation of the tests, and fieldwork supervision has been previously reported.  $^{23}$ 

#### Statistical analysis

Sample size power estimation is detailed in the Online Supplement.

To account for selective attrition and missing values, we used multiple imputation (20 times) through chained equations. <sup>15</sup> By performing these analyses all data from 342 PAC-COPD patients were included. Characteristics of study participants using complete cases and imputed datasets have been previously reported. <sup>25</sup>

IC/TLC, as a continuous variable or categorized in tertiles, was selected a priori as the main exposure variable. The bivariate association between IC/TLC, as well as other potential determinants of exercise capacity decline, and change in 6MWD (dependent variable) were analysed using Students' t test or ANOVA, as appropriate depending on the number of categories of the independent variable. Those of them that were significantly related to the outcome were then introduced in a multiple linear regression model to determine the predictors of exercise capacity decline, after adjusting for baseline 6MWD. Variables were kept in the model if they were significantly associated with the outcome and/or they modified (at least by 10%) the coefficient of other variables in the model. Goodness of fit was assessed by means of normality of residuals, heteroscedasticity, linearity, collinearity and identification of influential data. Because

previous research has identified different determinants of exercise capacity in obese and normal weighted COPD patients<sup>31</sup>, we stratified the models according to BMI. Additional analyses using residual volume/total lung capacity (RV/TLC) as a marker of air trapping were also implemented. Finally, sensitivity analyses were conducted (i) using complete case analyses, (ii) measuring changes in the 6MWD as percentage with respect to baseline level, (iii) excluding patients enrolled in pulmonary rehabilitation programs, and (iv) forcing into the model variables previously reported to be associated with 6MWD decline.<sup>7,12,13</sup> Analysis was conducted using Stata 12.1 (StataCorp, College Station, TX, USA).

#### RESULTS

Table 1 shows the main clinical and functional characteristics of the 342 study participants at baseline. Patients were mostly male (92%) with a mean $\pm$ SD age of 67.9 $\pm$ 8.6 years. Airflow limitation was moderate to severe in the majority of patients (mean post-bronchodilator FEV<sub>1</sub> was 54 $\pm$ 17% of predicted) but there was significant variability. Static hyperinflation was present in 67 (20%) patients. Thirteen (5%) patients were enrolled in pulmonary rehabilitation programs, 8 (2%) were on continuous long-term oxygen therapy, and 120 (35%) on chronic inhaled steroids.

Subjects were followed-up for a mean±SD of 1.7±0.34 years. The mean±SD 6MWD was 433±93 m at baseline and 396±99 m at the second evaluation. Mean change in 6MWD was -21.9±34.1 m/year.

In the bivariate analysis, patients with higher levels of static hyperinflation (i.e., low IC/TLC) had greater 6MWD decline (-27.4±42.5, -24.9±36.5 and -13.4±39.9 m/year in the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> tertile of IC/TLC, respectively; p-for-trend=0.018). From other potential risk factors considered, dyspnoea, health status, serum C-reactive protein and Borg dyspnoea score at the end of the exercise test were related to exercise capacity decline in the bivariate analyses (Figure 1). Other potential determinants did not relate to 6MWD decline (Figures S1, S2 and S3 in the Online Supplementary Information).

 $\text{FEV}_1$  was not associated with exercise capacity decline neither as a continuous nor as a categorical variable.

In the multivariate regression model (Table 2), only IC/TLC ratio and dyspnoea remained statistically significantly associated with the 6MWD decline, after adjusting for baseline 6MWD. The adjusted predicted change in 6MWD (and 95% confidence interval) was plotted against IC/TLC ratio (Figure 2), and shows that the lower the baseline IC/TLC ratio, the greater the longitudinal decline in exercise capacity in a linear dose-response shape.

Additional analyses using RV/TLC as a marker of air trapping (Table S1 in Online Supplement), stratification according to BMI (Table S2 in Online Supplement), as well as sensitivity analyses (Table S3, S4, S5 and S6 in Online Supplement) yielded very similar results. Linear regression goodness of fit tests did not reveal any abnormality.

#### DISCUSSION

Our study shows that IC/TLC and dyspnoea are associated with 6MWD decline in moderate-to-severe clinically stable COPD patients. However, other variables traditionally considered of clinical relevance in this setting, such as age, BMI or severity of airflow limitation ( $FEV_1$ ), did not influence 6MWD decline in this population once adjusted for selective attrition.

#### Previous studies

Three previous studies have investigated factors related to 6MWD decline in COPD. 7.12.13 Spruit *et al.* 13 reported in the ECLIPSE cohort that age, BMI and GOLD grades of airflow limitation were significantly related (after adjustment) with exercise capacity deterioration. In the Bergen COPD cohort, Frisk *et al.* 12 found that airflow limitation severity and self-reported physical activity predicted changes in 6MWD in a multivariate regression model. Finally, Casanova *et al.*, 7 using data from the BODE cohort, found that 6MWD declined significantly in patients with FEV<sub>1</sub> lower than 50%

of predicted, although the association was not adjusted for potentially relevant confounders. None of these studies tested the role of pulmonary function tests other than forced spirometry (i.e., lung volumes or CO diffusing capacity), systemic inflammation, cardiac function, skeletal muscle force and/or concomitant treatments, among others.

A novel finding of our study is that IC/TLC predicts exercise capacity decline. Lung hyperinflation is frequently observed in patients with COPD. It has potentially negative pulmonary and cardiovascular consequences<sup>32</sup> and is a powerful predictor of mortality in these patients<sup>17</sup>. We add to this knowledge by showing that COPD patients with lower IC/TLC experience a higher longitudinal decline in 6MWD. This is in agreement with previous experimental research that demonstrated that a low IC/TLC predisposes to critical mechanical constraints on tidal volume expansion as ventilatory requirements increase, which in turn results in reduced exercise capacity. <sup>16,33</sup>

Secondly, we found that exertional dyspnoea, a cardinal symptom of patients with COPD,<sup>30</sup> was also related to the decline in exercise capacity, independently of static hyperinflation. A strong association between dyspnoea and exercise capacity has been reported in previous cross-sectional studies.<sup>34</sup> Also some interventions, such as pulmonary rehabilitation, result in an improvement of both parameters.<sup>1</sup> Then, it is plausible that those COPD patients with worse baseline dyspnoea in our study showed a greater decline in exercise capacity.

At variance with previous studies, we did not observe an association between baseline  $FEV_1$  and exercise capacity decline. Some explanations can be conceived to interpret this discrepancy including: 1) Differences in patients' characteristics among studies. Our COPD patients walked somewhat more at baseline than those from the ECLIPSE and the BODE cohort (mean 369 m and 388 m respectively) and had lower airflow limitation than participants in the BODE cohort (mean  $FEV_1=39\%$  predicted). This fact could have lead to the detection of different determinants of exercise capacity decline. 2) Failure to consider patients lost to follow up in the analysis of previous studies. Between 16% and 45% of patients were lost to follow up in these previous studies and

they were not included in the analysis. Because patients lost to follow up in longitudinal studies usually have poorer health status and lower FEV<sub>1</sub> values (as also observed in our PAC-COPD cohort), not including them in the analysis may lead to biased results (usually called survival bias).<sup>14</sup> Among the several statistical techniques to deal with survival bias, we used multiple imputation.<sup>15</sup> For didactic purposes, we repeated our analysis with a complete case approach, which resulted in an *spurious* association between FEV<sub>1</sub> and 6MWD decline. Therefore, consideration of patients lost to follow up in longitudinal analyses using appropriate statistical techniques not only prevents missing relevant information, but, most importantly, reduces bias which increases the validity of the estimates.<sup>35</sup>

Also, at difference with Spruit *et al.*, <sup>13</sup> BMI did not play a role in the 6MWD annual decline in our study patients. This may be partly due to the lack of variability in BMI in our COPD study group, preventing us to detect possible association or interactions with exercise capacity decline. Also, the lack of association between 6MWD decline and participation in pulmonary rehabilitation is likely explained by the reduced number of patients enrolled in such programmes in our study sample (n=13).

#### Clinical implications

Timed walking tests, and specially the 6MWD, have been extensively used in the clinical evaluation of patients with COPD, mainly because of their simplicity, reliability and safety. At present, it is recognized that this test adds useful information for the clinical staging of patients (e.g., the BODE index<sup>36</sup>). Moreover, longitudinal changes in the 6MWD are strong predictors of mortality.<sup>8-10</sup> It is therefore important to identify factors associated with this decline in exercise capacity in order to better tailor potential therapeutic interventions, such as pulmonary rehabilitation, that can modify this course. The 2013 ATS/ERS Task Force statement on pulmonary rehabilitation highlights that any individual with chronic respiratory disease could be referred to a pulmonary rehabilitation programme. However, in real life such programmes are not accessible to many COPD patients from around the world due to the cost-constraint situation in many healthcare systems. Our group previously reported that hospital admissions, frequently observed in COPD patients during the course of their disease, may accelerate the

decline of exercise capacity in these patients,<sup>25</sup> suggesting that patients admitted to a hospital are potential candidates to benefit the most from pulmonary rehabilitation. Our current analysis extends these previous observations and shows that the assessment of clinically stable COPD patients might help clinicians to predict future changes in exercise capacity. We propose that severe dyspnoea and IC/TLC, because of their association with exercise capacity decline, could be used as indicators to prioritise candidates for pulmonary rehabilitation.

Finally, our results might also help to identify those COPD patients who could benefit most from treatments that enable to increase tidal volume during activities, including some drugs and lung volume reduction strategies, thus reducing exertional dyspnoea and interrupting the vicious cycle of worsening exercise capacity.<sup>37</sup>

#### Strengths and limitations

The consideration of static volumes and other relevant clinical and functional variables not covered in previous research is a clear strength of our study. Likewise, the large cohort of COPD patients (all recruited at the same disease evolution stage) with wide spectrum of disease severity is another strength. Finally, we used multiple imputations to account for selective attrition and missing values, as missing data could have introduced bias in our estimates.<sup>14</sup> Some limitations of our study, however, should also be noted and discussed. First, even though the PAC-COPD study had no exclusion criteria in relation to sex, few women were included, so we cannot generalise the results to both sexes. Also, since patients were recruited at their first hospitalisation they may not represent the COPD population at large. Finally, only two evaluations were performed during the study period and the time span between them was relatively short (1.7 y).

#### Conclusions

IC/TLC and dyspnoea in clinically stable COPD patients predict their exercise capacity decline and may help to guide early therapeutic interventions.

Accepted for publication in Respirology on the 3th of September 2015

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#### ACKNOWLEDGEMENTS

The "Phenotype and Course of COPD (PAC-COPD)" Study Group: Centre for Research in Environmental Epidemiology (CREAL), Barcelona: Josep M Antó (Principal Investigator), Judith Garcia-Aymerich (project coordinator), Marta Benet, Jordi de Batlle, Ignasi Serra, David Donaire-Gonzalez, Stefano Guerra; Hospital del Mar-IMIM, Barcelona: Joaquim Gea (centre coordinator), Eva Balcells, Àngel Gayete, Mauricio Orozco-Levi, Ivan Vollmer; Hospital Clínic-Institut D'Investigacions Biomèdiques August Pi i Sunyer (IDIBAPS), Barcelona: Joan Albert Barberà (centre coordinator), Federico P Gómez, Carles Paré, Josep Roca, Robert Rodriguez-Roisin, Àlvar Agustí, Xavier Freixa, Diego A Rodriguez, Elena Gimeno-Santos, Karina Portillo; Hospital General Universitari Vall D'Hebron, Barcelona: Jaume Ferrer (centre coordinator), Jordi Andreu, Esther Pallissa, Esther Rodríguez; Hospital de la Santa Creu i Sant Pau, Barcelona: Pere Casan (centre coordinator), Rosa Güell, Ana Giménez; Hospital Universitari Germans Trias i Pujol, Badalona: Eduard Monsó (centre coordinator), Alicia Marín, Josep Morera; Hospital Universitari de Bellvitge, Institut d'Investigació Biomèdica de Bellvitge (IDIBELL), L'Hospitalet de Llobregat: Eva Farrero (centre coordinator), Joan Escarrabill; Hospital de Sabadell, Corporació Parc Taulí, Institut Universitari Parc Taulí (Universitat Autònoma de Barcelona), Sabadell: Antoni Ferrer (centre coordinator); Hospital Universitari Son Dureta, Palma de Mallorca: Jaume Sauleda (centre coordinator), Bernat Togores; Hospital Universitario de Cruces, UPV, Barakaldo: Juan Bautista Gáldiz (centre coordinator), Lorena López; Instituto Nacional de Silicosis, Oviedo: José Belda.

Funding: The PAC-COPD Study is funded by grants from Fondo de Investigación Sanitaria (FIS PI020541), Ministry of Health, Spain; Agència d'Avaluació de Tecnologia i Recerca Mèdiques (AATRM 035/20/02), Catalonia Government; Spanish Society of Pneumology and Thoracic Surgery (SEPAR 2002/137); Catalan Foundation of Pneumology (FUCAP 2003 Beca Marià Ravà); Red RESPIRA (RTIC C03/11); Red RCESP (RTIC C03/09), Fondo de Investigación Sanitaria (PI052486); Fondo de Investigación Sanitaria (PI052302); Fundació La Marató de TV3 (num. 041110); DURSI (2005SGR00392); and unrestricted educational grants from Novartis

Farmacèutica, Spain, and AstraZeneca Farmacéutica, Spain. CIBERESP and CIBERES are funded by the Instituto de Salud Carlos III, Ministry of Health, Spain. No involvement of funding sources in study design; in the collection, analysis, and interpretation of data; in the writing of the report; nor in the decision to submit the article for publication. Researchers are independent from funders.

Accepted for publication in Respirology on the 3th of September 2015

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Table 1. Characteristics of study participants.

	All patients*
	n=342
Anthropometric and clinical data	
Males	314 (92)
Age (years)	67.9 ± 8.6
Current smokers	120 (35)
Active workers	61 (18)
YPAS physical activity index (score 0 to 137)	34 (20-53)
SGRQ total score (0 to100)	33 (23-48)
Charlson index ≥2, n (%)	194 (57)
Body mass index (kg/m²)	$28.2 \pm 4.7$
Fat free mass index (kg/m²)	$19.7 \pm 3.1$
mMRC dyspnoea score (0 to 4)	2 (2-3)
Exacerbation in previous year >1 <sup>†</sup>	141 (41)
nflammatory markers	
Interleukin-6 (pg/ml)	128 (43-259)
C-reactive protein (mg/l)	3.8 (1.7-7.1)
Tumour necrosis factor-α (pg/l)	2.1 (0.5-10.2)
ulmonary function and blood gases	
Postbronchodilator FEV1 (% pred)	54.4 <u>+</u> 17.2
Airflow limitation severity <sup>‡</sup>	
Mild (FEV <sub>1</sub> $\geq$ 80%)	19 (6)
Moderate (FEV <sub>1</sub> ≥50%, <80%)	164 (48)
Severe (FEV <sub>1</sub> ≥30%, <50%)	132 (38)
Very severe (FEV <sub>1</sub> <30%)	27 (8)
IC (% pred)	$67.3 \pm 20.2$
IC/TLC (%)	$30.9 \pm 9.8$
TLC (% pred)	$100.4 \pm 18.4$
RV/TLC (%)	55.9 + 10.5
DLco (% pred)	64.4 ± 22.7
PaO <sub>2</sub> (mmHg)	74.4 ± 10.9
Cardiac function	
Left Ventricle Ejection Fraction (%)	$59.0 \pm 8.9$
Transtricuspid regurgitant velocity (m/sec)	$2.4 \pm 0.9$
Right ventricle-Mid end-diastolic diameter (mm)	$31.6 \pm 4.5$

Muscle force and Exercise capacity

Respiratory muscle force (MIP, %)	68 ± 27
Peripheral muscle force (hand-grip, Kg)	$30.5 \pm 8.5$
6MWD at baseline (meters)	$433 \pm 93$
6MWD at follow-up visit (meters)	$396 \pm 99$
Annual change in 6MWD (meters/year)	-21.9 ± 34.1

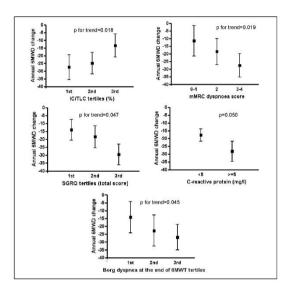
Data are presented as n (%), mean±SD or median (P<sub>25</sub>-P<sub>75</sub>). YPAS: Yale physical activity survey; SGRQ: Saint George's respiratory questionnaire; mMRC: modified Medical Research Council dyspnoea scale; FEV<sub>1</sub>: forced expiratory volume in 1 second; IC: inspiratory capacity; IC/TLC: inspiratory capacity/total lung capacity; TLC: total lung capacity; RV/TLC: residual volume/total lung capacity; DLco: diffusing capacity for carbon monoxide; PaO<sub>2</sub>: arterial oxygen tension; MIP: maximum inspiratory pressure; 6MWD: 6-minute walk distance. \*: Descriptive analyses conducted using imputed datasets where existing missing data. Characteristics of study participants at baseline using complete cases and imputed datasets have been previously reported<sup>25</sup>. †: All patients had at least one exacerbation in the previous year, as they were recruited during their first COPD admission. ‡: According to the criteria of the ATS/ERS. <sup>29</sup>

**Table 2.** Adjusted predictive factors of exercise capacity decline in 342 COPD patients followed up for 1.7 years.

_	All patients (n=342)	
-	Coefficient† (95%CI)	p
Constant*	-14.3 (-21.8; -6.7)	< 0.001
Baseline IC/TLC (%)	0.7 (0.2; 1.2)	0.007
Significant dyspnoea (mMRC≥2) at baseline	-14.6 (-26.2; -3.1)	0.013
SGRQ at baseline	-0.1 (-0.5; 0.3)	0.691
C-reactive protein at baseline (mg/l)	-0.4 (-2.8; 2.1)	0.777
Borg dyspnoea at the end of 6MWT	-0.8 (-2.9; 1.3)	0.457
Baseline 6MWD (meters)	-0.2 (-0.3; -0.1)	< 0.001
Adjusted R <sup>2</sup>	0.137	

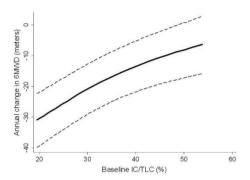
95% CI: 95% confidence interval; mMRC: Modified Medical Research Council dyspnoea scale; IC/TLC: inspiratory capacity/total lung capacity; SGRQ: Saint George's respiratory questionnaire; 6MWD: 6-minute walk distance; 6MWT: 6-minute walk test. \*: Adjusted mean value based on the linear regression equation corresponding to the mean change in 6-minutes walking distance in a subject with mMRC<2 and mean IC/TLC ratio, SGRQ, C-reactive protein, Borg dyspnoea at the end of 6MWT and mean baseline 6-minute walk distance. Negative values represent decline. †: Coefficients are expressed as changing meters of the sixminute walk distance per (i) each unit of the continuous covariates, or (ii) a change with respect to reference category in categorical covariates.

**Figure 1.** Factors associated to the annual change in 6-minute walk distance (6MWD) in 342 COPD patients.



Data are presented as mean and 95% confidence intervals. Change in 6-minute walk distance is shown in meters/year. Negative values represent decline. 6MWD: 6-minute walk distance; IC/TLC: inspiratory capacity/total lung capacity; mMRC: Modified Medical Research Council dyspnoea scale; SGRQ: Saint George's respiratory questionnaire; 6MWT: 6-minute walk test.

**Figure 2.** Mean (and 95% confidence intervals) annual decline in 6MWD according to IC/TLC. From a linear regression model with IC/TLC as a continuous variable, and adjusted for dyspnoea, quality of life, C-reactive protein, and baseline 6MWD.



Accepted for publication in Respirology on the 3th of September 2015  $$\rm 23$$ 

#### SUPPLEMENTARY INFORMATION

### INSPIRATORY CAPACITY-TO-TOTAL LUNG CAPACITY RATIO AND DYSPNOEA PREDICT EXERCISE CAPACITY DECLINE IN COPD

Maria A Ramon, Jaume Ferrer, Elena Gimeno-Santos, David Donaire-Gonzalez, Esther Rodríguez, Eva Balcells, Jordi de Batlle, Marta Benet, Stefano Guerra, Jaume Sauleda, Antoni Ferrer, Eva Farrero, Joaquim Gea, Joan A Barberà, Alvar Agustí, Robert Rodriguez-Roisin, Josep M Antó, Judith Garcia-Aymerich, and the PAC-COPD Study Group.

#### Methods – Complete version

- Table S1. Adjusted predictive factors of exercise capacity decline in 342 COPD patients followed up for 1.7 years (linear regression model), <u>using RV/TLC as a marker of air trapping.</u>
- Table S2. Adjusted predictive factors of exercise capacity decline in 342 COPD patients followed during 1.7 years (linear regression model), according to baseline BMI.
- Table S3. Adjusted predictive factors of exercise capacity decline in 342 COPD
  patients followed during 1.7 years (linear regression model), <u>using complete cases</u>
  and imputed datasets.
- Table S4. Adjusted predictive factors of exercise capacity decline expressed in
   absolute values and as percentage with respect to baseline level in 342 COPD
   patients followed during 1.7 years (linear regression model).
- Table S5. Adjusted predictive factors of exercise capacity decline in 342 COPD patients followed during 1.7 years (linear regression model), excluding patients enrolled in pulmonary rehabilitation programs.
- Table S6. Adjusted predictive factors of exercise capacity decline in 342 COPD patients followed during 1.7 years (linear regression model), <u>forcing variables</u> previously related to 6MWD decline.

- Figure S1. Relationship between relevant socio-demographic, life-style and clinical data and the annual change in 6-minute walk distance (6MWD) in 342 COPD patients.
- Figure S2. Relationship between anxiety and depressive symptoms, lung function parameters, blood gases, muscle force, cardiac function and the annual change in 6minute walk distance (6MWD) in 342 COPD patients.
- Figure S3. Relationship between relevant inflammatory markers, bronchial colonisation and respiratory treatments at baseline and the annual change in 6minute walk distance (6MWD) in 342 COPD patients.
- Supplement references.

Accepted for publication in Respirology on the 3th of September 2015

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