

NOVEL INSIGHTS IN NICOTINE ADDICTION: FOCUS ON COGNITIVE FUNCTION

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***"It is our choices, that show what we truly are, far more than our
abilities"***

Harry Potter and the Chamber of secrets

JK Rowling

ABSTRACT

Cigarette smoking continues to be leading cause of preventable cause of death worldwide. Cognitive modulation by nicotine seems to be a key factor in nicotine addiction. Several studies indicate that initial nicotine intake has a positive effect on cognition, which may contribute to the development of nicotine dependence. Conversely, when chronic nicotine treatment ceases, cognitive functioning is altered. The orexin and the endocannabinoid system have been reported to play a crucial role in different stages of nicotine addiction and in learning and memory processes. Our results show that orexin receptors influence the pro-cognitive effects of acute nicotine treatment, whereas the endocannabinoid system acting through CB1R modulates the cognitive deficits associated with nicotine withdrawal. In addition, our work reveals an inflammatory process associated with the cognitive deficits of early nicotine abstinence. Given that the presence of cognitive alterations is associated with increased smoking relapse risk, our results identify CB1R and anti-inflammatory drugs as new potential therapeutic strategies for nicotine dependence.

RESUMEN

El consumo de cigarrillos es una de las principales causas de muerte prevenible en el mundo. Los efectos de la nicotina sobre la memoria parecen ser un factor clave en la adicción a la nicotina. Diversos estudios indican que el consumo inicial de nicotina tiene un efecto positivo sobre la cognición, lo que puede contribuir al desarrollo de la dependencia de la nicotina. Por el contrario, cuando el consumo de nicotina cesa, se altera el funcionamiento cognitivo. Las orexinas y el sistema endocannabinoide desempeñan un papel crucial en las diferentes etapas de la adicción a la nicotina y en los procesos de aprendizaje y memoria. Nuestros resultados demuestran que los receptores de orexina mejoran la memoria inducida por un tratamiento agudo de nicotina, mientras que el sistema endocannabinoide, actuando a través de los receptores CB1, modula los déficits cognitivos asociados con la abstinencia de nicotina. Además, hemos revelado que un proceso inflamatorio está asociado al desarrollo de los déficits cognitivos de la abstinencia a nicotina. Dado que la presencia de alteraciones cognitivas se asocia con un mayor riesgo de recaída en el hábito de fumar, nuestros resultados identifican a los receptores CB1 y fármacos antiinflamatorios como potenciales nuevas estrategias terapéuticas para la dependencia de la nicotina.

ABREVIATIONS

AMPA: α -amino-3-hydroxy-5-methyl-4-isoxazole-propionic acid

AEA: N-arachidonoyl ethanolamide

2-AG: 2-arachidonoylglycerol

BNST: bed nucleus of the stria terminalis

CB1R: cannabinoid receptor 1

CB2R: cannabinoid receptor 2

CBD: cannabidiol

CNS: central nervous system

CPP: conditioned place preference

CRF: corticotrophin-releasing factor

DG: dentate gyrus

GABA: γ -aminobutyric acid

HPC: hippocampus

LTP: long-term potentiation

NAC: nucleus accumbens

nAChR: nicotinic acetylcholine receptor

NAC: nucleus accumbens

NMDA: N-methyl-D-aspartate

mGlu receptor: metabotropic glutamate receptor

OXR1: orexin receptor-1

ORX2: orexin receptor-2

PFC: prefrontal cortex

PKA: protein kinase A

PKC: protein kinase C

PVN: paraventricular nucleus of the hypothalamus

SVG: subgranular zone

VTA: ventral tegmental area

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Anti-inflammatory agents for smoking cessation? Focus on cognitive deficits associated with nicotine withdrawal

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Involvement of orexin receptors in the improvement of memory induced by acute nicotine

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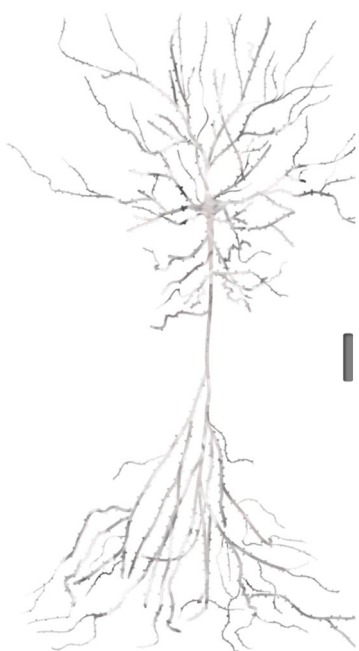
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INTRODUCTION

1. Nicotine

1.1 Tobacco use: a public health concern

Tobacco consumption remains one of the leading causes of preventable disease and death worldwide, resulting in approximately 7 million premature deaths per year. This mortality is mainly due to lung cancer, coronary heart disease, respiratory and chronic obstructive pulmonary disease, which represent an important impact on health care expenses (US surgeon report, 2014). Despite public awareness of the harmful effects of tobacco use, it is estimated that 22.5% (32% men, 7% women) of global adult population are current smokers (Gowing *et al*, 2015).

Tobacco is mainly consumed in the form of cigarettes, which are conceived as the most effective form to deliver nicotine to the organism. Nicotine is the main psychoactive component of tobacco and responsible for the addictive and cognitive properties of tobacco. Aside from nicotine, tobacco smoke contains more than 4000 compounds with many been reported as irritant, carcinogenic and toxic contributing to the development of smoking-related diseases. In an effort to avoid the toxic molecules present in tobacco smoke, some smokers have shifted to the use of smokeless tobacco (e-cigarettes). Since this alternative is perceived as “healthier” than traditional cigarettes its use has rapidly increased and now also represents a major public health concern (Etter and Eissenberg, 2015; Pulvers *et al*, 2016).

Quitting smoking at any age has substantial health benefits, which encourage near 50% of adult smokers to make a quit attempt every year. However, 80% of smokers who try to quit on their own relapse within the first month of abstinence with only a 3% of them remaining abstinent at six months (Benowitz, 2010; Control Center for Disease, 2016). These data

illustrate the powerful force of tobacco addiction and the chronic nature of the disorder.

1.2 Nicotine: neuropharmacology

Nicotine accounts for the 95% of the alkaloids present in the leaves of *Nicotiana tabacum* plant where it acts as a natural insecticide (Schmeltz and Hoffmann, 1977). Nicotine is a tertiary amine consisting of a pyridine and a pyrrolidine ring and is predominantly found as the (S)-nicotine isomer. The (R)-nicotine only represents a 1–0.6% of total nicotine and is less active pharmacologically than (S)-nicotine (Armstrong *et al*, 1998)(Figure 1).

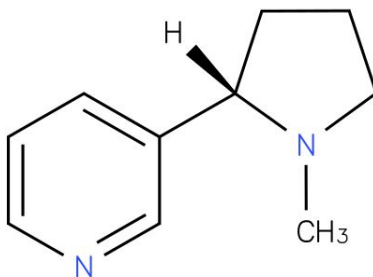


Figure 1. Chemical structure of nicotine [3-(1-methyl-2-pyrrolidinyl)pyridine].

1.2.1 Pharmacokinetics and metabolism

Nicotine is a weak base (pKa of 8.0), whose ability to cross membranes depends on the environmental pH. During tobacco burning, nicotine is transported in small smoke particles into the lungs. Tobacco smoke is an acidic environment (pH 5.5–6.0) where nicotine is ionized and can no longer cross membranes easily. When tobacco smoke arrives to alveoli and small airways, the surrounding fluids (pH 7.4) unionize nicotine so it can be rapidly absorbed and transferred to the bloodstream (Hukkanen *et*

al, 2005). Following a puff, nicotine can reach the brain within 10-20 seconds. This short time gap between smoke inhalation and perception of the effects of nicotine makes smoking the most reinforcing form of nicotine administration facilitating the development of addiction (Hukkanen *et al*, 2005; Perkins *et al*, 2016).

Other forms of nicotine administration, such as nicotine replacement therapy, increase gradually nicotine blood levels, resulting in lower nicotine levels in the brain and poor abuse liability (Flowers, 2016). Smokeless tobacco (e-cigarettes) was first advertised as a tool to reduce nicotine dependence given its reduced nicotine delivery. However, recent studies have shown that e-cigarettes can produce nicotine plasma levels similar to those observed with conventional cigarettes smokers (Dawkins and Corcoran, 2014; Etter and Bullen, 2011; Pulvers *et al*, 2016). Since the amount of nicotine obtained from e-cigarettes may determine its addictiveness, it is not surprising that users, especially young ones, can develop dependence on e-cigarettes (Etter and Eissenberg, 2015).

Nicotine is metabolized primarily by the liver enzyme CYP2A6 (Hukkanen *et al*, 2005). In humans, approximately 70% of nicotine is converted to cotinine. Many animal species, including mice, rabbits, and monkeys, metabolize nicotine primarily to cotinine as in humans (Matta *et al*, 2007). Genetic variants affecting CYP2A6 function are associated with cigarette use (Benowitz, 2009), vulnerability to develop nicotine addiction (Audrain-McGovern *et al*, 2007; Chenoweth *et al*, 2016) and responsiveness to smoking cessation pharmacotherapy (Lerman *et al*, 2015), suggesting that nicotine metabolism contributes to nicotine addiction.

The plasma half-life ($t_{1/2}$) of nicotine in human averages about 2 hours (Hukkanen *et al*, 2005). Nevertheless, rodents display faster nicotine metabolism and are less sensitive to the effects of nicotine ($t_{1/2}$ rat: 45

minutes; $t_{1/2}$ mouse: 6-7 minutes). Thus, it is important to adjust doses in order to obtain a response comparable to humans, when using a murine model of nicotine addiction (Matta *et al*, 2007).

1.2.2 Mechanism of action: nicotinic acetylcholine receptors

Nicotine exerts its physiological and psychological effects through binding and activation of nicotinic acetylcholine receptors (nAChRs). These receptors are widely distributed through the central nervous system (CNS) (Figure 2), being expressed by neurons, microglia and astrocytes (Egea *et al*, 2015; Maurer and Williams, 2017). nAChRs are also abundantly expressed in the peripheral nervous system (Gotti *et al*, 2006) and in non-neuronal cells including keratinocytes, endothelial cells, digestive, respiratory, and immune cells (Albuquerque *et al*, 2009; Kawashima *et al*, 2015).

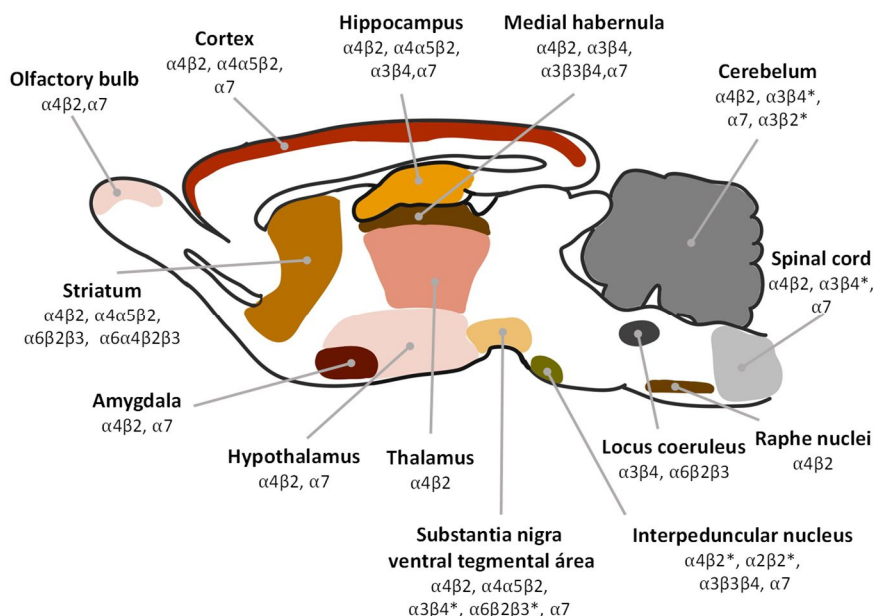


Figure 2 Distribution of nicotinic acetylcholine receptors in the rodent central nervous system (Adapted from Gotti *et al*, 2006).

Nicotinic receptors are ligand-gated ion channels (Changeux, 1990) made of a combination of five alpha ($\alpha 2$ – $\alpha 7$, $\alpha 9$, and $\alpha 10$) and beta subunits ($\beta 2$ – $\beta 4$) arranged around a central permeable pore. The alpha subunits can conform homo-oligomeric and hetero-oligomeric receptors because these subunits contain the binding site for the ligand. In contrast, beta subunits only arrange hetero-oligomeric receptors and exhibit 2 ligands binding sites at the interface between α and β subunits (Le Novère *et al*, 2002) (Figure 3). The different combination of subunits accounts for the diverse structure and function of nAChRs.

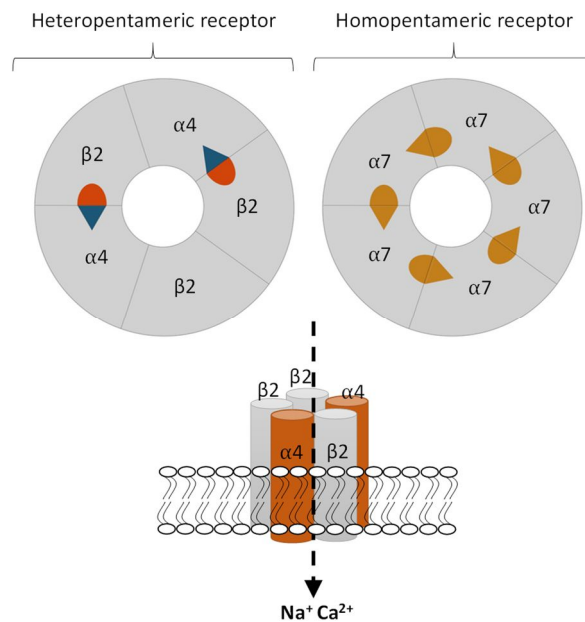


Figure 3. Schematic representation of nicotinic acetylcholine receptors (nAChRs). Nicotinic receptors consist of five transmembrane subunits arranged around a water-filled cation-permeable pore. Nicotinic extracellular domain carries the acetylcholine/nicotine binding sites at the boundary between subunits. The most common nAChRs in the brain are hetero-oligomeric $\alpha 4\beta 2$ nAChRs and homo-oligomeric $\alpha 7$ nAChRs (Adapted from Zoli *et al*, 2015).

Upon binding of the endogenous neurotransmitter acetylcholine, the open conformation of the nAChRs stabilizes and allow the influx of small cations, such as Na⁺, K⁺, and Ca²⁺ (Albuquerque *et al*, 2009; Dani, 2015). This open conformation lasts several milliseconds before it changes to a close-resting state (responsive to agonist) or a close-desensitized state (unresponsive to agonists). Interestingly, nAChRs in the close-resting state have 20 times lower affinity for agonists than nAChRs in the close-desensitized state. In physiological conditions, brief and high concentration of acetylcholine at the synapse induces opening of the nAChRs' pores followed by a rapid recovery from desensitization. However, prolonged exposure to low concentrations of nicotine, as occur during tobacco use, induces activation accompanied by a significant desensitization (Picciotto *et al*, 2008). Since regular smokers maintain levels of circulating nicotine over the course of the day, nAChRs remain longer in a desensitized state promoting an increase in the number of high-affinity receptors (De Biasi and Dani, 2011; Fasoli *et al*, 2016). Long-term forms of nAChRs inactivation could explain several aspects of nicotine addiction. Thus, tolerance and withdrawal might be a consequence of the slow recovery of nAChRs into functional states from different levels of desensitization and inactivation (De Biasi and Dani, 2011; Giniatullin *et al*, 2005; Picciotto *et al*, 2008). In agreement, a human study showed that after 2 days of smoking abstinence, participants' cravings were reduced only when nAChRs were again nearly saturated (Brody *et al*, 2006). Depending on the localization, the gating of the receptor promotes different responses. Thus, activation of nicotinic receptors in presynaptic terminals triggers the direct release of neurotransmitters, including acetylcholine, glutamate, GABA, dopamine, norepinephrine, and serotonin. Postsynaptic nAChRs activation allows the influx of cations

promoting the depolarization of the neuron and, in the case of Ca^{2+} , also induces Ca^{2+} depending signaling cascades (Albuquerque *et al*, 2009; Dani, 2015).

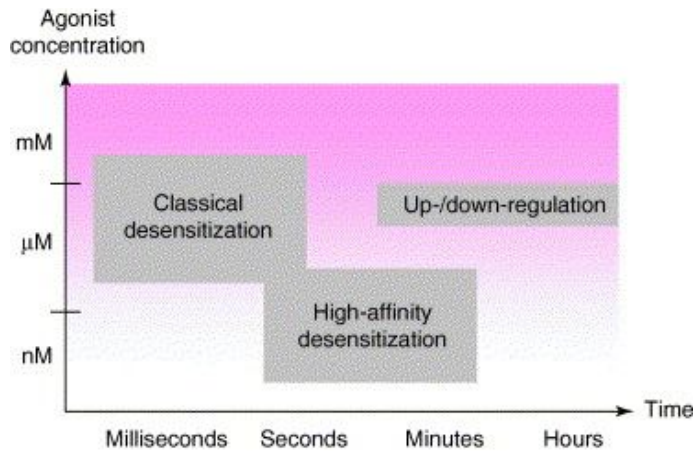


Figure 4. Nicotinic acetylcholine receptor desensitization depends on agonist concentration and time exposure. Classical desensitization induced by relatively high (micromolar to millimolar) agonist concentrations proceeds from the open receptor state in milliseconds (e.g. for $\alpha 7$ nAChRs) or seconds (e.g. for $\alpha 4\beta 2$ nAChRs). High-affinity desensitization induced by low agonist concentrations proceeds from the agonist-bound closed state before channel opening (i.e. without receptor activation) with slow kinetics (seconds to minutes). The upregulation or downregulation of nAChRs is usually observed with long-lasting application of agonist (Giniatullin *et al*, 2005).

In the mammalian brain, the heteromeric $\alpha 4\beta 2^*$ receptor (asterisk indicates that other subunits may be present in this receptor) and the $\alpha 7$ homomeric receptor are mostly expressed (Dani, 2015; Zoli *et al*, 2015). The $\alpha 4\beta 2^*$ receptor is considered the principal mediator of nicotine dependence given its high affinity for nicotine and slow desensitization. Conversely, the $\alpha 7$ receptor exhibits lower affinity to nicotine with a fast activation which explains its involvement in rapid synaptic transmission, long-term potentiation (LTP) and learning (Dani, 2015; Picciotto and Kenny, 2013). Other nAChRs subtypes have a more restricted distribution

in the brain, but they might also represent the most abundant receptor subtype in a specific brain area.

1.2.3 Role of nAChRs subunits in nicotine addiction

Investigating the nAChRs at which nicotine acts may provide valuable insights to better understand the neurobiology of nicotine addiction and facilitate the development of novel therapeutic strategies. However, it is difficult to attribute any particular behavioral effect of nicotine to a specific nAChRs subtype has been difficult, due to the lack of selective antagonist and agonist for all nAChRs subtypes (Zoli *et al*, 2015). The development of genetically modified animal models with knockout (KO), knockin or selective expression of nAChRs subunits has enabled the investigation of the role of specific nAChRs in nicotine addiction.

1.2.3.1 $\alpha 4\beta 2^*$ nAChRs

The $\alpha 4\beta 2^*$ -containing nAChRs are the most abundant in the CNS, with several evidence supporting the role of this nAChR subtype in the reinforcement and withdrawal of nicotine (McLaughlin *et al*, 2015; Stoker and Markou, 2013). In the ventral tegmental area (VTA), $\alpha 4\beta 2^*$ nAChRs are located in GABAergic presynaptic terminals, where they regulate the release of the inhibitory neurotransmitter (Mansvelder *et al*, 2002). However, nicotine binding rapidly desensitizes these nicotinic receptors (Mansvelder *et al*, 2002), disrupting inhibitory GABAergic transmission in the VTA might contribute, at least in part, to the reinforcing properties of nicotine.

Early studies reported reduced nicotine reward in $\beta 2$ (KO), using the intravenous nicotine self-administration (Picciotto *et al*, 1998) and the conditioning place preference (CPP) (Walters *et al*, 2006). These observations could be the result of nicotine's inability to stimulate the

mesocorticolimbic dopaminergic system in $\beta 2$ KO mice, since a reduced dopamine release and responsiveness of dopaminergic neurons has been observed in the absence of $\beta 2$ subunits (Maskos *et al*, 2005; Stoker and Markou, 2013). Furthermore, lentiviral re-expression of $\beta 2$ in the VTA reinstated nicotine self-administration behavior (Maskos *et al*, 2005) suggesting that $\beta 2$ subunit is essential for the reinforcing effects of nicotine. Nicotine withdrawal affective signs also seem to be dependent on $\beta 2^*$ nAChRs (Hamouda *et al*, 2018). Indeed, anxiety-like behavior associated to nicotine withdrawal were reduced in $\beta 2$ KO mice (Jackson *et al*, 2008; McLaughlin *et al*, 2015). Additionally, $\beta 2$ KO mice did not exhibit the learning deficits in fear conditioning associated with nicotine withdrawal (Portugal *et al*, 2008). In contrast, $\beta 2^*$ nAChRs do not participate in the expression of the somatic signs of nicotine withdrawal (De Biasi and Salas, 2008; McLaughlin *et al*, 2015). These findings indicate that nAChRs containing the $\beta 2$ subunit are critical for the development of nicotine dependence and expression of withdrawal signs upon cessation of nicotine administration.

Similar to mice lacking $\beta 2$ KO mice, $\alpha 4$ KO mice failed to enhance dopamine levels in the mesocorticolimbic system after nicotine exposure and to acquire nicotine self-administration (Pons *et al*, 2008). Interestingly, mice with a single point mutation in the $\alpha 4$ gene self-administered nicotine at lower doses than their wildtype counterparts and exhibited conditioned place preference also at very low nicotine doses (Wilking and Stitzel, 2015). Altogether, these findings suggest a modulatory role for the $\alpha 4$ subunit in nicotine reinforcement.

1.2.3.2 $\alpha 7^*$ nAChRs

Similar to the $\alpha 4\beta 2$ nAChRs, homomeric $\alpha 7$ receptors exhibit a widespread expression through the brain and a significantly lower affinity for nicotine. In the VTA, $\alpha 7$ nAChRs regulate presynaptic glutamate release onto dopaminergic neurons (Feduccia *et al*, 2012; Zoli *et al*, 2015). The effects of $\alpha 7^*$ nAChRs on nicotine reinforcement appear to be subtler than those of $\alpha 4\beta 2$ nAChRs. Importantly, $\alpha 7$ nAChRs rapidly recover from nicotine-induced desensitization (Subramaniyan and Dani, 2015). This rapid recovery suggests that $\alpha 7^*$ nAChRs, unlike $\alpha 4\beta 2^*$ nAChRs, may remain sensitive to fluctuations in nicotine levels during continuous nicotine exposure and might consequently be important in the maintenance of nicotine dependence (Stoker and Markou, 2013). Nevertheless, contradictory results have been obtained when evaluating the role of the $\alpha 7$ subunit in the reinforcing properties of nicotine. Thus, even though pharmacological blockage of the $\alpha 7$ subunits decreased nicotine self-administration in rats (Walters *et al*, 2006), genetic deletion of the $\alpha 7$ subunit unaltered nicotine self-administration (Brunzell and McIntosh, 2012). In addition, a mouse strain that had lower $\alpha 7$ mRNA expression tended to have a greater sensitivity for the rewarding properties of nicotine (Harenza *et al*, 2014). This observation was confirmed by the increased nicotine reward revealed in the CPP paradigm. The different observations between all of these studies might be explained by the differences in the experimental approaches (pharmacological vs genetic), the use of different animal models (rats vs mice) and the genetic differences of mice strains. Therefore, the precise role of $\alpha 7^*$ nAChRs in nicotine reinforcement remains unclear.

Withdrawal signs seem to be independent of $\alpha 7^*$ nAChRs. Thus, both wildtype and $\alpha 7$ KO mice exhibited similar somatic signs of nicotine

withdrawal (Stoker *et al*, 2012). In addition, the learning deficits in fear conditioning associated with nicotine withdrawal were unaffected in the $\alpha 7$ KO mice (Kutlu and Gould, 2016a; Portugal *et al*, 2008).

1.2.3.3 $\alpha 5^*$ nAChRs

Human genetic studies have highlighted the polymorphic nature of the CHRNA5-CHRNA3-CHRNA4 genomic cluster, encoding subunits $\alpha 5$, $\alpha 3$, and $\beta 4$, respectively, and its implication in smoking behaviors, dependence risk and lung cancer (Bierut *et al*, 2008; Bierut and Tyndale, 2018). Polymorphisms in the CHRNA5 gene have been associated with a reduced function of $\alpha 5^*$ receptors (Bierut, 2011). This alteration has been described to increase the risk of tobacco dependence by 30%, which can be doubled if the individual carries 2 risk alleles instead of a single allele (Saccone *et al*, 2009). In addition, the $\alpha 5$ subunit gene variant is a major risk factor for lung cancer and chronic obstructive pulmonary disease in smokers (Bierut, 2011). The $\alpha 5$ subunit seems to be determinant to the sensitivity and aversion to nicotine (Antolin-fontes *et al*, 2015; Frahm *et al*, 2011). Thus, mice lacking the $\alpha 5$ subunit self-administered high doses of nicotine that are otherwise aversive in wildtype mice (Fowler and Kenny, 2014). Interestingly, re-expression $\alpha 5$ nAChR subunits in the habenulo-interpeduncular pathway in KO mice restored similar nicotine intake levels than in wildtype mice (Antolin-fontes *et al*, 2015). Therefore, it seems that deletion of the $\alpha 5$ subunit increases the reinforcing effects of high doses of nicotine perhaps by attenuating the adverse effects associated with high nicotine concentrations. During nicotine withdrawal, somatic signs were decreased in $\alpha 5$ KO compared with wildtype mice (Jackson *et al*, 2008; Stoker and Markou, 2013). In contrast, $\alpha 5^*$ nAChRs

do not appear to mediate affective symptoms of nicotine abstinence (Jackson *et al*, 2015; Salas *et al*, 2009).

1.2.3.4 $\beta 4^*$ nAChRs

Genome-wide association studies have revealed that variants in CHRNA4's coding region reduce the risk for nicotine dependence (Haller *et al*, 2012), and variants in CHRNA4's regulatory domain decrease the age of onset for tobacco intake (Haller *et al*, 2012; Saccone *et al*, 2009). Notably, mouse studies have shown that the lack of $\beta 4^*$ nAChR reduces the reinforcing properties of nicotine (Harrington *et al*, 2016), whereas overexpression of this subunit induced a strong aversion to nicotine (Frahm *et al*, 2011). These divergent data highlight the balance of positive and aversive signaling mechanisms associated with nicotine intake. During nicotine withdrawal, $\beta 4$ KO mice displayed decreased somatic signs compared with wildtype mice (Stoker *et al*, 2012). Overall, these results suggest that the $\beta 4$ subunit is involved in many aspects of nicotine dependence.

1.2.3.5 $\alpha 6^*$ nAChRs

Genetic variation on the CHRNA6-CHRNA3 gene cluster, encoding the $\alpha 6$ and $\beta 3$ subunits respectively, has been reported to increase vulnerability to tobacco smoking (Bierut, 2011; Thorgeirsson *et al*, 2010). In the VTA, $\alpha 6^*$ nAChRs appear to regulate GABA release onto dopaminergic neurons (Yang *et al*, 2011). Notably, the presence of $\alpha 6$ in nAChRs containing $\alpha 4\beta 2$ subunits seem to maintain the activation produced by nicotine, since it slows the rate of desensitization (Liu *et al*, 2012). Mice lacking the $\alpha 6$ subunit failed to acquire nicotine-self administration suggesting a role of this subunit in the reinforcing effects of nicotine. Furthermore, the $\alpha 6$ subunit is also involved in the affective component of nicotine abstinence

(McLaughlin *et al*, 2015). Thus, pharmacological blockage of the $\alpha 6\beta 2^*$ prevents nicotine withdrawal-induced anxiety-like effects (Jackson *et al*, 2009). In agreement one of the currently used treatments for smoking cessation, varenicline, acts as a partial agonist at $\alpha 6\beta 2^*$ nAChRs (Bordia *et al*, 2012).

1.3 Nicotine addiction: a complex brain disease

Similarly to other addictive processes, nicotine addiction is a chronically relapsing brain disease characterized by compulsive tobacco use, loss of control over tobacco consumption despite its negative consequences, the appearance of withdrawal symptoms upon cessation of tobacco smoking, and relapse even after long periods of abstinence (adapted from Koob and Le Moal, 2008).

For many years, addiction was considered a personal “lifestyle choice” instead of a brain disorder. Although initial drug use is certainly a voluntary behavior, multiple preclinical and clinical studies have evidence that addiction to drugs, including tobacco addiction, is based on pathological changes in brain function produced by the repeated pharmacological insult to specific brain circuits. In this regard, continuous drug exposure has been reported to affect the expression of genes involved in neuroplasticity through epigenetic and possibly RNA modifications, ultimately altering intracellular signaling cascades and neuronal circuits (Volkow and Morales, 2015). Hence, repeated stimulation of motivational circuitries by addictive drugs leads to maladaptive changes that progressively redirect the behavioral strategies, originally driven in response to biological stimuli, towards drug-seeking and drug-taking (Kalivas and O’Brien, 2008). Addiction can be conceptualized as a three-stage, recurring cycle of binge/intoxication, withdrawal/negative affect, and preoccupation/anticipation (craving), that worsens over time and involves neuroplastic changes in the brain reward, stress, and executive function systems (Koob and Volkow, 2016). One of the main challenges in the field of addiction is identifying the neuroadaptative changes that contribute to the progression from experimental use to addiction.

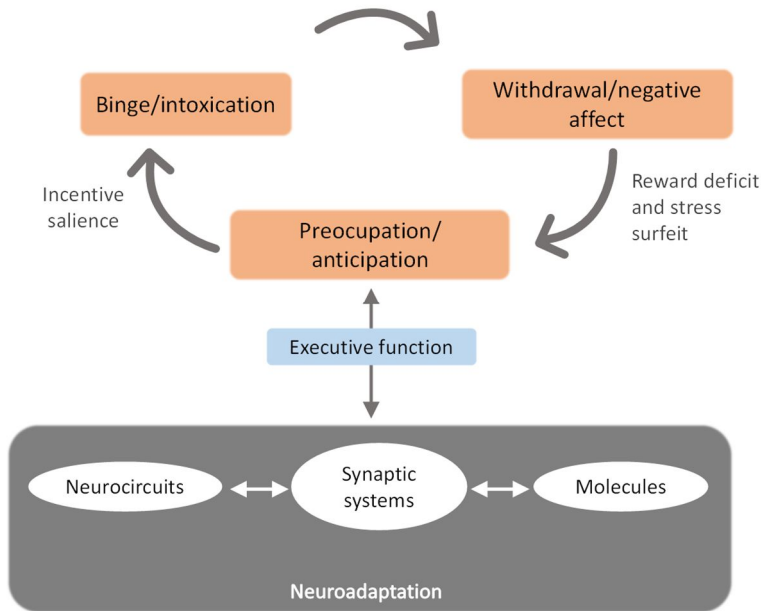


Figure 5. Conceptual representation of the addiction process. Addiction can be conceptualized as a three-stage, recurring cycle—binge/intoxication, withdrawal/negative affect, and preoccupation/anticipation (craving)—that worsens over time and involves neuroplastic changes in the brain reward, stress, and executive function systems. Derived from a confluence of information from social psychology of human self-regulation failure, psychiatry, and brain imaging, these three stages provide a heuristic framework for the study of the neurobiology of addiction (Adapted from Volkow and Koob, 2016).

Experiencing with drugs, such as nicotine, does not imply becoming an addict. Indeed, the actual percentage of consumers that transit from recreational use to addiction ranges from approximately 9% for marijuana to 31% for tobacco (Anthony *et al*, 1994). Thus, addiction is a complex disease influenced by a variety of environmental and genetic factors and the mechanisms underlying the vulnerability or resilience are still not well understood (Addy and Picciotto, 2013). In tobacco addiction, the initial use of cigarettes is strongly influenced by environmental factors, such as availability and accessibility to tobacco, whereas genetic factors play more important role in the transition from regular use to the development of addiction (Vink *et al*, 2005).

The 5th edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-5; American Psychiatric Association [APA], 2013) describes the clues for the diagnosis of substance-related and addictive disorders. According to this, substance-related disorders are divided into two groups: (1) substance use disorders, referring to changes produced in brain circuitry that can persist beyond detoxification, leading to cognitive, behavioral and psychological symptoms directly related to the substance use, and (2) substance-induced disorders, including intoxication, withdrawal and mental disorders induced by substances or medications. Therefore, the DSM-5 has combined the substance abuse and substance dependence categories, previously separated in the 4th edition, into a single substance use disorder. Indeed, the nicotine dependence diagnosis present in the DMS-IV has been replaced for the diagnose of tobacco use disorder, defined as “a problematic pattern of tobacco use leading to clinically significant impairment or distress, as manifested by at least 2 of the 11 symptoms listen in Table 1 in a 12-month period.

Table 1. DMS-5 criteria for substance use disorders.

A. Impaired control	
1.	Substance is often taken in larger amounts or over longer period than was intended.
2.	There is a persistence desire or unsuccessful efforts to cut down or control substance use.
3.	A large amount of time is spent in activities necessary to obtain the substance, use the substance, or recover from it effects.
4.	Development of craving, or a strong desire or urge to use the substance.
B. Social impairment	
5.	Recurrent substance use resulting in a failure to fulfill major role obligations.
6.	Continued substance use despite having persistent or recurrent social or interpersonal problems caused or exacerbated by the effects of the substance.
7.	Important social, occupational, or recreational activities are given up or reduced because of substance use.
C. Risky use of substance	
8.	Recurrent substance use in situations in which it is physically hazardous
9.	Substance use is continued despite knowledge of having a psychological problem that is likely to have been caused by the substance.
D. Pharmacological criteria	
10.	Tolerance, as defined by either: a need for markedly increased amounts of substance to achieve intoxication or desired effect or markedly diminished effect with continued use of the same amount of substance.
11.	Withdrawal, as manifested by either: the characteristic withdrawal syndrome for the substance or the substance is taken to relieve or avoid withdrawal symptoms.

1.3.1 Acute effects: reward

In humans, nicotine obtained from tobacco smoking produces a moderate pleasure, reduction in stress and anxiety, increased arousal, improve concentration, reaction and performance of certain tasks (Benowitz, 2010; Henningfield *et al*, 1985). These behavioral effects seem to be primary sources of reinforcement by nicotine and motivations for smoking (Hall *et al*, 2015a; Pulvers *et al*, 2014). However, the strong addictive profile of tobacco contrasts with the relatively weak primary reinforcing effect of nicotine revealed in both clinical and preclinical studies (Caggiula *et al*, 2001; Chaudhri *et al*, 2006). Indeed, complex interactions between environmental cues and nicotine also play a critical role in promoting and maintaining nicotine seeking (Bani *et al*, 2014; Garcia-Rivas and Deroche-Gamonet, 2018; Stoker and Markou, 2015).

Over the last 30 years, advances in the knowledge of the neurobiological mechanisms underlying the psychopharmacological effects of nicotine have been possible by the use of preclinical models. In agreement with the findings revealed in humans, nicotine administration has reinforcing and cognitive effects in non-human primates (Le Foll *et al*, 2007), dogs (Risner and Goldberg, 1983), rats (Caille *et al*, 2012) and mice (Martín-García *et al*, 2009; Stoker and Markou, 2013).

Nicotine, as other drugs of abuse, induces its rewarding effects by enhancing the activity of the mesocorticolimbic reward system. In physiological conditions, the reward system is entitled of promoting learning of goal-directed behaviors, generate positive emotions, and subsequently stimulate the repetition of those learned behaviors (Schultz, 2010). Many of the behaviors stimulated by the reward system are implied in survival, which might explain why the reward mechanisms are preserved across species, being especially complex in the case of humans

(O'Doherty *et al*, 2001).

The mesocorticolimbic system is composed of the VTA, which contains the cell bodies of dopaminergic neurons, and the terminal areas, where dopamine is released, in the nucleus accumbens (NAc), amygdala, and frontal and limbic cortices, (Kelley, 2004; Wise, 2004) (Figure 6). This system interacts with diverse brain regions including the amygdala, the bed nucleus of the stria terminalis (BNST), the hypothalamus and the hippocampus (HPC) that provide information about external context and about internal emotional and physiological states (Nestler, 2005). Hence, alterations in Nac projections, as occurs during chronic drug intake, contribute to addiction by promoting reward-directed behavior (Hyman and Malenka, 2001; Kauer, 2004).

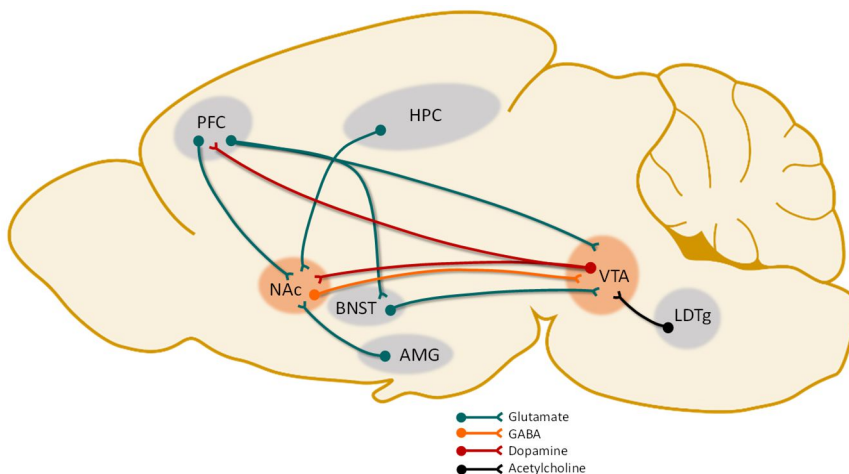


Figure 6. Mesocorticolimbic dopamine system. Simplified illustration of the circuitry of the mesolimbic dopamine system in rodent brain highlighting the major inputs to the nucleus accumbens (NAc) and ventral tegmental area (VTA). The release of dopamine from VTA neurons increases in response to administration of all drugs of abuse. AMG, amygdala; BNST, bed nucleus of the stria terminalis; LDTg, laterodorsal tegmental nucleus; PFC, prefrontal cortex; HPC, hippocampus.

Dopamine release has been described to facilitate reward-induced learning and promote recurrent drug-taking (Cardinal and Everitt, 2004; Russo *et al*, 2012; Wise, 2004). Different excitatory and inhibitory inputs directly regulate the activity of the dopamine neurons in the VTA (Markou, 2008). As an excitatory signaling, glutamate release from different projection sites, including the NAc and the prefrontal cortex (PFC), promotes the depolarization of the VTA dopamine neurons and the consequent release of dopamine into the NAc. Conversely, inhibitory GABA signaling from interneurons within the VTA and projections from the NAc inhibits dopamine neurons in the VTA. The activity of dopaminergic neurons in the VTA is not only modulated by glutamate and GABA, but also by acetylcholine. Indeed, direct stimuli of cholinergic neurotransmission has been shown to evoke dopamine release into the NAc (Cachope *et al*, 2012; Threlfell *et al*, 2012) and inhibition of cholinergic interneurons disrupt drug reinforcing effects (Witten *et al*, 2010). Acetylcholine promotes dopamine release through nAChRs expressed in dopaminergic cell bodies and indirectly through glutamatergic and GABAergic terminals within the VTA.

Similar to other drugs of abuse, nicotine exerts its rewarding effects by acting on the mesocorticolimbic pathway. Nicotine uses the nAChRs that in physiological conditions respond to acetylcholine (Korpi *et al*, 2015). Animal studies reveal that nicotine enhances dopamine neurotransmission in mesolimbic areas through direct stimulation of nAChRs within the VTA. Thus, intra-VTA infusion of nAChR antagonists reduced nicotine-elicited dopaminergic outflow in the NAc, while infusion of the same antagonists in the NAc did not have any effect (Nisell *et al*, 1994). In agreement, nAChRs located in the VTA, but not in the NAc, modulate nicotine self-administration in rats (Corrigall *et al*, 1994).

Nicotine enhances dopamine release into the NAc by primarily acting on $\alpha 4\beta 2^*$ and $\alpha 7$ homomeric nAChRs within the VTA. Nicotine acts in the VTA through the preferential activation of $\alpha 4\beta 2^*$ nAChRs that have high affinity for nicotine and are mostly expressed in the body of dopaminergic neurons and in GABAergic terminals (Zoli *et al*, 2015). Thus, nicotine acting on these receptors induces the depolarization of dopaminergic neurons and the release of GABA from GABAergic terminals (Dani, 2015). Nicotine binds, to a lesser degree, to the lower-affinity $\alpha 7$ nAChRs highly expressed in glutamatergic terminals (Dani, 2015). Nicotine binding to these receptors induces the release of glutamate to dopaminergic neurons, promoting the activation of these neurons (Dani, 2015; Yan *et al*, 2018). Therefore, nicotine in the VTA triggers both inhibitory and excitatory signaling (Figure 7). Interestingly, low concentration of nicotine achieved through smoking desensitizes rapidly the nAChRs located in GABAergic and dopaminergic neurons, whereas higher nicotine doses are required to desensitize nAChRs in glutamatergic neurons. This difference in desensitization rates translates to a diminished inhibitory tone while the excitation persists leading to depolarization of dopaminergic neurons and an overall increase of dopamine transmission from the VTA to the NAc (Dani, 2015; Markou, 2008; Yan *et al*, 2018). Other brain regions are involved in nicotine reinforcing effects, aside from the mesocorticolimbic pathway. Nicotine administration enhances dopaminergic transmission in the BNST (Carboni *et al*, 2000). In addition, $\alpha 5$ nAChRs in the habenulo-interpenduncular pathway are key to control nicotine intake (Fowler *et al*, 2011). This pathway projecting to and from the VTA acts as an opposite mechanism to the reward system and transmits inhibitory signals that limit intake of noxious substances. Hence, knockdown of $\alpha 5$ nAChRs signaling decreases the stimulatory effects of nicotine and enhances nicotine

intake. Finally, smokers with insula damage, a cortical region involved in processing interoceptive information of emotional and motivational states, are more likely to quit smoking compared to those without insula injury (Naqvi *et al*, 2007).

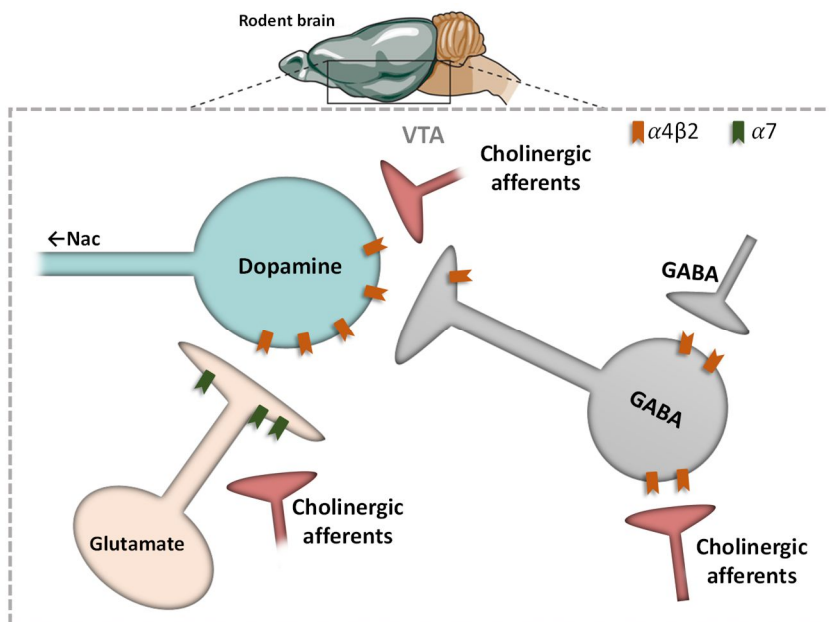


Figure 7. Nicotine modulation of dopaminergic transmission in the VTA. Schematic representation of the neurotransmission interactions in the VTA involved in the rewarding effects of nicotine and contribute to nicotine dependence. Dopaminergic neurons receive inputs from glutamatergic, GABAergic and cholinergic neurons. Nicotine elicits the release of GABA and glutamate towards dopaminergic neurons, thus promoting both inhibitory and excitatory signaling. Notably, $\alpha 4\beta 2^*$ nAChRs located in GABAergic terminals desensitized rapidly with the consequent decrease of the inhibitory input. $\alpha 7$ nAChRs located in glutamatergic terminals remain active longer generating an overall excitation of dopaminergic neurons and increase dopamine release from the VTA to the NAc (adapted from Markou, 2008).

1.3.2 Chronic effects: tolerance and sensitization

All drugs of abuse, when administered acutely, decrease brain stimulation reward thresholds (i.e increasing reward; Kornetsky and Esposito, 1979). In contrast, when the drug is chronically administered the reward thresholds increase (i.e decrease reward; Koob and Volkow, 2010), this phenomenon is termed tolerance. With continued nicotine exposure, smokers develop tolerance to some nicotine effects with the consequent need of progressively higher doses of nicotine to obtain the same effects (Wang and Sun, 2005). It has been proposed that desensitization and up-regulation of nAChRs are behind the phenomenon of nicotine tolerance and dependence. Following nicotine binding, nAChRs activate and rapidly enter in a desensitize (inactive) state. The rate of desensitization and recovery varies among receptor subtypes, expressing neuron and brain areas. During chronic nicotine exposure, smokers exhibit low plasmatic levels of nicotine responsible for maintaining most nAChRs in a desensitized state. Moreover, chronic nicotine usage enhances substantially the time that nAChRs need to recover from the desensitized state, contributing to a generalized nAChRs inactive state in the brain (Dani, 2015; Picciotto *et al*, 2008). Indeed, a brain image study showed that cigarette smoking in amounts used by typical daily smokers maintains near-complete saturation, and thus desensitization, of brain nAChRs (Brody *et al*, 2006, 2014).

Long-term exposure to an agonist produces excessive receptor activation that is homeostatically compensated by down-regulation of the receptor (Dani, 2015). Paradoxically, chronic nicotine exposure increases nicotine-binding sites in the brain, a phenomenon termed up-regulation of nAChRs (Cosgrove *et al*, 2015; Zoli *et al*, 2015). Up-regulation of nAChRs observed in humans, mice and rats, may be a response to the prolonged

desensitization of nAChRs (Picciotto *et al*, 2008). This up-regulation only occurs in nicotinic, but not muscarinic, nAChRs and differs among receptor subtypes and brain regions (Gaimarri *et al*, 2007; Zoli *et al*, 2015). The interplay between the increased nAChRs expression and the low desensitization recovery rate might explain why smokers report that they receive the most pleasurable effect from the first cigarette of the day (Benowitz, 2010; Russell, 1989).

1.3.3 Acute nicotine withdrawal: physical, affective and cognitive symptoms

Tobacco-dependent subjects seem to maintain nicotine consumption to avoid or alleviate the distressing withdrawal symptoms rather than to obtain the positive reinforcing effects of nicotine (Koob *et al*, 2013; Koob and Le Moal, 2008b). Indeed, nicotine withdrawal syndrome is considered as a major cause of relapse into smoking (Le Foll and Goldberg, 2009). Thus, the severity and the duration of withdrawal symptoms has been suggested to predict relapse in abstinent smokers (Allen *et al*, 2008; Ashare and Schmidt, 2014; Killen and Fortmann, 1997; Rukstalis *et al*, 2005; Zhou *et al*, 2009).

Smoking cessation produces a wide range of undesirable effects that can be classified as somatic, affective and cognitive withdrawal symptoms (Hughes, 2007a; Hughes and Hatsukami, 1986). Somatic or “physical” signs of withdrawal include bradycardia, gastrointestinal discomfort, fatigue, insomnia, and restlessness. The affective withdrawal symptoms include depressed mood, irritability, severe craving for nicotine, anxiety and decreased arousal. Cognitive deficits associated with nicotine withdrawal include impairments in attention, working memory and episodic memory (Hall *et al*, 2015a; Hughes, 2007a; Wesnes *et al*, 2013). In general, all withdrawal signs onset approximately at 4h after the last tobacco

consumption, peak within the first few days of abstinence and could still be observed for weeks (Benowitz, 1992; Hughes, 2007a). Detailed information regarding the cognitive impairments associated with nicotine withdrawal and the neurobiological mechanism involved will be provided in section 1.4.3 of this thesis.

Many of the abstinent symptoms observed in humans can be recapitulated in rodent models and withdrawal (McLaughlin *et al*, 2015). In this regard, withdrawal signs can be studied by observing the frequencies of certain stereotypes or by evaluating changes in behavior during abstinence. As in humans, behavioral manifestations of nicotine withdrawal in rodents can be classified also as somatic, affective and cognitive. The somatic signs in rodents include teeth chattering, palpebral ptosis, tremor, wet dog shakes, changes in locomotor activity, and other behavioral consequences (Castañé *et al*, 2002; Jackson *et al*, 2018). The affective manifestations of nicotine withdrawal in rodents consist of increased anxiety-like behavior, aversive effects, and reward deficits (Jackson *et al*, 2008; Johnson *et al*, 2008; Stoker *et al*, 2012). Finally, the cognitive deficits associated with nicotine withdrawal have been often studied using hippocampal-dependent memory tasks in rodents (Kutlu *et al*, 2016; Yildirim *et al*, 2015). In mice, spontaneous and precipitated withdrawal from nicotine results in memory impairment in the contextual fear conditioning (Hall *et al*, 2015a; Leach *et al*, 2013) and object recognition test (Borkar *et al*, 2017). Similar to humans, attentional deficits have been observed in rodents during nicotine withdrawal. Thus, rats withdrawn from nicotine exhibit attention deficits in the operant signal detection paradigm (Shoaib and Bizarro, 2005). In addition, nicotine withdrawal results in cognitive flexibility alterations in mice and the

consequent inability to execute new learning strategies (Parikh *et al*, 2016).

1.3.4 Long-term abstinence: relapse to nicotine consumption

Former smokers remain vulnerable to relapse to tobacco consumption, even after years of abstinence. One significant factor thought to be important in relapse to drug taking is exposure to environmental stimuli previously associated with drug intake (Franklin *et al*, 2007; Liu, 2016). Smoking may be particularly effective in establishing the incentive properties of nicotine-associated environmental stimuli (cues), such as the smell and taste of cigarettes or contexts within which smoking occurs. Stress plays an important role in relapse to smoking. Indeed, external stressors are important triggers of relapse, and nicotine withdrawal itself produces a “stress-like state” of negative affect (Hughes, 2007b; Wardle *et al*, 2011). Furthermore, relapse to tobacco can also be triggered by a single smoked cigarette (re-exposure) (Liu, 2016). The use of animal models has been determinant to advance in the study of the mechanisms underlying nicotine relapse. Notably, reinstatement models of relapse in animals have shown that nicotine-seeking can be triggered by nicotine-associated conditioned cues, stressors, and re-exposure to nicotine (Feltenstein *et al*, 2012; Liu, 2016; Martín-García *et al*, 2009; Nygard *et al*, 2016), which are the same events that trigger resumption of smoking behavior in humans.

Animal studies have shown that relapse to nicotine-seeking could be mediated by the orexin system. Thus, blockade of the orexin receptor 1 (OXR1) attenuates cue-induced reinstatement of nicotine-seeking in mice (Plaza-Zabala *et al*, 2013). In addition, nicotine relapse also involves the corticotropin-releasing factor (CRF). Blockade of CRF1 receptors

prevented stress-induced reinstatement of nicotine-seeking behavior in rats (Bruijnzeel, 2017; Bruijnzeel *et al*, 2009). Moreover, blockade of CRF1 receptors also prevents stress-induced reinstatement of nicotine-seeking in the mouse (Plaza-Zabala *et al*, 2010). The endogenous cannabinoid system also has a crucial role in the reinstatement of nicotine-seeking behavior. Antagonism of cannabinoid receptor 1 (CB1) blocks the reinstatement of previously extinguished nicotine-seeking behavior in rats (Cohen *et al*, 2005; Gamaledin *et al*, 2015). Interestingly, all these mentioned mechanisms also have a role in other effects of nicotine, including cognitive modulation, that will be further discussed in this thesis. Reinstatement of nicotine-seeking behavior has also been found to be sensitive to antagonists for noradrenergic (Forget *et al*, 2010) and β -adrenergic receptors (Chiamulera *et al*, 2010), and metabotropic and ionotropic glutamate receptors (Dravolina *et al*, 2007; Gipson *et al*, 2013).

1.4 Cognitive effects of nicotine

Nicotine addiction involves maladaptive changes that go beyond the reward system (Le Foll and Goldberg, 2005). The existing overlap between the neural substrates of reward and learning and memory processes could explain the participation of cognitive processes in nicotine addiction (Kelley, 2004; Volkow and Baler, 2014). Thus, nicotine addiction involves maladaptive learning and memories that contribute to the development of drug-taking behaviors. Human and animal research have shown that initial nicotine intake facilitates the association of nicotine reinforcing effects with specific context and cues (Garcia-Rivas and Deroche-Gamonet, 2018; Thewissen *et al*, 2005). These associative memories grow stronger, with extended nicotine use, and conduct to drug-seeking behaviors. In addition, cognitive inflexibility and cognitive deficits that emerge during nicotine abstinence might both contribute to the maintenance and relapse to nicotine (Kutlu and Gould, 2016b). Therefore, cognitive modulation by nicotine seems to be a key factor in nicotine addiction.

This section focusses on the effects that nicotine exerts on cognition with a particular emphasis in the effects of acute, chronic, and nicotine withdrawal. While acute nicotine improves learning and promotes consumption, cognitive deficits that arise during nicotine withdrawal might influence relapse into tobacco consumption. A better knowledge of the neurobiological mechanisms underlying the acute and chronic effects of nicotine might provide novel insights into nicotine addiction, which represents the main objective of this thesis.

1.4.1 Acute nicotine effects on cognition

Human and animal research reveal that nicotine given acutely can improve memory performance (Gould and Leach, 2014). Indeed, growing evidence supports that the pro-cognitive effects of nicotine during initial use might facilitate the development of drug-context associations, contributing to repeated nicotine use and the development of nicotine addiction (Hall *et al*, 2015a; Kenney *et al*, 2011; Stoker and Markou, 2015).

1.4.1.1 Human studies

Several human studies have shown that nicotine has cognitive enhancing effects, when given acutely (Newhouse *et al*, 2012; Valentine and Sofuoglu, 2017; Zandonai *et al*, 2018). However, the first studies that evaluated the effect of nicotine on cognition failed to distinguish between the improvements due to the acute administration of nicotine and the normalization of the cognitive deficits of nicotine withdrawal. (Hall *et al*, 2015a; Kutlu *et al*, 2016). Thus, many studies from the 1970s to the 1990s that claimed that nicotine improved memory were, in fact, demonstrating the reversion of withdrawal-induced cognitive deficits in tobacco-dependent smokers (Heishman *et al*, 1994). Taking this premise into account, the studies supporting the pro-cognitive effects of nicotine presented in this section only correspond to research performed in nonsmokers or in smokers that no longer exhibit withdrawal-cognitive deficits. Thus, human studies have shown that acute nicotine administration improves performance in a variety of attention tasks, short-term episodic memory and working memory (Hall *et al*, 2015a; Heishman *et al*, 2010; Valentine and Sofuoglu, 2017). In nonsmoker adults, transdermal nicotine treatment significantly improves attention when evaluated in a continuous performance test (Barr *et al*, 2008; Newhouse

et al, 2012; Poltavski and Petros, 2006). Thus, nicotine-treated subjects presented an enhancement in both the ability to maintain focus in the task (sustained attention) and the ability to discriminate a relevant from a competing stimulus (selective attention). In addition, acute nicotine treatment also improved spatial attention and oriented attention (File *et al*, 2001; Foulds *et al*, 1996; Hahn *et al*, 2007; Heishman and Henningfield, 2000; Rusted and Alvares, 2008; Thiel *et al*, 2005; Vossel *et al*, 2008). Indeed, it has been suggested that nicotine improves learning by involving aspects of cognitive function as well as other related processes, such as attention. Indeed, nicotine improved working memory only when maintaining attention was key to perform the task correctly (McClernon *et al*, 2003).

Besides the cognitive effects of nicotine at the beginning of tobacco dependence, nicotine and other agonists might be of potential therapeutic interest in a population with pre-existing cognitive deficits. Thus, some clinical studies have reported that nicotine can ameliorate cognitive impairments associated with Alzheimer disease (Lombardo and Maskos, 2015), schizophrenia (D'Souza and Markou, 2012) and attention deficits/hyperactivity disorders (ADHD) (van Amsterdam *et al*, 2018), at lower doses than those observed during smoking. Thus, a single dose of transdermal nicotine improved attentional performance in schizophrenia patients by ameliorating deficits in response inhibition (Barr *et al*, 2008). Similarly, treatment with the $\alpha 7$ nAChRs agonist 3-[(2,4-dimethoxy)benzylidene]anabaseine significantly improves neurocognition, as measured by a battery for assessment of neuropsychological status, suggesting that nAChRs could represent a target to enhance cognition in schizophrenia (Olincy *et al*, 2006). Additionally, nicotine improved attention in patients with ADHD (van

Amsterdam *et al*, 2018; Kutlu *et al*, 2015). The positive impact that nicotine has on these populations may explain the high comorbidity observed between smoking and the mentioned CNS disorders. This suggests that individuals with pre-existing cognitive deficits might smoke as an attempt to self-medicate (Garcia-Rivas and Deroche-Gamonet, 2018; Kumari and Postma, 2005; Valentine and Sofuoglu, 2017).

1.4.1.2 *Animal studies*

In agreement with human studies, many animal studies indicate that acute nicotine administration enhances learning and memory. In this regard, animal models represent a useful tool to elucidate the mechanisms underlying the pro-cognitive effect of nicotine. The most used approaches to evaluate the effects of nicotine on memory in animals are paradigms based on hippocampal-dependent learning, such as the object recognition and fear conditioning tests (Gould and Leach, 2014).

In the fear conditioning paradigm, a training session can involve two different types of learning: a tone-shock association (cued conditioning) that is hippocampal-independent and amygdala-dependent, and a context-shock association (contextual conditioning) that is hippocampal- and amygdala-dependent (Fanselow and Dong, 2010; Logue *et al*, 1997) (Figure 8). Multiple fear conditioning experiments have shown that acute nicotine treatment enhances contextual, but not cue conditioning (Davis *et al*, 2005; Davis and Gould, 2006; Gould and Leach, 2014; Gould and Wehner, 1999; Gulick and Gould, 2008; Portugal *et al*, 2012b). These behavioral effects were present even 1 week after nicotine treatment (Wilkinson and Gould, 2013). Nicotine, as other drugs of abuse, presents an inherent biphasic nature, which translates

into opposing effects depending on the used dose. Interestingly, the pro-cognitive effects are observed at low nicotine doses, similar to those achieved by smokers (Davis *et al*, 2005). It has been suggested that nicotine enhances contextual memory by acting mainly in the HPC, since differential brain areas are recruited in the contextual and the cue version of the fear task. Indeed, nicotine infusion into the dorsal, but not ventral, HPC enhances contextual fear conditioning (Davis *et al*, 2007; Kenney *et al*, 2012b). Conversely, direct administration of nicotine into the PFC or the thalamus, two areas well connected to the HPC and with a high density of nAChRs, did not affect contextual fear

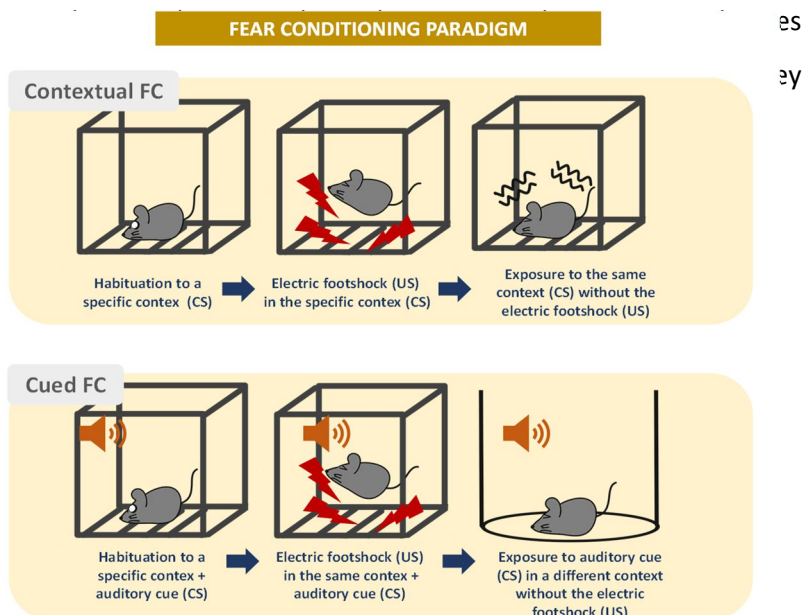


Figure 8. Schematic representation of fear conditioning and extinction in rodents. In this paradigm, a particularly neutral conditioned stimulus (CS), usually a chamber in contextual fear conditioning or a tone in cued fear conditioning, is presented together with an aversive unconditioned stimulus (US), typically an electrical footshock, resulting in an US–CS association. New exposure to the CS in absence of the US evokes an evaluable conditioned response: the freezing behavior, a natural response in rodents experiencing fear. FC, fear conditioning.

In the spatial object recognition, animals are presented with two identical objects to explore in a maze or arena. 24 hours after this training session, one of the objects (familiar) is replaced by a new one (new). A discrimination index is calculated with time that animals spend exploring the familiar and the new object. High discrimination indexes reflect good memory performance while low discrimination indexes suggest memory deficits (Figure 9). Using this test, nicotine exhibited a tendency to improve memory performance in mice (Kenney *et al*, 2011). Notably, this tendency became significant when the complexity of the task was increased by leaving a gap of 48h between the training session and the test (Kenney *et al*, 2011). Thus, these results suggest that nicotine's ability to improve learning might be associated with the complexity of the task.

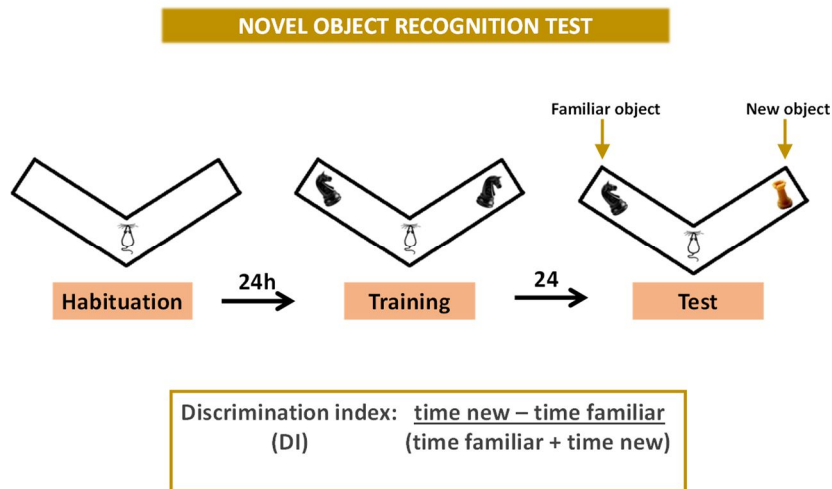


Figure 9. Schematic representation of the novel object recognition test. This test is useful to study short-term and long-term memory and evaluates the rodents' ability to recognize a novel object in the environment. This test is based on the natural preference for novel objects displayed by rodents. The task procedure consists of three phases: habituation, training, and test phase. In the habituation phase, each animal is allowed freely exploring the maze in the absence of objects for 9 minutes. During the familiarization phase, a single animal is placed in the maze containing two identical sample objects for 9 minutes. During the test phase, one of the familiar objects is replaced by a new one and the animal is returned to the maze. The time that the animal spends exploring both objects is recorded to calculate a discrimination index. Normal rodents spend more time exploring the novel object than the familiar one resulting in a high discrimination index. Low discrimination indexes suggest memory deficits.

1.4.1.3 Neurobiological mechanisms

The HPC seems to play a crucial role in the positive impact of acute nicotine on cognitive tasks. Thus, several studies have focused on the HPC to examine the neurobiological mechanisms involved in nicotine pro-cognitive effects. nAChRs are widely abundant in the HPC. The main nAChRs present in the HPC are the $\alpha 4\beta 2^*$ and the $\alpha 7$ (Yakel, 2012; Zoli *et al*, 2015). $\alpha 4\beta 2^*$ are more abundant in GABAergic hippocampal

interneurons, whereas $\alpha 7$ nAChRs are predominantly expressed in pyramidal cells (Ji and Dani, 2000; Kenney *et al*, 2012b). Early studies suggested that the $\alpha 7$ receptors are the dominant nAChRs involved in hippocampus-dependent learning. $\alpha 7$ nAChRs have a relevant role in diseases with cognitive impairment, such as schizophrenia and Alzheimer disease (Brunzell and McIntosh, 2012; Lombardo and Maskos, 2015). Animal studies have suggested that $\alpha 7$ nAChRs do not have a predominant role in the enhancement of learning induced by nicotine in spite of the cognitive effects produced by the activation of $\alpha 7$ nAChRs by endogenously released acetylcholine (Kenney and Gould, 2008). Thus, antagonism of the $\alpha 7$ nAChRs did not disrupt the nicotine improvement of learning (Davis *et al*, 2007; Davis and Gould, 2006). Conversely, antagonism of the $\alpha 4\beta 2^*$ blocked the effects of nicotine on contextual learning, suggesting that this receptor subtype is involved in nicotine effects on memory (Davis *et al*, 2007; Davis and Gould, 2006). Given the localization of $\alpha 4\beta 2^*$, it seems that nicotine improves learning by acting on hippocampal interneurons rather than directly at hippocampal pyramidal cells (Jia *et al*, 2010). In agreement, electrophysiology experiments have shown that nicotine facilitates LTP in CA1 by acting on $\alpha 4\beta 2^*$ receptors located in hippocampal interneurons (Jia *et al*, 2010), but not through $\alpha 7^*$ receptors (Nakauchi and Sumikawa, 2012). These data indicate that nicotine (exogenous) and acetylcholine (endogenous) modulate learning and memory by acting on different nAChRs suggesting a dissociation between normal neural communication and drug-induced alterations.

The molecular mechanisms involved in the effects of nicotine on learning and memory have not been yet fully clarified. It is feasible that cellular processes downstream from nAChRs must interact with cell signaling

cascades involved in learning and memory to enhance hippocampus-dependent learning (Kutlu and Gould, 2016a). On the other hand, NMDA receptors in the HPC are critical to learning and memory (Khakpai *et al*, 2016; Place *et al*, 2012). Interestingly, multiple studies have suggested that the depolarization triggered by nAChRs could facilitate activation of NMDA receptors (Gould and Leach, 2014; López-Hidalgo *et al*, 2012). In this sense, currents mediated by postsynaptic nAChRs could contribute to the depolarization needed to remove the blockade of NMDA receptors exerted by the presence of magnesium (Ji *et al*, 2001). The interaction between NMDA and nAChRs could explain that nicotine administration reversed the deficits in contextual fear learning produced by two different NMDA antagonists (André *et al*, 2011). nAChRs and NMDA receptor activation will lead to a greater internal release of Ca^{2+} and the consequent activation of several cell signaling cascades. PKA and ERK are plausible kinases to be modulated by nicotine given their involvement in hippocampal-dependent learning (Abel and Nguyen, 2008). In agreement, the decrease of ERK and PKA activity blocked the enhancing effect of nicotine on contextual fear (Raybuck and Gould, 2007). Interestingly, nicotine administration modulates other components of the PKA-ERK cascade. Several studies have demonstrated a crucial role of CREB in the formation of long-termed memories in a wide range of animal models (Kida and Serita, 2014). Indeed, gain or loss of CREB function improves and impairs, respectively, the formation of memories (Kida and Serita, 2014). Notably, nicotine paired with learning leads to an increase in expression of Jnk1 in the HPC (Kenney *et al*, 2010) and was associated with increased CREB phosphorylation at the Jnk1 promoter region in the HPC (Kenney *et al*, 2012a) (Figure 10).

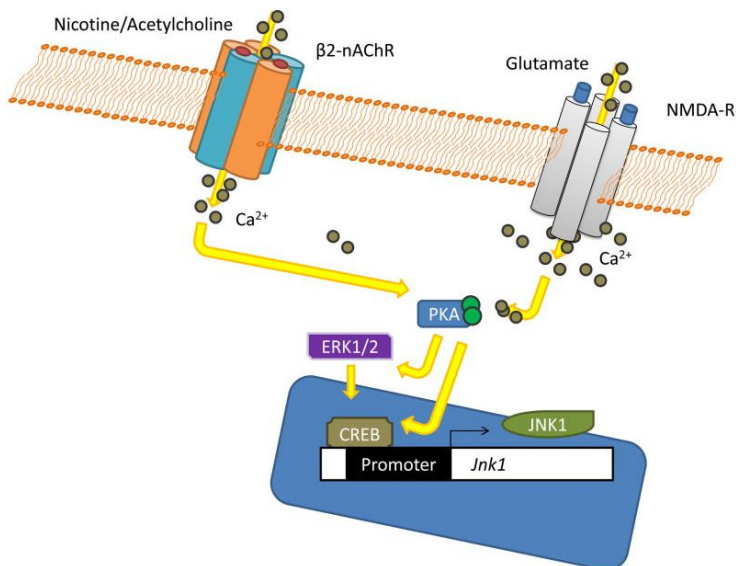


Figure 10. Cell signaling cascade involved in the acute effect of nicotine on hippocampus-dependent learning. Activation of nAChRs will lead to an increase in intracellular calcium that could provide the necessary depolarization to allow NMDA-receptor mediated calcium influx. Calcium leads to the activation of PKA and ERK, which in turn activate CREB and consequently stimulates *Jnk1*. Activation of JNK kinase seems critical for nicotine to enhance learning (Gould and Leach, 2014).

Alternatively, nicotine may activate other pathways to modulate learning considering that the increase in intracellular calcium, triggered by activation of nAChRS, is common to many signaling cascades involved in cognitive processes (Berridge, 2014). Activation of other pathways would probably act in parallel with those usually activated to enhance learning. One of the objectives of this thesis is to identify other mechanisms involved in the effects that nicotine exerts on learning, given their contribution to the development of nicotine dependence.

1.4.2 Chronic nicotine effects on cognition

Nicotine intake improves hippocampus-dependent learning, although this effect disappears with sustained nicotine consumption. Indeed, contextual and cued fear conditioning in mice remained unaltered after a chronic treatment with nicotine (Davis *et al*, 2005). In addition, similar results have been observed in other types of hippocampus-dependent learning. Thus, chronic treatment with nicotine did not affect memory in the object recognition task in mice (Kenney *et al*, 2011). In agreement, other cognitive processes related to executive functions remained unaltered when mice were chronically treated with nicotine (Cole *et al*, 2015; Ortega *et al*, 2013). These studies suggest that chronic nicotine treatment may develop tolerance to the pro-cognitive effect, which may be related to differences in activation and desensitization states of nAChRs (Picciotto and Mineur, 2014).

1.4.3 Effects of withdrawal from chronic nicotine on cognition

In contrast to the positive cognitive effects of acute nicotine, nicotine withdrawal alters a variety of cognitive processes in humans, including impairments in attention, working memory and episodic memory (Hall *et al*, 2015a; Hughes, 2007a; Wesnes *et al*, 2013). During the last decade, increasing attention has focused on cognitive impairments that emerge during smoking abstinence, since these impairments seem to play a critical role in relapse in tobacco consumption (Ashare and Schmidt, 2014).

1.4.3.1 Human studies

Difficulty in concentrating has often been reported by abstinent smokers (Hughes, 2007a). These deficits arise as soon as 30 minutes after smoking cessation (Hendricks *et al*, 2006), last for days and are observed as more

omission errors and slower reaction times in sustained attention tasks, compared to non-abstinent smokers (Harrison *et al*, 2009). Several human studies describe that abstinence from smoking also affects working memory performance (Hall *et al*, 2015a). Following overnight abstinence, smokers had less accuracy and exhibited slower reaction times when performing working memory tasks (Jacobsen *et al*, 2005, 2007; Loughead *et al*, 2010; Patterson *et al*, 2010). Alterations in working memory became more visible when the difficulty of the task was increased (Jacobsen *et al*, 2007; Loughead *et al*, 2009, 2010). There is also strong evidence that deficits in episodic memory could be a primary trait of nicotine withdrawal during tobacco abstinence. Thus, smokers following a 24 hours period of abstinence exhibited diminished episodic memory when evaluated in a recognition memory task, compared to their performance when smoking normally (Merritt *et al*, 2010; Wesnes *et al*, 2013). Interestingly, a pilot study reported that abstinent male smokers showed reduced performance in the recognition test compared to their female counterparts, suggesting substantial sex differences in the cognitive effects of tobacco abstinence (Merritt *et al*, 2012).

These cognitive deficits that appear within the first few days of tobacco cessation are gaining importance as a core dependence phenotype of nicotine withdrawal and a target for medication development efforts (Ashare and Schmidt, 2014). Indeed, cognitive deficits observed during nicotine withdrawal could directly promote short-term relapse to tobacco smoking (Culhane *et al*, 2008; Miglin *et al*, 2017; Patterson *et al*, 2010). These cognitive symptoms during withdrawal can be alleviated by nicotine re-exposure (Myers *et al*, 2008; Soar *et al*, 2008), suggesting that smokers might relapse to tobacco use to recover from the cognitive impairments observed during nicotine abstinence. In addition, current pharmacological

agents used for smoking cessation, such as varenicline and bupropion, enhance mood and cognitive function during early nicotine abstinence (Ashare and McKee, 2012; Loughhead *et al*, 2010; Patterson *et al*, 2009). The cognitive-enhancing effects of these compounds seem to be an important part of their efficacy as smoking cessation agents.

1.4.3.2 *Animal studies*

Many of the abstinent symptoms observed in humans, including the cognitive alterations, can be recapitulated in rodent models of nicotine withdrawal (McLaughlin *et al*, 2015). Among preclinical models, the use of osmotic minipumps is a well-established model to study the effects of chronic nicotine exposure (Hamouda *et al*, 2018; Jackson *et al*, 2018; Matta *et al*, 2007). Minipumps only require a minimal surgical procedure to be implanted and produce a constant level of circulating nicotine in the animal (Damaj, 2003; Jackson *et al*, 2018). Plasma nicotine levels obtained using minipumps with appropriate dosage could resemble those reported in smokers i.e plasma levels between 0.06–0.31 μM of humans consuming an average of 17 cigarettes a day (Benowitz and Peyton, 1984; Davis *et al*, 2005; Turner *et al*, 2014a). Withdrawal symptoms can be assessed after the sudden discontinuation of nicotine treatment (spontaneous withdrawal) or administration of nAChRs antagonists, such as mecamylamine (De Biasi and Salas, 2008). One advantage of the use of mecamylamine is that induction of nicotine withdrawal can be timed to behavioral assessment.

Similar to the observed in humans, mouse experiments have consistently shown that withdrawal from nicotine results in hippocampus-dependent cognitive deficits (Gould and Leach, 2014; Hall *et al*, 2015a; Leach *et al*, 2013; Parikh *et al*, 2016). Thus, impairments in fear conditioning have

been reported following spontaneous (Davis *et al*, 2005; Portugal *et al*, 2012a; Wilkinson and Gould, 2013) and precipitated (Raybuck and Gould, 2009) nicotine withdrawal. Spontaneous nicotine withdrawal has also been reported to alter spatial object-recognition (Kenney *et al*, 2011). Furthermore, mecamylamine-precipitated nicotine withdrawal has been associated with alterations in cognitive flexibility. Thus, withdrawn mice were unable to execute a new learning strategy, manifested as an increase in learning errors in an operant strategy switching task (Parikh *et al*, 2016). These data suggest that cognitive difficulties, inability to learn adaptive coping strategies and the frustration that can result from them could influence relapse. Therefore, treating these changes in cognition could facilitate abstinence and prevent relapse. Effective therapeutic development requires a deeper understanding of the neurobiological basis for these symptoms, which represents an objective of this thesis.

1.4.3.3 Neurobiological mechanisms

Experiments in mice suggest a selectivity of nicotine withdrawal to alter hippocampus-dependent learning (Gould and Leach, 2014). Indeed, withdrawal from chronic infusion of nicotine into the dorsal HPC disrupted learning, whereas withdrawal from chronic infusion into the cortical area above the HPC or the thalamic region directly below the HPC did not disrupt learning (Davis and Gould, 2009). In humans, abstinent smokers recover from the withdrawal-cognitive deficits over time (Hughes, 2007a), which suggests that the underlying changes in brain function should also improve in a similar time frame. It seems that nicotine withdrawal could affect cognition by modulating nAChRs, as occurs with the positive effects that acute nicotine has on learning. In mice, nicotine withdrawal deficits in hippocampus-dependent learning lasted 4 days and

by the 5th day, learning was similar to controls (Gould *et al*, 2012). Interestingly, upregulation of the high-affinity nAChRs ($\beta 2^*$) in the HPC was paralleled with the duration of cognitive deficits. This pattern of upregulation was exclusive to the HPC as it was not observed in cortex or cerebellum (Gould *et al*, 2012). In addition, withdrawal deficits were absent in mice lacking the $\beta 2$ subunit supporting its role in the cognitive deficits associated with nicotine withdrawal (Portugal *et al*, 2008; Yildirim *et al*, 2015). Therefore, changes in high-affinity nAChR upregulation in the HPC seem to be an important contributing factor to the development of cognitive deficits during nicotine withdrawal.

Several studies have implicated other receptors and mechanisms different of nAChRs in the cognitive symptoms of nicotine withdrawal. Thus, a variation on the cannabinoid receptor 1 gene (CNR1) seems to moderate cognitive disruption during nicotine withdrawal in humans (Evans *et al*, 2016). This study found that homozygotes for a major allele of CNR1, associated with an increased expression of this gene, exhibited greater nicotine withdrawal-related cognitive disruption (Evans *et al*, 2016). Moreover, cocaine-and amphetamine-regulated transcript peptide (CART), a neuropeptide known for its pro-cognitive properties, seems to be involved in memory impairment induced by nicotine withdrawal. Early nicotine withdrawal resulted in drastic reduction in CART immunoreactivity that correlated to memory impairment (Borkar *et al*, 2017). Furthermore, nicotine withdrawal deficits might be associated with changes in noradrenergic function. Thus, the norepinephrine reuptake inhibitor atomoxetine restored cognitive function during nicotine withdrawal (Davis and Gould, 2007).

These findings provide some initial steps to understand the mechanisms involved in the cognitive deficits associated with nicotine withdrawal.

However, more research in this field is necessary to understand how nicotine withdrawal affects cognitive function and facilitate the development of more specific therapeutic targets for nicotine addiction.

In summary, several studies indicate that acute or initial nicotine intake has a positive effect on cognition which may contribute to the development of nicotine dependence. However, this pro-cognitive effect disappears during chronic nicotine treatment, indicating the development of tolerance to this effect. Interestingly, when chronic nicotine treatment ceases, cognitive functioning is altered, especially those that rely on hippocampal function. Therefore, chronic nicotine usage seems to induce neuroadaptive changes in the brain resulting in tolerance to the pro-cognitive effects of acute nicotine and then deficits in learning are uncovered when nicotine exposure ends (Figure 11).

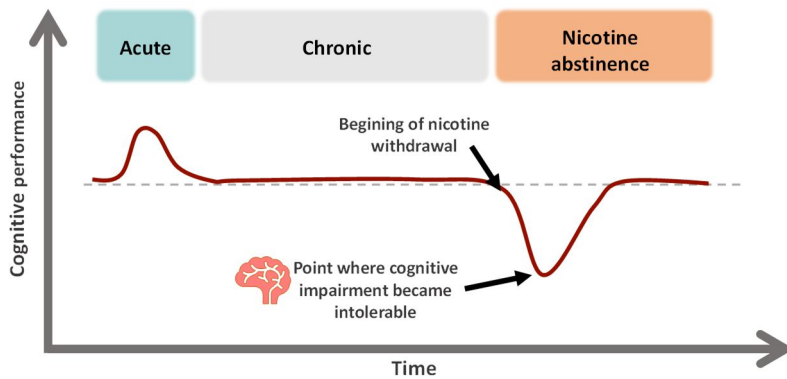


Figure 11. Theoretical model of the cognitive effects of smoking and withdrawal-induced relapse. Initiation of nicotine use produces cognitive benefits which dissipate over time with chronic nicotine use. Cognitive performance declines upon nicotine abstinence. Cognition recovers over time (red line), however altered cognition for some individuals drops to intolerable levels which might induce relapse (blue line). Developing a treatment that could alleviate the withdrawal-induced deficits in cognition would substantially enhance the likelihood of successfully quitting (green line) (Adapted from Hall *et al*, 2015).

1.5 Therapeutic strategies for nicotine addiction

Nicotine addiction is difficult to treat given its complex nature of chronic and relapsing disorder. The majority of attempts to quit smoking in the EU continue to be without any professional cessation assistance (Filippidis *et al*, 2018), even though reports estimate that near 80% of smokers who try to quit on their own relapse within the first month of abstinence (Benowitz, 2009). During the last years, the use of established aids such as pharmacotherapy has become less popular, while the use of e-cigarettes as a potential cessation method has grown (Filippidis *et al*, 2018; Prochaska and Benowitz, 2016). The most promising approaches for achieving long-term abstinence after smoking cessation include a combination of behavioral approaches along with pharmacotherapies, probably because counseling improves medication adherence (Polosa and Benowitz, 2011). However, the outcome of nicotine abstinence, even with combined therapy, is still modest. Interestingly, the ability to maintain smoking abstinence during the first week of a quit attempt is a strong predictor of success at end of treatment and at 6 months (Ashare *et al*, 2013). Thus, the early withdrawal period is a vulnerable time for most smokers and represents a critical window to evaluate novel smoking cessation treatments.

Present pharmacotherapies for tobacco addiction include nicotine replacement therapy, bupropion and varenicline. Generally, all these medications, if used properly, increase significantly the quitting rates compared to placebo treatments. Clinical trials have revealed that varenicline has a greater efficacy than bupropion and nicotine replacement therapy (abstinence rates from 9-52 weeks: 23% for varenicline, 15% for bupropion, and 10% for nicotine replacement therapy, approximately) (Prochaska and Benowitz, 2016).

1.5.1 Nicotine replacement therapy

Nicotine medications act on nAChRs to mimic or replace the effects of nicotine from tobacco. Nicotine replacement therapy provides nicotine to counteract the physical dependence that arise during abstinence without exposure to toxic combustion products (Benowitz, 2009). However, it does not completely prevent withdrawal symptoms since nicotine replacement methods provide lower and slower rising plasma nicotine concentrations compared to cigarettes smoking. Nicotine replacement therapy also provides positive reinforcing by increasing arousal and exerting a stress-relieving effect, aside from ameliorating withdrawal signs (Prochaska and Benowitz, 2016). The degree of reinforcement is related to the rapidity of absorption and the peak nicotine level achieved in arterial blood. Thus, reinforcement better achieved with rapid-delivery formulations such as nicotine nasal spray and, to a lesser extent, nicotine gum or inhaler. By using these products, smokers can dose themselves with nicotine when they have the urge to smoke cigarettes. On the other hand, nicotine patches deliver nicotine gradually and produce sustained nicotine levels throughout the day, thus not providing much positive reinforcement. Combination of nicotine patches (slow release) with nicotine gum, inhaler, or nasal spray (rapid release) are more effective than the use of single nicotine replacement products (Prochaska and Benowitz, 2015).

1.5.2 Varenicline

Varenicline is a partial agonist of the $\alpha 4\beta 2$ receptor, which mediates dopamine release and is thought to be the major receptor involved in nicotine addiction (Mihalak *et al*, 2006). Varenicline activates the $\alpha 4\beta 2$ nAChRs with a maximal effect at around 50% that of nicotine. This action relieves nicotine withdrawal symptoms, including craving, and at the same

time blocks effects of nicotine from tobacco use on the receptor, thereby decreasing the rewarding effects of cigarettes that are smoked. Preclinical studies have revealed that varenicline blocks the rewarding properties of nicotine using the CPP in mice (Bagdas *et al*, 2018) and rats (Biala *et al*, 2010). In addition, high doses of varenicline induced place aversion in mice (Bagdas *et al*, 2018). Smokers under varenicline treatment often reduce the number of cigarettes smoked per day even before their target quit day (Ebbert *et al*, 2010). Interestingly, human studies have demonstrated that varenicline is effective in alleviating cognitive withdrawal symptoms (Ashare and Schmidt, 2014; Loughhead *et al*, 2010; Patterson *et al*, 2009). Given the varenicline's site of action, these results support the hypothesis that nicotine withdrawal deficits may be related to hypersensitivity of nAChRs.

Major side effects of varenicline are nausea, vomiting, and insomnia (Fiore and Jaén, 2008). Shortly after varenicline was released the market, multiple studies reported an association between varenicline use and psychiatric adverse events, such as depression, psychosis, and suicide (Prochaska and Benowitz, 2016). Due to these psychiatric effects, the FDA placed a *Box Warning* on varenicline, and recommended caution when prescribing the drug (Drug Safety and Availability - FDA, 2016). Recently, and after evaluating large clinical trials, the FDA has determined that the risk of serious side effects on mood, behavior, or thinking with the use of varenicline is lower than previously suspected and removed the *Boxed Warning* (Drug Safety and Availability - FDA, 2016).

1.5.3 Bupropion

Bupropion hydrochloride was initially developed and marketed as an antidepressant (Stahl *et al*, 2004). It was the unexpected observation of

spontaneous smoking cessation among veterans treated with bupropion for depression that led to the exploration of bupropion as a smoking cessation medication (Benowitz, 2009). Bupropion blocks dopamine and, to a lesser extent, norepinephrine reuptake and has some weak nAChR antagonist effect (Carroll *et al*, 2014). Thus, bupropion increased brain levels of dopamine and norepinephrine, simulating the effects of nicotine on these neurotransmitters. In non-withdrawn rats, bupropion decreased the reward thresholds indicating an increase in reward, measured by intracranial self-stimulation (Cryan *et al*, 2003). Interestingly, bupropion also normalized the elevated brain reward thresholds and reduced the somatic signs of withdrawal in rats (Cryan *et al*, 2003). In agreement, a human study revealed a reduced responding for a reward unrelated to smoking during smoking abstinence (Perkins *et al*, 2013b). This effect of withdrawal was reversed by bupropion treatment suggesting the reinforcement enhancing effect of this compound. Furthermore, it has been suggested that bupropion's mechanisms for aiding smoking cessation could be the attenuation of mild cognitive effects that occur early in nicotine withdrawal (Perkins *et al*, 2013).

1.5.4 Electronic nicotine delivery systems

Electronic nicotine delivery systems (ENDS; e-cigarettes, e-hookah, vape pens) are battery-powered devices that generate an aerosol for inhalation, typically containing nicotine. Although ENDS are pictured as a “safer” alternative to traditional cigarettes for smokers unable or unwilling to quit, their innocuity is still on debate. Recent investigations have indicated that END's users can achieve nicotine blood concentration similar to that observed in cigarettes smokers, suggesting that ENDS could be potentially addictive (Pulvers *et al*, 2016). Alarming, an increase in the use of these

devices has been observed among youth. These could be partially explained because ENDS are sold in child-friendly flavorings (e.g., cotton candy, gummy bear, Froot Loops®, Oreo, Skittles) and in low-cost single units. With only a few years of surveillance data, it is uncertain whether ENDS use in adolescence could be a doorway to nicotine addiction, later conventional tobacco use, and other drugs of abuse (i.e., vaping cannabis) (Prochaska and Benowitz, 2016).

2. Neuroinflammation

For many years, neuroinflammation has been described as a complex response that the CNS exerts to manage pathogens, toxins, trauma and neurodegeneration. However, recent publications indicate that the organized actions of glial cells, neurons, inflammatory cytokines and chemokines that constitute neuroinflammation are not only provoked by pathological conditions, but they can also be induced by alterations in neuronal activity (Xanthos and Sandkühler, 2014). In this regard, neuroinflammation has been linked to depression (Blank and Prinz, 2013; Wohleb *et al*, 2016), chronic exposure to stress (Delpech *et al*, 2015), epilepsy (Vezzani *et al*, 2011), sleep alteration (Zhu *et al*, 2012), and deficits in learning and memory formation (Hein and O'Banion, 2011; McKim *et al*, 2016).

2.1 Cellular and molecular mechanisms of inflammatory processes

2.1.1 Overview of microglial cells

Microglial cells are the immune effector cells in the CNS considered as key cellular mediators of neuroinflammatory processes in the CNS. Microglia comprise cells approximately 10% of the total cell brain population in rodents and between 0.5% to 16.6% in humans (Lawson *et al*, 1990; Mittelbronn *et al*, 2001). They are considered the resident macrophages of the brain and represent 5–20% of total glial cells in rodents (Ginhoux *et al*, 2013). Microglial cells distributed differently along the brain parenchyma and their density varies depending on the brain region, going from 5% in corpus callosum to 12% in substantia nigra (Lawson *et al*, 1990)

and being more abundant in gray matter compared to white matter (Kofler and Wiley, 2011).

The ability to visualize microglia without the need for immunolabeling and the development of novel two-photon *in vivo* imaging methodologies provided unprecedented sights into the role of these cells *in vivo*. Traditionally, microglia in the normal CNS was considered as functionally inert and hence described as “quiescent” or “resting”. However, it is now clear that microglia are highly active and plastic in physiological conditions, changing the previous notion of “resting” microglial phenotype in normal adult CNS to the concept of surveillance phenotype (Davalos *et al*, 2005; Kettenmann *et al*, 2011; Nimmerjahn *et al*, 2005). In this state, microglia morphology is characterized by a small soma and long and highly dynamic ramifications through which monitors neural function and contact synapses (Kettenmann *et al*, 2011). In normal conditions, microglia plays a functionally dynamic role in synaptic plasticity, possibly through the release of cytokines and growth factors. Ramified microglial cells also contribute to structural plasticity through the elimination of synapses via phagocytic mechanisms, which is necessary for normal cognition (Kettenmann *et al*, 2013). Upon injury or alteration in the CNS, microglia cells shift from a ramified surveilling state to an activated phagocytic one, characterized by an ameboid morphology with a large soma and retraction of its ramification (Kitamura *et al*, 1978; Stence *et al*, 2001). This morphological change is generally accompanied by changes in the expression of pro-inflammatory and anti-inflammatory molecules (Blank and Prinz, 2013; Delpech *et al*, 2015) (Figure 12).

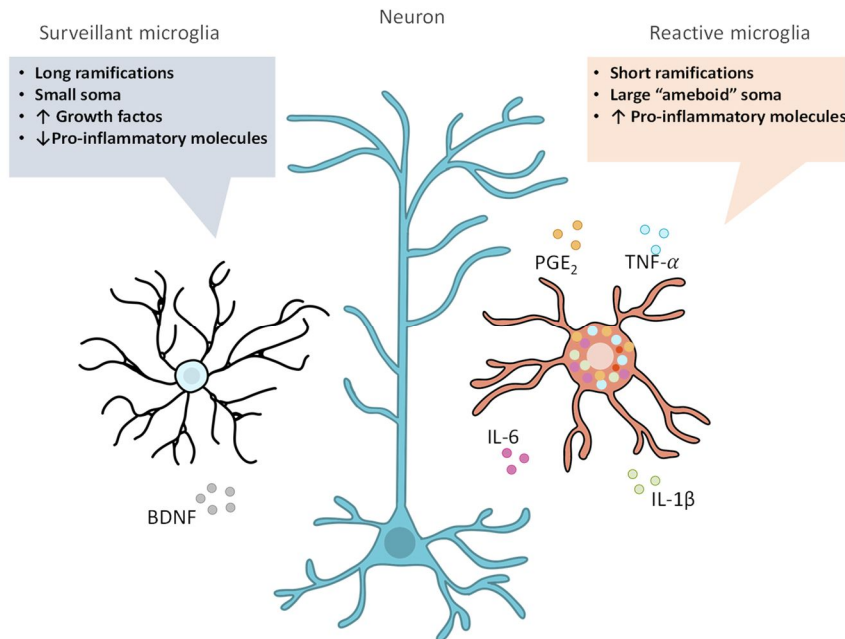


Figure 12. Schematic representation of the different morphology of microglial cells. Upon a harmful stimulus, microglia cells shift from the state to a reactive state. Significant morphological accompany both states IL, interleukin; PGE₂, prostaglandin E₂ ; TNF, tumour necrosis factor.

2.1.2 Cytokines

Cytokines are small proteins or glycoproteins released by different types of cells such as microglia, neurons and astrocytes within the CNS. Cytokines are key modulators of inflammation but also have primary roles in neuronal plasticity, neurogenesis and learning and memory in physiological conditions (Hanisch, 2002; Makhija and Karunakaran, 2013; Turner *et al*, 2014b). The term cytokine comprises more than 300 peptides, where interleukins (ILs), interferons (IFNs) and tumor necrosis factors (TNFs) represent the three most important families. In addition, cytokines can be classified based on the nature of the immune response

as pro-inflammatory (i.e IL-1, TNF α or IFN- γ) or anti-inflammatory (i.e IL-10 and IL-12) (Turner *et al*, 2014b) (Figure 13).

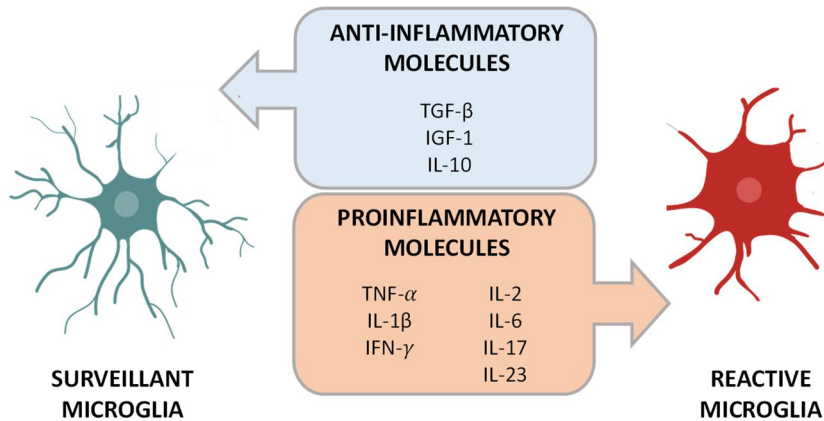


Figure 13. Proinflammatory and anti-inflammatory molecules.

IL, interleukins; IF, interferon; IFG, insulin-like growth factor; TGF, tumor growth factor; TNF, tumor necrosis factor.

2.2 Neuroinflammation and tobacco use

A potential link between smoking and inflammation has been widely established. Although most of these studies have focused on the relationships between inflammatory markers and increased cardiovascular risk (Lo Sasso *et al*, 2016; Wannamethee *et al*, 2005), there are some studies that have evaluated the role of inflammatory molecules in other aspects of nicotine dependence. Thus, a preclinical study found that precipitation of nicotine withdrawal results in an upregulation of CX3CR1, a key component of a signaling pathway associated with the induction of proinflammatory cytokines in microglial cells (Ding *et al*, 2015). Additionally, a pilot human study found that an inflammatory response, observed as increased levels of c-reactive protein, was associated with the affective signs of nicotine withdrawal in a sub-group

of abstinent smokers (Corwin and Klein, 2003). Interestingly, enhanced levels of c-reactive protein in humans have also been associated with neurocognitive decline following surgery (Ramlawi *et al*, 2006) and in older adults (Bettcher *et al*, 2012).

2.3 Neuroinflammation and cognitive impairment

Many disorders of the CNS that course with cognitive deficits may have an inflammatory component underneath (Blank and Prinz, 2013). Thus, changes in microglial morphology have been directly associated with deficits in cognition in a mice model of schizophrenia (Gomes *et al*, 2015). In line with this study, an increase in microglia reactivity has been found to be underlying the cognitive deficits following an intermittent alcohol exposure (Zhao *et al*, 2013) and chronic stress exposure (McKim *et al*, 2016). Interestingly, microglial reactivity has been associated with an increase in the expression pro-inflammatory genes, such as IL-1 β and TNF α , in models with memory alterations (Blank and Prinz, 2013; Hanisch, 2002; Streit *et al*, 1999, 2004). Notably, these two cytokines have a central role in learning and memory processes in both healthy (Kohman and Rhodes, 2013; Moraes *et al*, 2015; Santello and Volterra, 2012) and pathological brain (Cacci *et al*, 2005; Cho *et al*, 2015; Kitazawa *et al*, 2011). A recent study revealed that microglial-derived IL-1 β seems to be critical for normal hippocampus-dependent learning and memory (Williamson *et al*, 2011). Indeed, expression of IL-1 β increases in response to normal learning (Ross *et al*, 2003; Schneider *et al*, 1998). Moreover, mice lacking IL-1 β or its receptor exhibited impairments in fear memory (Goshen *et al*, 2007) and spatial learning (Hein *et al*, 2010). In contrast, high levels of IL-1 β impair contextual and spatial memory (Barrientos *et al*, 2002, 2009;

Hein *et al*, 2010; Spulber *et al*, 2009). It has been suggested that IL-1 β could mediate memory processes by affecting LTP in the HPC. Indeed, It is known that IL-1 β gene expression is increased after LTP induction *ex vivo*, but also *in vivo* in freely moving rats (Balschun *et al*, 2003; Schneider *et al*, 1998). This enhancement of IL-1 β expression seems to play a role not only during induction, but also during LTP maintenance (Loscher *et al*, 2003; Schmid *et al*, 2009). These data suggest that physiological levels of IL-1 β are necessary for normal memory, whereas concentrations that are either too low or too high impair memory.

An increase in the levels of TNF α also contributes to cognitive impairment (Belarbi *et al*, 2012). Similar to IL-1 β , physiological levels of TNF α can be beneficial but an exaggerated production of this cytokine is detrimental to the induction of LTP in both its early and late phases (Butler *et al*, 2004). TNF α also plays a clear role in a form of long-term plasticity called synaptic scaling, a plasticity mechanism able to adjust the strengths in synapses through increased AMPA receptors expression in response to an episode of strong cell activation. This leads to neuronal network stabilization. Indeed, it has been shown that TNF α is necessary for increased surface AMPA receptors and synaptic strength after chronic blockade of neuronal activity (Stellwagen and Malenka, 2006).

Inflammatory cytokines including IL-1 β , TNF α , and IFN γ are also key modulators of neurogenesis (Borsini *et al*, 2015). Neurogenesis is a complex neurobiological process by which new neurons are generated from neural stem cells (Boldrini *et al*, 2018; Imayoshi *et al*, 2009). Current data have estimated that approximately 700 new neurons are added to the adult human HPC daily, suggesting that neurogenesis has a critical role in mediating human brain functions, such as memory formation and learning (Deng *et al*, 2010; Spalding *et al*, 2013). In rodents, 2 neurogenic

niches have been described: (1) the subventricular zone of the lateral ventricles and (2) the subgranular zone of the dentate gyrus (DG) in the HPC. Several studies support the involvement of adult hippocampal neurogenesis in memory and learning (Aimone *et al*, 2014; Deng *et al*, 2010; Saxe *et al*, 2006; Zhao *et al*, 2008). These studies have revealed that new granule cell neurons have higher levels of excitability and plasticity and are thought to play an important role in forming memories (Ge *et al*, 2007), spatial learning (Deng *et al*, 2010), pattern separation (Sahay *et al*, 2011), cognitive flexibility, and the association between old and new memories (Jessberger and Gage, 2014; Kohman and Rhodes, 2013).

Under physiological conditions, surveilling microglia cells play a supporting role in neurogenesis (Ekdahl *et al*, 2009; Hanisch and Kettenmann, 2007; Ziv and Schwartz, 2008). This, exposure of rats to environmental enrichment induced not only increased neurogenesis, but also a significant increase in the number of hippocampal microglia (Ziv *et al*, 2006). Conversely, reactive microglia and secretion of cytokines have been suggested to impaired neurogenesis in an inflammatory environment (Chesnokova *et al*, 2016; Monje *et al*, 2003).

In summary, strong evidence supports the role of microglia, cytokines and neurogenesis as key players of neuroinflammation-induced cognitive dysfunction. However, further research is needed to better understand the role of neuroinflammation in memory processes. Identifying the key molecules involved in these processes could lead to the development of new tools to prevent the cognitive dysfunction associated with nicotine withdrawal.

3. The endocannabinoid system

The endogenous cannabinoid system is one of the most important physiological systems involved in establishing and maintaining human health. Endocannabinoids and their receptors are found throughout the body: in the brain, connective tissues, glands, and immune cells.

3.1 Overview of the endocannabinoid system

Albeit the extensive consumption of cannabis derivatives over thousands of years, it was not until the 1980s when it was unraveled that cannabinoid compounds exert their biological effects through the activation of specific endogenous receptors, instead of by altering the cellular membrane permeability as it was formerly believed (Devane *et al*, 1988; Herkenham *et al*, 1990; Howlett and Fleming, 1984; Matsuda *et al*, 1990). This discovery was followed by the identification of the endogenous ligands of cannabinoid receptors, which were referred to as endocannabinoids (Devane *et al*, 1992; Mechoulam *et al*, 1995). Hence, the endocannabinoid system consists of endocannabinoids, their GPCR-family receptors and the enzymatic machinery that synthesizes and degrades endocannabinoids. Therefore, exogenous cannabinoids act through the hijacking of the endocannabinoid system, which represents the major site of action of Δ^9 -tetrahydrocannabinol. (THC) and other cannabinoids. The biological effects induced by these compounds are directly linked to the neuroanatomical distribution and physiological role of this endogenous system. Three characteristics distinguish the endocannabinoids from other neurotransmitters systems: (1) the endocannabinoid system has a retrograde signaling, (2) endocannabinoids are synthesized on demand since they cannot be stored in vesicles due to its lipophilic nature and (3) activation of cannabinoid receptors modulates excitability of the neurons

inhibiting the release of both excitatory and inhibitory neurotransmitters. This system is involved in a wide variety of biological functions, including brain development, control of energy expenditure, motivation, pain perception, reward, cognition and stress, among others (Chen, 2015; Mechoulam and Parker, 2013).

3.1.1 Cannabinoid receptors

Endocannabinoids and external cannabinoids exert their pharmacological actions through the activation of at least two distinct cannabinoid receptors: CB1R and CB2R. CB1R was the first cloned and characterized cannabinoid receptor (Matsuda *et al*, 1990), abundantly expressed throughout the CNS (Herkenham *et al*, 1990, 1991). Shortly after, CB2R was identified and initially considered as a peripheral receptor, since it was first found in the spleen (Munro *et al*, 1993). Both belong to the GPCR family and are mainly coupled to Gi/o protein. Diverse studies also point to the existence of other receptors that bind cannabinoid ligands, such as G protein-coupled receptor 55 (GPR55) (Pertwee, 2009), the sphingosine-1-phosphate lipid receptors GPR3, GPR6 and GPR12 (Kostenis, 2004; Yin *et al*, 2009), the peroxisome proliferator-activated receptor (PPAR) (O'Sullivan, 2009), or the transient receptor potential cation channel subfamily V member 1 (TRPV1) (De Petrocellis and Di Marzo, 2010).

3.1.1.1 Cannabinoid receptor type 1

CB1R is the most abundant GPCRs receptor in the CNS and constitutes the main cannabinoid receptor involved in the psychoactive effects of THC and other cannabinoid ligands. Its distribution has been well characterized both in rodents (Herkenham *et al*, 1991; Tsou *et al*, 1998) and humans (Lee *et al*, 2018; Terry *et al*, 2010; Westlake *et al*, 1994). The highest density of CB1R has been observed in the basal ganglia, cerebellum, and HPC. These

receptors have also been found in cortex, amygdala, thalamus and hypothalamus, among other brain regions (Herkenham *et al*, 1991). The high CB1R levels in the sensory and motor regions are consistent with the important role of CB1R receptors in motivation and cognition. CB1R is also expressed in peripheral tissues, including the retina, gonads, peripheral neurons, adipocytes, heart, lung, liver, adrenal gland, and immune and vascular system (Pertwee *et al*, 2010). At the cellular level, CB1R expression is mainly restricted to presynaptic terminals, where they modulate the release of multiple excitatory and inhibitory neurotransmitters, usually by promoting the inhibition of their release (Wilson and Nicoll, 2002) (Figure 14).

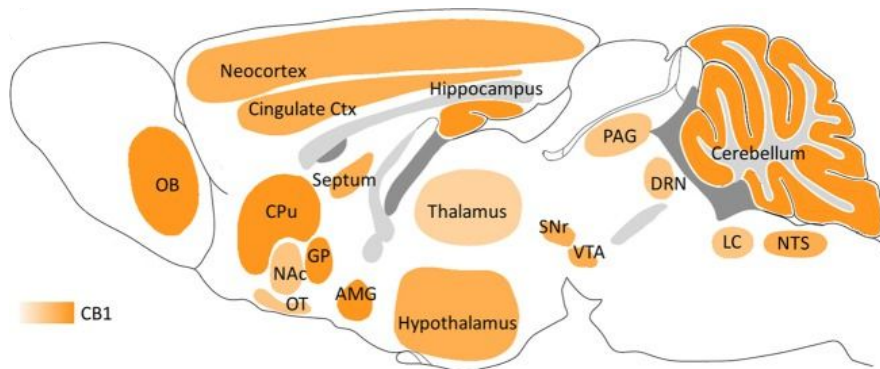


Figure 14. Schematic representation of the main areas expressing CB1 in the mouse brain. AMG, amygdala; CPu, caudate putamen; Ctx, cortex; DRN, dorsal raphe nucleus; GP, globus pallidus; LC, locus coeruleus; NAc, nucleus accumbens; NTS, nucleus of the solitary tract; OB, olfactory bulb; OT, olfactory tubercle; PAG, periaqueductal gray; SNr, substantia nigra pars reticulata; VTA, ventral tegmental area (Flores *et al*, 2013).

3.1.1.2 Cannabinoid receptor type 2

CB2R is primarily located in the immune system including the spleen, thymus and immune cells, and are deeply involved in inflammatory processes (Walter and Stella, 2004). Originally, it was assumed that CB2R was absent in CNS neurons (Munro *et al*, 1993). However, further research suggests that CB2R is expressed by some neurons, particularly under certain pathological conditions (Atwood and Mackie, 2010; Van Sickle *et al*, 2005; Viscomi *et al*, 2009). In addition, CB2R may be upregulated under neuroinflammatory conditions in certain cell populations within the brain, such as microglial cells (Cabral *et al*, 2008; Carlisle *et al*, 2002; Stella, 2013). Interestingly, recent studies suggest that besides their role in neuroinflammation, CB2R also controls the rewarding properties of diverse addictive drugs, such as cocaine (Aracil-Fernández *et al*, 2012; Xi *et al*, 2011), alcohol (Ortega-Álvaro *et al*, 2015) and nicotine (Navarrete *et al*, 2013).

3.1.2 Endocannabinoids

The discovery of the cannabinoid receptors prompted the research to identify the endogenous molecules that stimulate these receptors. Two molecules, known as endocannabinoids, were isolated, one from the brain, N-arachidonoyl ethanol-amide (anandamide or AEA) and a second from peripheral tissue, 2-arachidonoyl glycerol (2-AG) (Devane *et al*, 1992; Mechoulam *et al*, 1995).

Anandamide behaves as a partial agonist at both CB1R and CB2R, although presents lower affinity for CB2R, and binds also to the TRPV1 receptor (Cristino *et al*, 2008). 2-AG concentration in the brain is much higher than anandamide and acts as a full agonist for both CB1R and CB2R with higher potency than anandamide (Reggio, 2010). Beside these molecules, other

putative endocannabinoids have also been identified, such as 2-arachidonoylglycerolether (Hanus *et al*, 2001) and O-arachidonylethanolamine, among others (Porter *et al*, 2002) (Figure 15). Despite the ability of these additional endogenous lipids to bind to cannabinoid receptors, their functional relevance remains to be elucidated.

Unlike most of the neurotransmitters, anandamide and 2-AG are not stored in presynaptic vesicles, but rather synthesized and released on demand in the postsynaptic terminals in an activity-dependent manner (Di Marzo *et al*, 2005). Once released from the postsynaptic neurons, endocannabinoids travel retrogradely across synapses and activate CB1R on presynaptic terminals, acting as fast retrograde synaptic messengers to produce a transient decrease of the release of other neurotransmitters (Ohno-Shosaku *et al*, 2001; Wilson and Nicoll, 2002). Given its fast-modulatory effects, endocannabinoid tone is finely controlled by balancing its biosynthesis and degradation.

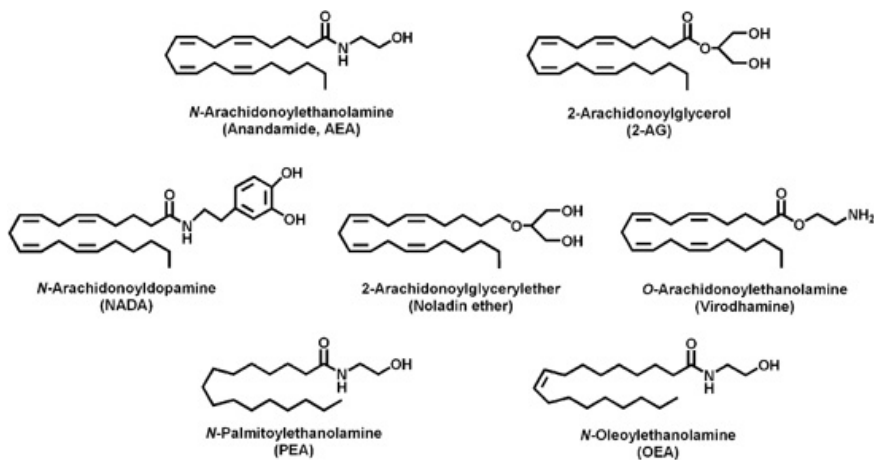


Figure 15. Endocannabinoids structure

3.1.3 Synthesis and degradation of endocannabinoids

Anandamide is principally synthesized as a consequence of the hydrolysis of its phospholipid precursor N-arachidonoyl-phosphatidylethanolamine by the action of a specific phospholipase D (Di Marzo *et al*, 2005). 2-AG results from the hydrolysis of diacylglycerol by a diacylglycerol lipase (DAGL) (Di Marzo *et al*, 2005). Endocannabinoids are removed from the synaptic cleft and taken up by the cell following their release and upon activation of their molecular targets. After their reuptake in the cell, endocannabinoids are degraded by the effect of specific hydrolases. Anandamide is hydrolyzed to arachidonic acid and ethanolamine by fatty acid amide hydrolase (FAAH) (Cravatt *et al*, 1996), while 2-AG is mainly hydrolyzed by the monoacylglycerol lipase (MAGL) to arachidonic acid and glycerol (Dinh *et al*, 2002a, 2002b). Both are intracellular enzymes, but FAAH is primarily expressed in the soma and dendrites of postsynaptic neurons (Egertová *et al*, 2003), whereas MAGL is expressed in presynaptic terminals (Gulyas *et al*, 2004) (Figure 16).

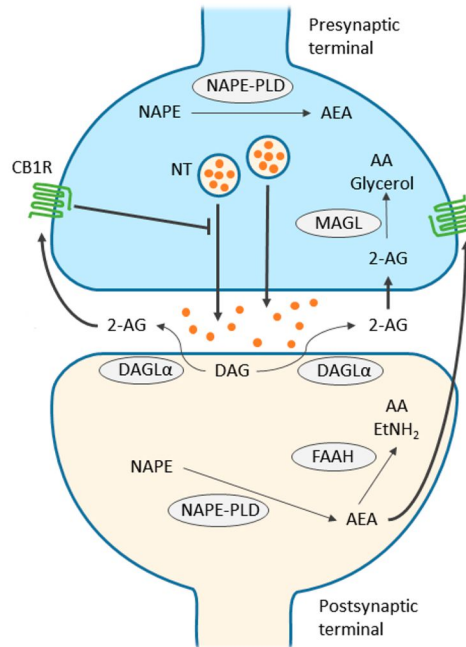


Figure 16. Simplified scheme representing the synthesis and degradation of two main endocannabinoids. 2-arachidonolglycerol (2-AG) is biosynthesized from diacylglycerol (DAG) by diacylglycerol lipase- α (DAGL α), and anandamide (AEA) is synthesized from N-acyl-phosphatidylethanolamine (NAPE) by NAPE-specific phospholipase D (NAPE-PLD). As lipids, endocannabinoids, mainly 2-AG, readily cross the membrane and travel in a retrograde fashion to activate CB1Rs located in the presynaptic terminals. 2-AG in the synaptic cleft is taken up into the presynaptic terminals, via a yet unclear mechanism, and degraded to arachidonic acid (AA) and glycerol by monoacylglycerol lipase (MAGL). On the other hand, AEA, synthesized in postsynaptic terminal, Although endocannabinoid retrograde signaling is mainly mediated by 2-AG, AEA can activate presynaptic CB1Rs as well. Fatty acid amide hydrolase (FAAH) is primarily found in postsynaptic terminals and is responsible for degrading AEA to AA and ethanolamine (EtNH₂). Although NAPE-PLD is expressed in presynaptic terminals in several brain regions, it is not clear yet whether AEA is responsible for anterograde signaling in the endocannabinoid system. Alternative routes exist for the metabolism of endocannabinoids, depending on the brain region and physiological conditions (Zhou and Kumar, 2018)

3.1.4 Cannabinoid receptor signaling

Stimulation of cannabinoid receptors produces a wide variety of effects through the activation of diverse signal transduction pathways (Bosier *et al*, 2010). Both CB1R and CB2R exert their reported biological effects by activating heterotrimeric Gi/o type G proteins. Through coupling to Gi/o, CB1R activation mediates the inhibition of adenylyl cyclase, with subsequent reduction in cAMP levels and protein kinase A activity (Howlett, 2005). In addition, CB1R coupling to G β yi/o can stimulate the phosphorylation and activation of various members of the MAPK family, including ERK1/2, p38 and c-Jun N-terminal kinase (Bouaboula *et al*, 1995; Howlett, 2005). CB1R also modulates the activity of several ion channels, including the activation of the inward-rectifying K⁺ channels, and the inhibition of N-type and P/Q-type Ca²⁺ channels, triggering the repolarization of the plasmatic membrane and impeding neurotransmitter release (Bosier *et al*, 2010). The lipid composition of the cellular membrane in the surroundings of the receptor, and particularly cholesterol content, seems to be critical for the regulation of signal transduction pathways triggered upon CB1R stimulation (Maccarrone,

2010) (Figure 17).

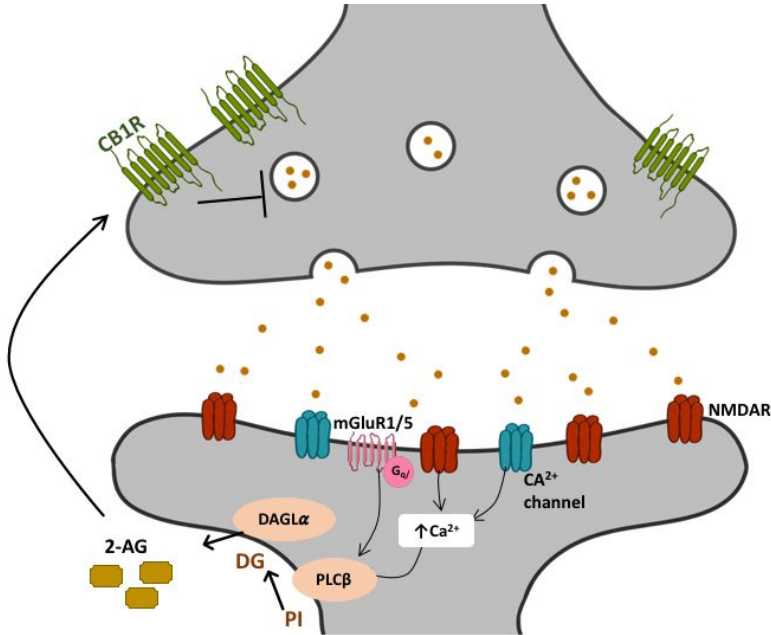


Figure 17. Endocannabinoid-mediated retrograde inhibition of neurotransmitter release in glutamatergic transmission. Endocannabinoids are synthesized and released on demand in the postsynaptic terminals in an activity-dependent manner after postsynaptic Ca^{2+} elevations. Once released from the postsynaptic neurons, endocannabinoids act retrogradely on the CB1R located at the presynaptic terminals to produce transient decrease of neurotransmitter release. N-Methyl-D-aspartate receptor (NMDAR); phosphatidylinositol (PI); diacylglycerol (DG); 1-Phosphatidylinositol-4,5-bisphosphate phosphodiesterase beta (PLC β) (Adapted from Kano, 2014).

3.1.5 Exogenous cannabinoids: focus on cannabidiol

Over 100 compounds, called phytocannabinoids, have been isolated from *Cannabis Sativa* extracts. Among them, the two major active constituents are Δ^9 -tetrahydrocannabinol (THC) (Gaoni and Mechoulam, 1964) and cannabidiol (CBD) (Mechoulam and Shvo, 1963). THC is the main psychoactive component of the plant and mediates the rewarding properties of cannabis (Huestis *et al*, 2001). In contrast, CBD does not have reinforcing effects nor abuse potential (Katsidoni *et al*, 2013; Parker *et al*, 2004).

Although CBD was isolated more than 50 years ago, the interest in this phytocannabinoid experimented a dramatic increase in recent years. Several studies have positioned CBD as a potential therapeutic strategy for the treatment of several neuropsychiatric disorders (Campos *et al*, 2012, 2016; Fernández-Ruiz *et al*, 2013). In this sense, CBD has been proposed to exert neuroprotective effects in neurodegenerative conditions, including Alzheimer's (Martín-Moreno *et al*, 2011) and Parkinson's disease (Garcia-Arencibia *et al*, 2007), epilepsy and multiple sclerosis (Leo *et al*, 2016). Furthermore, CBD has been described as an anti-inflammatory (Ben-Shabat *et al*, 2006; Esposito *et al*, 2011; Mecha *et al*, 2013; Napimoga *et al*, 2009), and immunomodulatory (Kozela *et al*, 2010; Malfait *et al*, 2000) compound. Indeed, CBD has been shown to decrease the production of inflammatory cytokines, the activation of microglial cells (Alvarez *et al*, 2008; Kozela *et al*, 2011; Napimoga *et al*, 2009) and to improve cell proliferation and neurogenesis in the HPC (Mori *et al*, 2016; Schiavon *et al*, 2016). In agreement, a recent investigation reported that CBD protects against memory impairments and hippocampal cell loss in a mouse model of artery occlusion (Schiavon *et al*, 2014)

The pharmacological actions of CBD in the modulation of the addictive properties of different drugs of abuse have also been studied. In this sense, most of the effects of CBD have been investigated in the context of opiate drugs. In morphine-dependent rats, CBD reduces withdrawal signs (Bhargava, 1976; Chesher and Jackson, 1985; Hine *et al*, 1975a, 1975b). CBD does not reduce heroin self-administration or heroin-primed reinstatement of drug seeking in an animal model of relapse, however CBD has been reported to be effective in diminishing heroin cue-primed drug seeking and normalizes heroin-induced impairments in accumbal AMPA and CB1R levels (Ren *et al*, 2009). In addition to these preclinical studies, a human study demonstrated that CBD does not alter the subjective effects of fentanyl, but attenuates heroin cue-induced drug craving and anxiety (Hurd *et al*, 2015). These results indicate that CBD effectively reduces opioid-paired cue reactivity but has little effect on the acute reinforcing properties of opioids. However, additional work shows that the reward-facilitating effects of morphine on intracranial self-stimulation are decreased by systemic CBD administration (Katsidoni *et al*, 2013). Interestingly, CBD could be a potential therapeutic agent to treat nicotine dependence. Preliminary findings from a pilot study in humans revealed that CBD reduced cigarette smoking significantly compared to placebo in smokers trying to quit (Morgan *et al*, 2013). In addition, CBD treatment ameliorated the salience and pleasantness of cigarette cues after overnight abstinence in dependent smokers (Hindocha *et al*, 2018).

To date, the mechanisms through which CBD exerts its effects remain to be elucidated. Multiple targets have been proposed to mediate the pharmacological effects of CBD. Early studies indicated that CBD was a CB1R inverse agonist similar to rimonabant (Pertwee, 2008; Thomas *et al*, 2009). However, it has been demonstrated that CBD has negligible activity

on CB1R and CB2R (Mechoulam *et al*, 2002), but may interfere with the endocannabinoid system and directly or indirectly stimulate FAHH (Bisogno *et al*, 2001; De Petrocellis *et al*, 2011), 5-hydroxytryptamine 1A (5-HT1A) receptors (Gomes *et al*, 2011; Zanelati *et al*, 2010), adenosine receptors, TRPV1, and nuclear receptors of the peroxisome proliferator-activated receptor family (Esposito *et al*, 2011; Fernández-Ruiz *et al*, 2013). Although these results have shed a light on how CBD acts to modulate behavior, further research is needed to fully elucidate CBD's mechanism of action.

3.2 Main physiological functions of the endocannabinoid system

The extensive distribution of the endocannabinoid system in the CNS and numerous peripheral tissues correlates with its role as a modulator of multiple physiological functions. The presence of CB1R in the basal ganglia and cerebellum has been related to fine control of motor coordination and cerebellar learning performance (Fernández-Ruiz and Gonzáles, 2005; Kishimoto and Kano, 2006). The endocannabinoid system also controls nociception under diverse sorts of acute and chronic pain conditions (Maldonado *et al*, 2016; La Porta *et al*, 2014). Notably, this neuromodulatory system ensures an appropriate reaction to stressful events, regulating anxiety and fear responses (Lutz *et al*, 2015). It has also been associated with the modulation of emotions and motivation (Mechoulam and Parker, 2013), reward processing and addiction (Maldonado *et al*, 2006; Parsons and Hurd, 2015). CB1R expression in the HPC has been widely investigated because of the deleterious effects of cannabis on learning and memory (Kano *et al*, 2009; Puighermanal *et al*, 2009). In this regard, agonism of CB1R has been shown to alter hippocampal oscillatory activity by depressing synaptic activity and

plasticity at CA3-CA1 synapses (Hampson and Deadwyler, 2000). In addition, this neuromodulatory system regulates synapse formation and remodeling (Harkany *et al*, 2008; Kano *et al*, 2009), and diverse processes involved in neuronal development, including neuronal survival, differentiation, proliferation and migration (Galve-Roperh *et al*, 2013; Harkany *et al*, 2008; Rueda *et al*, 2002). Acting at the peripheral level, the endocannabinoid system modulates the immune and cardiovascular systems, controls gastrointestinal motility and metabolism, and regulates the function of the liver, the adipose tissue and the reproductive system, among others (Grotenhermen and Müller-Vahl, 2003; Watkins and Kim, 2014).

3.3 Involvement of the endocannabinoid system in nicotine addiction

Several studies support the crucial role of the endocannabinoid system in nicotine addiction. Animals pretreatment with the selective CB1R antagonist rimonabant (SR141716A) did not exhibit nicotine-enhanced dopamine extracellular levels in the NAc (Cheer *et al*, 2007; Cohen *et al*, 2002) and the BNST (Cheer *et al*, 2007). Consistent with this, nicotine-induced CPP was absent in rats and mice pretreated with rimonabant (Le Foll and Goldberg, 2004; Merritt *et al*, 2008), and in mice lacking CB1R (Castañé *et al*, 2002; Merritt *et al*, 2008). Furthermore, CB1R antagonists reduce nicotine self-administration in rats (Cohen *et al*, 2002; Shoaib, 2008), suggesting that signaling through CB1R is necessary for the rewarding and reinforcing properties of nicotine. The endocannabinoid system also plays a role in the reinstatement of nicotine-seeking. Rimonabant attenuated cue- (Cohen *et al*, 2005; De Vries and

Schoffelmeer, 2005), and context-induced (Diergaarde *et al*, 2008) nicotine-seeking in rats.

Besides CB1R, the role of CB2R in the rewarding, reinforcing and physical effects of nicotine has also been addressed (Gamaledin *et al*, 2012; Navarrete *et al*, 2013). Interestingly, pharmacological and genetical blockade of CB2R abolished nicotine-induced CPP and reduced nicotine self-administered. In addition, somatic signs of nicotine withdrawal were significantly decreased in CB2KO mice. In contrast, another study reported that genetic deletion of CB2 receptors does not alter the expression of anxiogenic-like withdrawal responses and somatic withdrawal signs (Ignatowska-Jankowska *et al*, 2013). These contradictory results could be due to differences in the genetic background (CD-1 vs C57BL/6), which would emphasize the significant influence of genetics on nicotine withdrawal behaviors. Therefore, it is not clear the role of CB2R in the rewarding, reinforcing, and motivational effects of nicotine.

Given the role of CB1R in nicotine reinforcement and nicotine-seeking behavior, CB1R was pictured as a possible target to treat nicotine addiction. Additionally, rimonabant was proposed as potential drug to protect successful quitters from significant post-cessation weight gain, since rimonabant was initially developed as a potential treatment for obesity (Cahill and Mh, 2012). Rimonabant at the dose of 20 mg was licensed as an aid for weight control in the European Union in June 2006, but was not licensed for smoking cessation (Acomplia, 2006). Phase III trials were conducted to test the use of rimonabant for long-term smoking cessation with the avoidance of significant weight gain. The STRATUS program (STudies with Rimonabant And Tobacco USE), engaged two cessation trials (STRATUS-EU and STRATUS-US), and one relapse prevention trial (STRATUS-WW) (Cinciripini *et al*, 2006)

Results from STRATUS-EU and STRATUS-US indicated that 20 mg rimonabant treatment increased the chances of quitting approximately by 1.5-fold (Cahill and Ussher, 2011; Le Foll and Goldberg, 2009; Steinberg and Foulds, 2007). The STRATUS-WW trial reported that who had quit on the 20 mg regimen were more likely to remain abstinent. In addition, it was reported that adding a nicotine patch to rimonabant was well tolerated and increased smoking cessation rates over rimonabant alone (Rigotti *et al*, 2009). Concerns on the safety of rimonabant were raised by reports of 1-year treatment in overweight and obese patients. Rimonabant significantly increased the risk of suicide attempts or ideation (Moreira and Crippa, 2009). In addition, it was revealed that many patients abandoned rimonabant treatment due to anxiety and depression (Moreira and Crippa, 2009). Due to these psychiatric side effects, the European Medicines Agency (EMA) recommended the suspension of the marketing authorization for rimonabant on October 23th, 2008.

Contradictory results have been found regarding the participation of the endocannabinoid system in nicotine withdrawal. Somatic signs of withdrawal remained unaltered in KO mice for CB1R (Castañé *et al*, 2002; Merritt *et al*, 2008). However, an amelioration of physical signs has been observed in mice pretreated with rimonabant (Merritt *et al*, 2008). Few studies have assessed the role of endocannabinoids in withdrawal signs. Mice treated with a high dose of URB597, a selective FAAH inhibitor, significantly enhanced spontaneous nicotine somatic withdrawal signs (Merritt *et al*. 2008). In contrast, URB597 did not modify the withdrawal somatic signs in rats, although it was effective in reducing withdrawal-induced anxiety (Cippitelli *et al*. 2011). The discrepancy between these two studies could suggest possible species differences in the regulation of nicotine withdrawal mechanisms by FAAH inhibition between rats and

mice (Muldoon *et al*, 2013). Furthermore, inhibition of MAGL by administration of JZL184, dose-dependently reduced somatic and aversive withdrawal signs, an effect blocked by rimonabant, indicating a CB1 receptor-dependent mechanism (Muldoon *et al*, 2015).

Although the role of the endocannabinoid system in the somatic and affective symptoms of withdrawal has relatively been studied, the involvement of this system in other aspects of withdrawal, such as cognitive symptoms, have not been explored yet and constitutes one of the main objectives of the present thesis.

4. The orexin/hypocretin system

Two decades ago, the orexin/hypocretin system was discovered simultaneously by two independent groups through different scientific approaches in the US (de Lecea *et al*, 1998) and Japan (Sakurai *et al*, 1998). De Lecea's group identified a hypothalamic mRNA species that encoded for a polypeptide precursor that after cleavage form two peptide transmitters (de Lecea *et al*, 1998). One of these peptides was shown to be strongly neuroexcitatory in neuronal cultures. These peptides were named hypocretin-1 and hypocretin-2 ("hypo" for hypothalamus, "cretin" for the sequence resemblance to the hormone secretin). At the same time, the research group of Sakurai identified two peptide transmitters that activated two orphan receptors (Sakurai *et al*, 1998). Molecular cloning studies showed that these peptides derive from a common precursor peptide, and were able to stimulate food intake in rats upon intracerebroventricular infusion (Sakurai *et al*, 1998). The peptides were termed orexin-A and orexin-B, from *orexis*, the Greek word for appetite) and the orphan receptors became the OX1R and OX2R orexin receptors. Both sets of names are still in use, with hypocretin-1 being equivalent to orexin A and hypocretin-2 to Orexin-B.

4.1 Overview of the orexin system

4.1.1 Orexin peptides

Orexin-A and orexin-B are 33- and 28-amino acid peptides, respectively, result from the proteolysis of a common precursor, prepro-orexin (131 amino acids) (de Lecea *et al*, 1998; Sakurai *et al*, 1998). The gene encoding prepro-orexin is located on chromosome 17 in humans (Sakurai *et al*, 1999). The sequence of orexin-A is identical in rats, mice, pigs, dogs, and humans, whereas orexin-B differs only in one or two amino acids between these species (Wong *et al*, 2011). The strong preservation of the orexin system across vertebrate evolution reveals its functional relevance. Diverse post-translational modifications take place in order to obtain the mature functional orexin peptides. Orexin-A and orexin-B share 46% of their sequence (Sakurai *et al*, 1998), and their overall 3D structures are quite similar, which explains their ability to bind the same receptors (Kim *et al*, 2004; Lee *et al*, 1999). However, orexin A appears to be more stable and lipophilic than orexin B. In the CNS, orexin peptides act as neuromodulators. Hence, they are stored in secretory vesicles, transferred through the axon to the neuronal terminals and released in a Ca^{2+} -dependent manner (de Lecea *et al*, 1998).

4.1.2 Orexin receptors

Two GPCR receptors respond to orexin stimulation: OX1R (425 amino acids) and OX2R (444 amino acids). These receptors are also known as hypocretin receptor 1 (Hcrtr-1) and hypocretin receptor 2 (Hcrtr-2), respectively (de Lecea *et al*, 1998; Sakurai *et al*, 1998). Orexin receptors, similar to orexin peptides, are highly conserved across mammalian species and there is an overall 64% identity between them in humans (Sakurai *et al*, 1998). Studies on heterologous expression systems have shown that orexin receptors differ in their ligand binding affinities. Thus, OX2R presents a rather equal affinity for both orexin peptides, while OX1R shows a 10- to 100-fold higher affinity for orexin-A than orexin-B (Ammoun *et al*, 2003; Sakurai *et al*, 1998) (Figure 18).

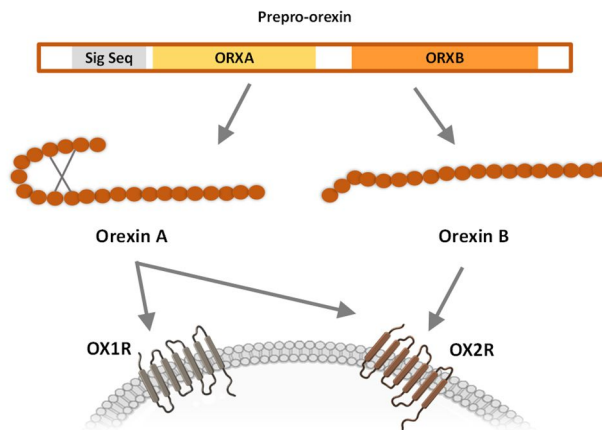


Figure 18. Orexin peptides and their receptors. Orexin-A and orexin-B are cleaved from their precursor prepro-orexin (Adapted from Sakurai 2014).

4.1.3 Orexin receptors signaling

The signaling pathways activated by orexin receptor stimulation have been extensively investigated in transfected heterologous cell systems. However, these studies provide limited information about the particular signaling pathways taking place in native receptor-expressing neurons, from which available data are still limited (Kukkonen, 2017). The main signal transduction mechanism accepted for orexins indicates that OX1R couples to Gq proteins and that OX2R couples to Gq and Gi/o family members. In neurons, the most frequent response after agonist binding to orexin receptors is an enhancement of intracellular Ca²⁺ concentrations, explaining the commonly reported neuroexcitatory nature of orexin peptides on the brain (Eriksson *et al*, 2001; van den Pol *et al*, 1998). Upon ligand binding, orexin receptor promotes the activation of Gq proteins, which induces the stimulation of phospholipase C (PLC) and subsequent production of the second messengers diacylglycerol and inositol trisphosphate (IP₃) from membrane phospholipids. In turn, this triggers the activation of protein kinase C (PKC), which phosphorylates and modulates effector ion channels leading to Ca²⁺ entrance (Kohlmeier *et al*, 2004; Uramura *et al*, 2001; Xia *et al*, 2009), as well as further IP₃-mediated entry via store-operated Ca²⁺ channels (Kukkonen and Akerman, 2001; Larsson *et al*, 2005). Therefore, activation of orexin receptors commonly translates into an increase in action potential frequency (Figure 19).

As previously mentioned, the activation of PLC upon OX1R stimulation leads to the production of diacylglycerol and concomitant activation of PKC. Among other effectors, PKC phosphorylates extracellular signal-regulated kinase (ERK) and p38 kinase, both in recombinant cells (Ammoun *et al*, 2006; Tang *et al*, 2008) and neurons (Gorojankina *et al*,

2007; Selbach *et al*, 2010). These kinases are two well-known members of the mitogen-activated protein kinase (MAPK) pathway, which is involved in several cellular processes, including synaptic plasticity, cell survival and proliferation (Selbach *et al*, 2010; Thornton and Rincon, 2009).

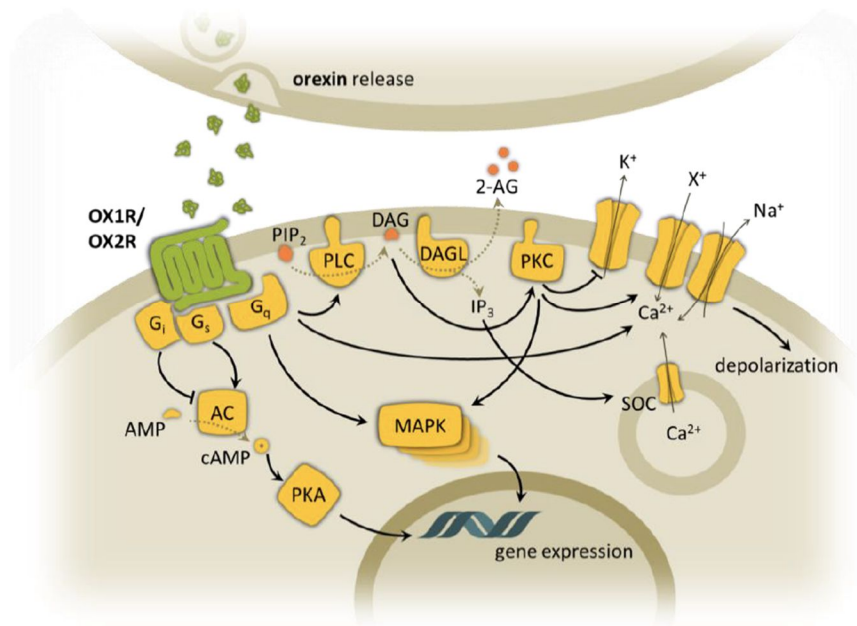


Figure 19. Main cellular signalling pathways activated upon orexin receptor stimulation. Orexin receptor stimulation is associated with G_q-dependent activation of the PLC/PKC pathway and diverse MAPK cascades, as well as membrane depolarization through modulation of cation channels. G_s and G_i protein stimulation has also been observed, leading to increase or decrease of AC activity, respectively. 2-AG, 2-arachidonoylglycerol; AC, adenylyl cyclase; DAGL, diacylglycerol lipase; IP₃, inositol trisphosphate; MAPK, diverse members of the mitogen-activated protein kinase cascade; PIP₂, phosphatidylinositol bisphosphate; PKA and PKC, protein kinases A and C; PLC, phospholipase C; SOC, store-operated Ca²⁺ channels.

4.2 Orexin main physiological functions in the CNS

Orexin-expressing neurons represent a small population exclusively located in the lateral hypothalamus (LH), the perifornical area (PFA), and the dorsomedial hypothalamus (DMH). Although limited in number and localization, they have extensive projections throughout the brain (Peyron *et al*, 1998). The widespread projections of the orexin system reflect the variety of physiological functions of orexin peptides.

Soon after the identification of orexins, two groups demonstrated an association between orexin deficiency and narcolepsy (Chemelli *et al*, 1999; Lin *et al*, 1999; Peyron *et al*, 2000). Thus, regulation of sleep/wakefulness is one of the best-understood roles of the orexin system. Indeed, suvorexant, a dual OX1R antagonist, has been approved by the FDA for the treatment of insomnia (Herring *et al*, 2014; Michelson *et al*, 2014).

Orexins were also initially reported to be regulators of feeding behavior based on their capacity to elicit food intake when centrally administered to rats (Sakurai *et al*, 1998). Thus, pharmacological and genetical blockade of OX1R attenuates food consumption (Hara *et al*, 2001; Haynes *et al*, 2000; Yamada *et al*, 2000).

The role of the orexin system in stress responses has been well-established on the basis of three kinds of evidences. First, orexinergic neurons mainly located in the PFA/DMH are activated by different stressors, including immobilization, footshock, cold exposure, and food deprivation (Berridge *et al*, 2010; Johnson *et al*, 2012). Second, some stress-induced responses, such as stress-induced analgesia (Xie *et al*, 2008), footshock-induced reinstatement of cocaine seeking (Boutrel *et al*, 2005) as well as stress-induced ACTH and cardiovascular responses (Chang *et al*, 2007; Kayaba *et al*, 2003; Samson and Taylor, 2001) induce activation of the orexin system.

Finally, direct modulation of orexin system by the intracerebroventricular administration of orexin-A induces anxiety-like effects in several behavioral models of anxiety (Suzuki *et al*, 2005). The existence of reciprocal interactions between orexin and CRF neurons (Winsky-Sommerer, 2004) suggests that the orexin system is an important component of the pathways contributing to the physiological CRF-mediated behaviors that occur in response to stressful situations (Giardino and de Lecea, 2014). Dysregulation of stress responses could lead to the development of different anxiety disorders, which might be influenced by the activity of the orexin system (Flores *et al*, 2015; Johnson *et al*, 2010). Increasing evidence suggests the involvement of orexins in higher brain functions. However, the possible mechanisms of action by which orexin could promote learning and memory, are still poorly understood. Alterations in orexin regulation of hippocampal cholinergic activity have been linked to age-related dysfunctions in arousal, learning, and memory (Stanley and Fadel, 2012). In addition, a pilot human study showed that during a hippocampal-dependent social task, participants exhibited an increase in orexin-A (Blouin *et al*, 2013). Other studies also suggest the contribution of the orexin system to spatial and nonsocial learning and memory. In this regard, intracerebroventricular injection of orexin-A improved memory in both an active and passive avoidance paradigm (Jaeger *et al*, 2002). Furthermore, antagonism of OX1R in the CA1 or DG has been shown to impair acquisition, consolidation and retrieval in the Morris water maze (Akbari *et al*, 2007; Akbari *et al*, 2006) and passive avoidance tasks (Akbari *et al*, 2008). However, the exact role of orexin in learning and memory is still unclear.

Multiple evidence supports a role for orexins in the reinforcing properties of different drugs of abuse (Plaza-Zabala *et al*, 2012b). The dopamine

neurons in the VTA might be a crucial site of action for orexins to mediate these effects (Aston-Jones *et al*, 2009). Accordingly, direct injections of orexin-A in the VTA increase dopamine levels in the NAc (España *et al*, 2010, 2011; Narita *et al*, 2006). Although most of the research on the involvement of orexins in drug addiction has focused on elucidating the function of OX1R (Khoo and Brown, 2014; Plaza-Zabala *et al*, 2012b), recent studies point to a role for OX2R in reward regulation. Thus, antagonism of OX2R reduces heroin (Schmeichel *et al*, 2015) and ethanol self-administration (Brown *et al*, 2013), as well as the cue-induced reinstatement of nicotine-seeking behavior (Uslaner *et al*, 2014).

4.3 Involvement of the orexin system in nicotine addiction

Several reports suggest that orexin transmission may regulate the addictive properties of nicotine. Orexins could participate in the attention-enhancing effects of nicotine (Lambe *et al*, 2005), which suggests that the orexin system may contribute to nicotine addiction through the modification of nicotine-cognitive effects. Indeed, orexins and nicotine have been found to activate the same thalamocortical synapses in PFC with the consequent improvement of attention in rats (Lambe *et al*, 2005). Interestingly, narcoleptic patients usually report cognitive deficits. A high percentage of this population smoke tobacco regularly, and it has been hypothesised that smoking could be a way of self-medication to improve cognition (Peřinová *et al*, 2016).

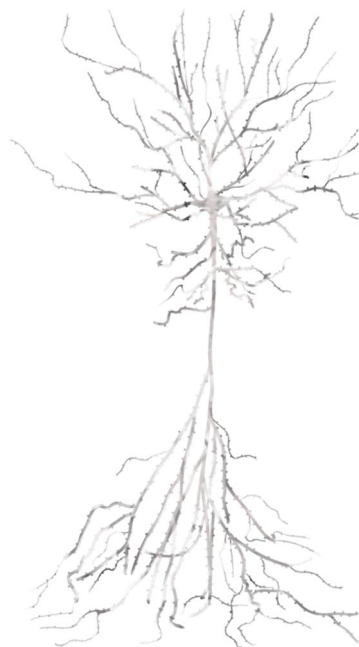
Nicotine has also been shown to alter anxiety-like behavior when given acutely by a mechanism involving orexin signaling (Plaza-Zabala *et al*, 2010). Thus, the anxiogenic-like effect produced by acute administration of nicotine was abolished by pretreatment with the OX1R antagonist SB334867 and in orexin KO mice. In addition, acute nicotine injection

increased c-Fos expression in orexin neurons (Pasumarthi *et al*, 2006). Furthermore, intravenous nicotine self-administration modified orexin-A mRNA levels in the arcuate nucleus and the rostral lateral areas of the hypothalamus in rats (LeSage *et al*, 2010). Similarly, non-contingent chronic nicotine administration regulated prepro-orexin and orexin receptor mRNA levels in the rat hypothalamus (Kane *et al*, 2000). At the behavioral level, pretreatment with the OX1R antagonist SB334867 or dual orexin antagonist almorexant decreased intravenous nicotine self-administration in rats under a fixed-ratio 5 schedule of reinforcement (Hollander *et al*, 2008; LeSage *et al*, 2010).

Moreover, the OX1R antagonist SB334867 decreased the number of nicotine rewards earned under a progressive-ratio schedule of reinforcement (Hollander *et al*, 2008), suggesting that orexins acting on OX1R regulate nicotine reinforcement and the motivation to seek the drug. Stroke-associated damage to the insular cortex in human smokers results in spontaneous cessation of the smoking habit and a low urge to smoke (Naqvi *et al*, 2007). Interestingly, intra-insular infusion of SB334867 decreased nicotine intake in rats in a self-administration paradigm (Hollander *et al*, 2008), suggesting that insular OX1R transmission is crucial for the reinforcing effects of nicotine. In addition, the orexin system seems to have an important role in the somatic manifestations of mecamylamine-precipitated nicotine withdrawal (Plaza-Zabala *et al*, 2012a). Thus, somatic nicotine withdrawal signs were attenuated in mice lacking the prepro-orexin gene or treated with the OX1R antagonist SB334867, but not with the OX2R antagonist TC5X229 prior mecamylamine administration. Notably, direct administration of SB334867 into the paraventricular nucleus of the hypothalamus (PVN) decreased somatic signs of abstinence (Plaza-Zabala *et al*, 2012a),

suggesting that orexin signaling acting on OX1R in this brain area is critically involved in the modulation of nicotine withdrawal. Given the association of withdrawal signs and relapse (Killen and Fortmann, 1997; Rukstalis *et al*, 2005; Zhou *et al*, 2009), its not surprising that the orexin system might have a role in relapse to tobacco consumption. Preclinical research has shown that intracerebroventricular administration of orexin-A reinstates previously extinguished nicotine-seeking behavior, and this effect was abolished by the OX1R antagonist SB334867 (Plaza-Zabala *et al*, 2010). In addition, blockade of OX1R, but not blockade of OX2R, attenuated cue-induced reinstatement of nicotine-seeking (Plaza-Zabala *et al*, 2013), suggesting that reinstatement of nicotine seeking is mediated via OX1R.

These findings support the implication of orexins in nicotine addiction and highlight the importance of understanding the role of the orexin system in the addictive properties of nicotine.



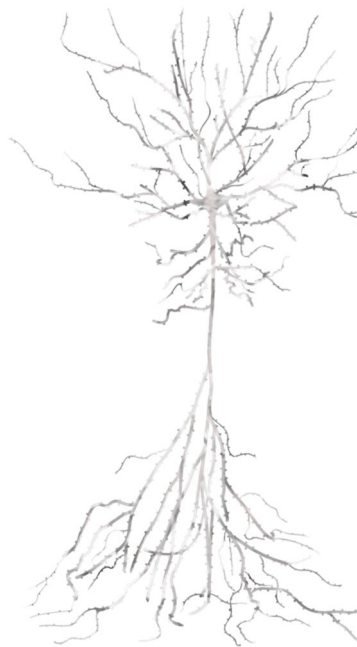
OBJECTIVES

General objective

Extensive data suggest that the effects that nicotine exerts on memory are relevant for the initiation and maintenance of nicotine dependence. Therefore, the main goal of this thesis is to investigate the neurobiological mechanisms mediating the cognitive deficits associated with nicotine withdrawal and the pro-cognitive effect of acute nicotine administration.

Specific objectives

1. To set up a rodent model to evaluate the cognitive deficits that arise during nicotine withdrawal (Article 1).
 2. To evaluate the participation of the endocannabinoid system in the cognitive deficits of nicotine withdrawal (Article 1).
 3. To study the participation of inflammatory processes in the memory deficits of nicotine withdrawal (Article 2).
 4. To evaluate the potential therapeutic use of anti-inflammatory compounds to prevent the cognitive deficits associated with nicotine abstinence (Article 2)
-
1. To evaluate the role of the orexin system in the improvement of memory induced by acute nicotine administration (Supplementary results).



RESULTS

ARTICLE 1

CB1 Cannabinoid Receptors Mediate Cognitive Deficits and Structural Plasticity Changes During Nicotine Withdrawal

Rocio Saravia, África Flores, Ainhoa Plaza-Zabala, Arnau Busquets-García, Antoni Pastor, Rafael de la Torre, Vincenzo Di Marzo, Giovanni Marsicano, Andrés Ozaita, Rafael Maldonado and Fernando Berrendero

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Saravia R, Flores Á, Plaza-Zabala A, Busquets-Garcia A, Pastor A, de la Torre R, et al. [CB1 Cannabinoid Receptors Mediate Cognitive Deficits and Structural Plasticity Changes During Nicotine Withdrawal](#). *Biol Psychiatry*. 2017 Apr 1;81(7):625–34. DOI: 10.1016/j.biopsych.2016.07.007

ARTICLE 2

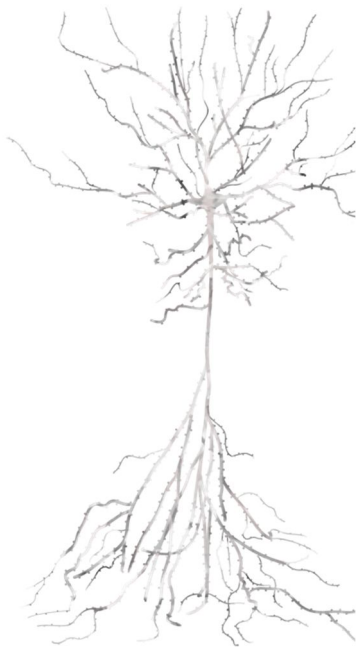
Anti-inflammatory agents for smoking cessation? Focus on cognitive deficits associated with nicotine withdrawal

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Fernando Berrendero

Brain Behavior and Immunity (under second revision)

Saravia R, Ten-Blanco M, Grande MT, Maldonado R, Berrendero F. [Anti-inflammatory agents for smoking cessation? Focus on cognitive deficits associated with nicotine withdrawal in male mice](#). Brain Behav Immun. 2019 Jan 1;75:228–39. DOI: 10.1016/j.bbi.2018.11.003

Results



DISCUSSION

Discussion

Tobacco smoking represents the main leading cause of preventable death worldwide (World Health Organization, 2017). Among the 4000 components present in tobacco, nicotine is the main psychoactive compound and responsible for the addictive properties of tobacco. Several studies suggest that the effects that nicotine exerts on cognition are crucial to the development and maintenance of tobacco addiction (Hall *et al*, 2015a; Kutlu and Gould, 2016b). In this sense, acute or initial nicotine intake has a positive effect on cognition, which may contribute to the development of nicotine dependence (Gould and Leach, 2014). Conversely, nicotine abstinence impacts negatively on cognitive functioning (Ashare *et al*, 2014). Interestingly, these cognitive impairments associated with nicotine abstinence ameliorate after nicotine re-exposure, suggesting that relapse might occur to reverse the cognitive alterations (Hall *et al*, 2015a; Myers *et al*, 2008). During the last decade, the cognitive deficits associated with nicotine abstinence have gained attention as a core dependence phenotype and a predictor for relapse into tobacco consumption (Ashare *et al*, 2014; Loughhead *et al*, 2015). Although the mechanisms that mediate nicotine withdrawal-induced cognitive impairments are not clear, different studies have suggested a role for CB1R (Evans *et al*, 2016), neuropeptide CART (Borkar *et al*, 2017), $\alpha 4\beta 2$ nAChRs (Yildirim *et al*, 2015) and noradrenaline (Davis and Gould, 2007) in these deficits. The endocannabinoid system has been widely reported as a key modulator of learning and memory processes (Marsicano and Lutz, 2006; Mechoulam and Parker, 2013). This system to regulates the reinforcing properties of different drugs of abuse including nicotine (Cahill and Mh, 2012; Gamaledin *et al*, 2015). However, the potential participation of the endocannabinoid system in the neurobiological mechanisms underlying

the cognitive deficits associated with nicotine withdrawal remains to be elucidated.

On the other hand, there are well-established links between inflammation and tobacco smoking (Corwin and Klein, 2003; Lo Sasso *et al*, 2016; Wannamethee *et al*, 2005). Thus, higher levels of inflammatory markers have been associated with the presence of some smoking-withdrawal signs (Corwin and Klein, 2003) and with an increased cardiovascular risk in current smokers (Wannamethee *et al*, 2005). In addition, growing evidence implicates neuroinflammatory processes in the development of cognitive impairments (McKim *et al*, 2016; Wohleb *et al*, 2016; Zhao *et al*, 2013). However, the possible role of neuroinflammation and the consequent use of anti-inflammatory agents to treat the cognitive deficits associated with nicotine abstinence has not been addressed yet.

This thesis has mainly focused on the neuronal substrates of the cognitive effects of nicotine. Using mainly pharmacological and genetical approaches, we investigated: (1) The possible participation of the endocannabinoid system in the cognitive deficits associated with nicotine abstinence, (2) the involvement of an inflammatory phenotype in nicotine-withdrawal cognitive impairments and (3) the implication of the orexin system in the pro-cognitive effect of acute nicotine administration.

The results described in this thesis indicate that CB1R located in a specific hippocampal neural population is associated with the cognitive deficits of nicotine withdrawal. Moreover, our results suggest that anti-inflammatory agents could be a new therapeutical tool to modulate the cognitive alterations observed during abstinence. In addition, we revealed results that orexin signaling could be mediating the memory-enhancing effect of acute nicotine administration.

Role of the endocannabinoid system in the memory deficits associated with nicotine abstinence

Despite the well-known harmful consequences of tobacco smoking, 80% of individuals trying to quit relapse within the first month of abstinence (Prochaska and Benowitz, 2016). This high relapse rate reflects the limited efficacy of current therapies and the complex nature of nicotine addiction. During a quit attempt, smokers experience a range of undesirable signs of nicotine abstinence, which seem to play a critical role in relapsing into tobacco smoking (Ashare *et al*, 2014; Hughes, 2007a; Jackson *et al*, 2015). These signs can be classified as somatic, affective and cognitive, and have been associated with relapse into tobacco consumption (Ashare *et al*, 2014; Garcia-Rivas and Deroche-Gamonet, 2018; Hughes, 2007a). Nowadays, these cognitive deficits can be recapitulated in different animal models. In rodents, withdrawal from chronic nicotine administration has been shown to produce cognitive impairments in hippocampal-dependent tasks (Hall *et al*, 2015a). In this study, we evaluated the role of the endocannabinoid system in the memory deficits associated with nicotine withdrawal. To assess these memory deficits, we used the novel object recognition test and precipitate withdrawal with an injection of mecamylamine during the training phase of the task. This allowed to test the effect of nicotine withdrawal in the consolidation of memory. Precipitation of withdrawal in nicotine-dependent mice resulted in a decrease in the discrimination index in the object recognition test. The reduced discrimination index was only observed in nicotine withdrawn mice and was not due to alteration in locomotor activity since both experimental groups (saline and nicotine) exhibited similar exploration times. In agreement with our results, precipitation of nicotine withdrawal has been described to alter memory performance in other types of

hippocampal-dependent tasks, such as the spatial object-recognition and contextual fear conditioning during spontaneous and precipitated nicotine withdrawal (Gould *et al*, 2012; Kenney *et al*, 2011; Raybuck and Gould, 2009; Yildirim *et al*, 2015). Consistent with other studies, chronic treatment with nicotine did not alter the discrimination index, indicating that the deficit in memory performance was only due to precipitation of nicotine withdrawal (Article 1).

Signaling through CB1R has been widely reported to regulate learning and memory processes (Kruk-Slomka *et al*, 2017). Several studies have demonstrated that activation of CB1R by exogenous cannabinoids significantly alters learning and memory in various animal models, including the object recognition task (Lupica *et al*, 2017; Marsicano and Lafenêtre, 2009; Schneider *et al*, 2008). In our study, pharmacological blockade of CB1R with rimonabant prior precipitation of nicotine withdrawal prevented the memory impairment observed during nicotine abstinence (Article 1). A similar result was obtained in the KO mice for CB1R, suggesting that CB1R has a crucial role in the development of the cognitive deficits associated with nicotine withdrawal (Article 1). Expression of CB1R differs among brain areas and different cell types, within the brain. In the HPC, CB1R is mainly located in GABAergic interneurons (Kawamura *et al*, 2006). CB1R are also present in glutamatergic terminals, but with a lower density than in GABAergic terminals (Steindel *et al*, 2013). Growing evidence indicates that cellular and molecular effects could be differentially mediated by CB1R depending on their cell-type localization (i.e. glutamatergic or GABAergic neurons) (Busquets-Garcia *et al*, 2015). In this regard, THC has been shown to act as a full agonist of CB1R located in GABAergic terminals, whereas it acts as a partial agonist of the glutamatergic ones (Laaris *et al*, 2010). The

differential implications for hippocampal functioning that could result from acting on CB1R at glutamatergic or GABAergic terminals prompted us to examine which CB1R-expressing neurons were involved in the memory impairment associated with nicotine withdrawal. Our results show that CB1R expressed in GABAergic neurons mediate the memory impairment associated with nicotine abstinence (Article 1). Consistent with this, targeted deletion of CB1R from GABAergic neurons has been shown to prevent the disruption of hippocampal-dependent behavior by THC, whereas deletion of this receptor from glutamatergic neurons was ineffective in abolishing other pharmacological effects of THC, such as memory impairment (Puighermanal *et al*, 2009). In agreement, mice displaying a reduced GABA transmission exhibited memory deficits when evaluated in the object recognition task (Zhu and Lovinger, 2007). In addition, CB1R on GABAergic neurons seems to play a crucial role in protecting against age-related cognitive decline (Albayram *et al*, 2011). These data, together with our results suggest that CB1R expressed in GABAergic neurons is crucial for proper learning and memory processes. In physiological conditions, activation of CB1R is always triggered by the previous release of endocannabinoids. We found that 2-AG was the endocannabinoid responsible for the cognitive deficits associated with nicotine withdrawal (Article 1). A significant increase in 2-AG, but not AEA, was observed 10 minutes after precipitation of nicotine withdrawal. 2-AG tone depends on the balance between its synthesis by DAGLs, and its degradation by the hydrolytic enzyme MAGL (Di Marzo *et al*, 2005). Our immunoblot studies showed that the increase of 2-AG was the result of a decrease in its degradation since reduced protein levels of MAGL were observed in nicotine withdrawn mice (Article 1). Interestingly, reduction of 2-AG synthesis triggered by the inhibitor of DAGL O7460 restored

memory performance in nicotine abstinent mice. Conversely, inhibition of 2-AG degradation by administration of the inhibitor of MAGL JZL184 did not modify the memory impairment associated with nicotine withdrawal. Indeed, JZL184 administration at the highest dose (20 mg/kg) induced a cognitive impairment by itself (Article 1). In agreement, other studies have reported that blockade of 2-AG degradation impairs hippocampal-dependent learning and memory in rodents (Griebel *et al*, 2015; Wise *et al*, 2012). Our results suggest that precipitation of nicotine withdrawal selectively boosts 2-AG signaling, that through activation of hippocampal CB1R in GABAergic neurons, contribute to impair memory performance. As previously mentioned, CB1R is more densely expressed in GABAergic than in glutamatergic neurons in the HPC (Kawamura *et al*, 2006; Steindel *et al*, 2013). Thus, activation of CB1R primarily suppresses GABA release, resulting in an increase of excitatory signaling (Katona and Freund, 2012). Following THC exposure, this excitatory input seems to modulate memory by promoting mTOR signaling (Graber *et al*, 2013; Puighermanal *et al*, 2009). In the brain, the mTOR pathway regulates many physiological functions, including neurogenesis, synaptic plasticity, information storage and cognition (Bockaert and Marin, 2015). Given its broad implication in many brain functions, it is not surprising that proteins within the mTOR signaling cascade are implicated in diseases associated with cognitive deficits, such as Down syndrome and Fragile X syndrome (Bockaert and Marin, 2015; Costa-Mattioli and Monteggia, 2013). In this sense, several reports indicate that the mTOR pathway regulates synaptic plasticity and memory by controlling protein synthesis (Bockaert and Marin, 2015; Hoeffler and Klann, 2010). Interestingly, administration of the mTOR inhibitor temsirolimus prevented the memory impairment observed during nicotine abstinence (Article 1). Likewise, treatment with the protein

synthesis inhibitor anisomycin also blocked the nicotine withdrawal-cognitive deficits (Article 1). Thus, mTOR activation and excessive protein synthesis might underlie the behavioral deficit induced by nicotine withdrawal. In this regard, animal studies have revealed an excessive increase in protein synthesis in other conditions characterized by memory impairment such as the fragile X syndrome (Bolduc *et al*, 2008) or the administration of amnesic doses of THC (Puighermanal *et al*, 2009).

In abstinent smokers, somatic, affective and cognitive withdrawal signs, tend to dissipate with time (Hall *et al*, 2015a; Hughes, 2007a). In our model, we observed that memory impairment was still present 4 days after precipitation of withdrawal, with mice recovering from these cognitive impairments by the 8th day of abstinence (Article 1). Consistent with our results, a previous study showed a similar duration of these memory impairments in the hippocampal-dependent contextual fear conditioning test in C57BL/6J mice (Gould *et al*, 2012). The fact that withdrawal memory deficits had a duration of days suggests the existence of changes in synaptic plasticity during nicotine withdrawal. It is widely accepted that memories are stored as changes in the “strength” of synaptic connections between neurons (Bosch and Hayashi, 2012). Synaptic spines exhibit a wide range of size and shape, with several studies indicating a positive correlation between the spine head volume, the postsynaptic density area, the number of AMPA-type glutamate receptors, and synaptic “strength” (Bannerman *et al*, 2014; Bosch and Hayashi, 2012; Matsuzaki *et al*, 2001). Thus, it seems that spine structure is tightly coupled to synaptic functions. Interestingly, morphological evaluation of pyramidal neurons in the CA1 of the HPC revealed a decrease of mushroom (mature) spines 4 days after precipitation of nicotine abstinence when the cognitive deficits were still present (Article 1) (Figure

20).

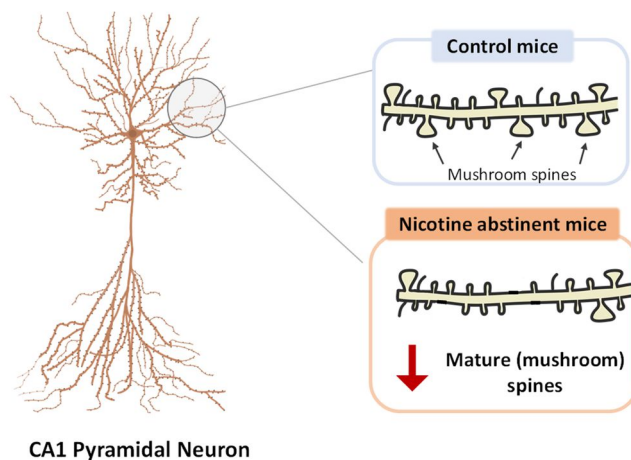


Figure 20. Schematic representation of the structural plasticity changes observed during nicotine abstinence in CA1 pyramidal neurons.

This type of dendritic spine has been widely associated with long-term memory or “memory storage” (Kasai *et al*, 2010; Segal, 2017). Spines are extremely plastic with their density and shape being modifiable by several factors, including stress (Maras and Baram, 2012). The stressful condition of nicotine withdrawal could be responsible for the reduced density of mature spines, probably leading to synaptic dysfunction and cognitive deficits. Nonetheless, the mechanisms described in our study should not be extended to other types of stressful situations such as acute corticosterone administration. Indeed, the injection of compounds affecting the endocannabinoid system such as the mGluR5 antagonist MTEP and O7460, before an acute amnesic dose of corticosterone did not prevent memory impairment in the object-recognition test (Article 1). As previously mentioned, glutamate receptors are strongly linked to synaptic strength, and are abundant in mushroom spines, but are sparsely distributed in thin and filopodia spines of CA1 hippocampal pyramidal

neurons (Matsuzaki *et al*, 2001). Consistent with this, we found a decrease of GluR2 receptors in nicotine abstinent mice that could be related to the reduced number of mature spines observed in nicotine-abstinent mice (Article 1). In line with our study, cortical neuroplasticity alterations have been reported during early withdrawal in abstinent smokers (Grundey *et al*, 2012). It seems that altered neuroplasticity in the PFC may be responsible for disrupting the motivation necessary to remain abstinence or decreasing the ability to sustain the cognitive control necessary to maintain abstinence in front of smoking cravings (Ashare *et al*, 2014). However, no modifications of structural plasticity were observed in pyramidal neurons of PFC under our experimental conditions (Article 1). The reduced density of mushroom-type spines in the CA1 pyramidal neurons observed in nicotine abstinent mice was normalized by a subchronic treatment with rimonabant and in GABA-CB1R KO mice. In agreement, a similar treatment with rimonabant has been shown to reverse cognitive and hippocampal dendritic spine deficits in a model of fragile X syndrome (Busquets-Garcia *et al*, 2013). Although reduced dendritic spines of pyramidal neurons in the CA1 in GABA-CB1 KO has been reported, we did not observe any modification in the average of spine density under basal conditions (Monory *et al*, 2015). The different methodology used to quantify spines (apical versus apical and basal dendrites) could explain these contradictory results. Taken together, our data suggest that CB1R activation in GABAergic neurons is determinant to modulate the morphology of hippocampal spines resulting in the cognitive deficits observed during nicotine abstinence. Several studies the role of GABAergic-CB1R in other behaviors aside from learning and memory processes (Albayram *et al*, 2016), such as food intake (Bellocchio *et al*, 2010), exercise-related behaviors (Fuss *et al*, 2015) and drug addiction

(Martín-García *et al*, 2016; Talani and Lovinger, 2015)

In order to understand the role of 2-AG during nicotine abstinence, we evaluated the participation of this endocannabinoid in other aspects of nicotine withdrawal. During nicotine abstinence, 2-AG seems to have bimodal effects. In this regard, modulation of 2-AG levels induced opposite effects on the somatic signs and memory impairment revealed during withdrawal. The MAGL inhibitor JZL184 reduced the severity of nicotine physical dependence, whereas the inhibition of DAGLs by O7460 exacerbated somatic signs of withdrawal (Article 1). In agreement with our results, a recent study reported that inhibition of MAGL reduced the expression of somatic signs of withdrawal (Muldoon *et al*, 2015). These data suggest that on the one hand increased levels of 2-AG might be triggered to alleviate somatic signs but on the other hand these higher levels of 2-AG have detrimental effects on memory during nicotine withdrawal. Since these cognitive deficits last longer, targeting them could be more relevant for avoiding early nicotine relapse than physical symptoms. Furthermore, several studies have shown an increase in 2-AG during stress exposure, suggesting that 2-AG could be released to counteract the negative effects of stress (Morena *et al*, 2016).

Our results suggest that different neurobiological mechanisms mediate the somatic and the cognitive aspects of nicotine abstinence. Thus, the mTOR inhibitor temsirolimus and the inhibitor of mGluR5 MTEP blocked memory impairment without affecting somatic signs. In agreement, no changes in the physical severity of nicotine abstinence were observed in a previous study using the mGluR5 antagonist MTEP (Liechti *et al*, 2007). These results reveal the crucial involvement of CB1R located in GABAergic cells in the cognitive impairment and neuronal plasticity changes in the HPC occurring during nicotine withdrawal (Figure 21). This subpopulation

of CB1R could be targeted to prevent smoking relapse by increasing cognitive performance during early nicotine abstinence.

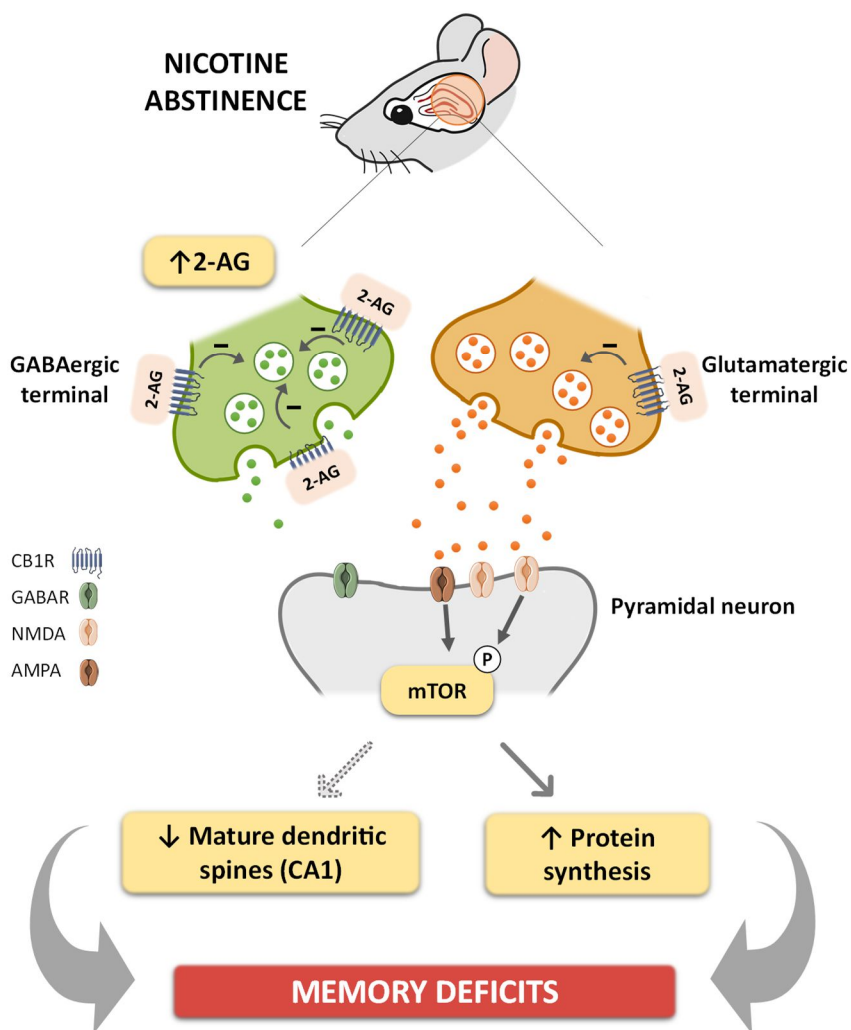


Figure 21. The endocannabinoid system mediates the cognitive deficits associated with nicotine withdrawal. Upon precipitation of nicotine withdrawal, brain levels of 2-AG increase probably to counteract the physical signs of withdrawal. However, high levels of 2-AG triggered by nicotine abstinence impact negatively on memory. 2-AG acting mainly on CB1R located in GABAergic terminals leads to a disbalance between excitatory and inhibitory neural transmission. As a consequence, the mTOR pathway is activated, which in turn induces an aberrant increase in protein synthesis and alter structural plasticity. Structural plasticity is affected as a reduced density of mature spines is observed in pyramidal neurons of the CA1 region of the hippocampus during nicotine abstinence. These changes in structural plasticity could explain the long-lasting effects of nicotine withdrawal on cognition.

Participation of inflammatory processes in the cognitive deficits associated with nicotine withdrawal

Unrevealing the mechanisms involved in the cognitive deficits observed during nicotine abstinence are determinant to identify new therapeutical targets and, therefore, develop more efficient smoking cessation treatments. Since cognitive deficits seem relevant for smoking relapse, pharmacotherapies should emphasize on increasing cognitive performance during nicotine withdrawal. In this sense, repurposing available pharmacotherapies that enhance cognition could be a good strategy (Ashare *et al*, 2014). Several reports have associated the development of cognitive impairments with inflammatory processes (Allison and Ditor, 2014; McKim *et al*, 2016). Additionally, there are well-established links between inflammation and tobacco smoking, although these studies have mainly focused on the relationship between inflammatory markers and increased cardiovascular risk (Lo Sasso *et al*, 2016; Wannamethee *et al*, 2005). However, the possible role of neuroinflammation in the cognitive deficits associated with early nicotine withdrawal remains to be elucidated.

As observed in our first study (Article 1), precipitation of nicotine withdrawal resulted in a memory impairment in nicotine-treated mice when memory was evaluated on the 4th day of withdrawal (Article 2). In agreement, several studies have shown similar cognitive deficits in other hippocampal-dependent tasks in rodents (Raybuck and Gould, 2009; Wilkinson and Gould, 2013; Yildirim *et al*, 2015). In many CNS disorders, the presence of cognitive deficits has been associated with inflammatory responses (McKim *et al*, 2016; Wohleb *et al*, 2016; Zhao *et al*, 2013). Microglia cells play crucial roles in normal development, plasticity, and maintenance of neural circuits (Wake *et al*, 2013). Upon disturbance of

brain homeostasis, microglial cells move from a surveillance state, indicated by a ramified morphology with small soma, to a reactive state characterized by retracted ramifications and larger amoeboid soma (Kohman and Rhodes, 2013). Interestingly, a significant increase in the perimeter of microglial soma was observed in the CA1 and DG regions of the HPC in nicotine abstinent mice (Article 2). This increase in the soma perimeter was also present in PFC, but not in the dorsal striatum of abstinent mice, suggesting that the change in microglial morphology is restricted to areas relevant to cognitive processing (Article 2). Indeed, we observed a negative correlation between the perimeter of the soma and the performance of mice in the object-recognition task in the DG of the HPC and in the PFC (Article 2). The stressful condition of nicotine withdrawal could be responsible for this microglial activation since ample evidence demonstrates how stress exposure can induce changes in microglia structure and function leading to cognitive deficits (Tay *et al*, 2017; Yirmiya *et al*, 2015). Thus, corticotropin-releasing factor has been shown to be involved in the dysphoria and anxiety-like behavior observed during nicotine abstinence (Bruijnzeel, 2017), while blockade of corticosterone effects abolishes acute and chronic stress-induced microglial proliferation and activation (Frank *et al*, 2012; de Pablos *et al*, 2014). Other factors aside from stress also modulate microglial response. Given the sensitive nature of microglial cells, these cells can be activated in response to the slightest CNS insult (Salter and Stevens, 2017). In this regard, it has been reported that glutamatergic neurotransmission increases the activity of microglial cells, while GABAergic neurotransmission decreases it (Fontainhas *et al*, 2011). As suggested in our first study, precipitation of nicotine abstinence could result in an overall increase of glutamatergic response in the HPC mediated through

CB1R (Article 1). Therefore, it is plausible that this unbalance between excitatory and inhibitory signaling could contribute to the morphological change observed in microglial cells. Furthermore, substantial evidence supports the role of microglia in synaptic and structural plasticity, where microglia interaction with neuronal components can determine the fate of dendritic synapses in the adult brain (Delpech *et al*, 2015; Morris *et al*, 2013). Indeed, a recent publication demonstrated that microglial cells directly remodel dendritic spines shape, turning mature into immature spines (Weinhard *et al*, 2018). Therefore, an aberrant spine remodeling driven by activated microglia could be contributing to the reported reduced density of mature spines during nicotine abstinence (Article 1).

It is still controversial whether the sole change of microglial morphology could indicate a particular response state (Salter and Stevens, 2017). Therefore, aside from evaluating the morphology of microglial cells, it is essential to evaluate their molecular expression profiles. In addition to microglial activation, we found an increased mRNA expression of several inflammatory markers such as IL1 β , TNF α , and IFN γ in both the HPC and the PFC during nicotine withdrawal (Article 2). Overexpression of pro-inflammatory cytokines in the CNS has been associated with several neuropsychiatric disorders including depression, Alzheimer's disease and Parkinson's disease (Borsini *et al*, 2015). Indeed, changes in cytokine levels have a profound impact on hippocampal dependent-memory systems and have been associated with synaptic plasticity processes (Patterson, 2015). This cumulative evidence has demonstrated that IL1 β inhibits hippocampal-dependent learning (Jones and Lynch, 2015). In addition, it has been suggested that increased levels of TNF α , produced by microglia in the HPC, seem to be underlying stress-induced memory impairments (Ohgidani *et al*, 2016). Remarkably, an elevation of plasmatic levels of

TNF α and IFN γ was also observed 4 days after nicotine withdrawal precipitation (Article 2), pointing these cytokines as possible biomarkers of the cognitive deficits present during tobacco abstinence. Indeed, cytokine levels in plasma were normalized by the 8th day of withdrawal when mice have completely recovered from memory impairment under our experimental conditions (Article 1).

Inflammatory cytokines including IL1 β , TNF α , and IFN γ are also key modulators of neurogenesis (Borsini *et al*, 2015), a process with a key role in mediating human brain functions including memory formation and cognition (Kohman and Rhodes, 2013). Interestingly, cognitive deficits of nicotine withdrawal were associated with reduced expression of cell proliferation and young neuron markers in the subgranular zone of the HPC. Therefore, these results suggest that inflammation-induced deficits in cognitive performance during nicotine withdrawal could be related to the reductions in hippocampal neurogenesis (Article 2).

Current pharmacotherapies for smoking cessation have been associated with psychiatric adverse events (Hughes, 2016; Prochaska and Benowitz, 2016). This has increased the demand to develop new treatments for smoking cessation. Indeed, many smokers would try to quit smoking if effective, inexpensive and less sided-effects approaches were available (Volkow, 2018). During the last decade, the interest in the non-psychoactive cannabinoid CBD has grown exponentially. CBD has been featured as an anti-inflammatory and neuroprotective compound (Burstein, 2015; Fernández-Ruiz *et al*, 2013), with potential benefits for the treatment of motivational disorders such as drug addiction, anxiety and depression (Shoval *et al*, 2016; Zlebnik and Cheer, 2016). In our study, subchronic treatment with CBD prevented the memory impairment in the object-recognition task and the activation of microglia in the HPC and the

PFC observed 4 days after nicotine withdrawal (Article 2). Consistent with this, CBD has been shown to improve object-recognition memory in preclinical models that course with cognitive impairment, including schizophrenia (Gomes *et al*, 2015), Alzheimer's disease (Cheng *et al*, 2014a), brain ischemia (Pazos *et al*, 2013) and cerebral malaria (Campos *et al*, 2015). Furthermore, treatment with CBD also normalized the increased expression of IL1 β and TNF α in the HPC and the PFC, respectively, observed during nicotine withdrawal (Article 2). These results suggest that normalizing the levels of proinflammatory cytokines might be a mechanism by which CBD restores cognitive function, although studies supporting this idea are sparse and controversial (Osborne *et al*, 2017). Nonetheless, some studies propose a possible involvement of TNF α in the mechanisms underlying the ability of CBD to improve cognition (Barichello *et al*, 2012; Cheng *et al*, 2014b). Indeed, chronic administration of CBD reduced mRNA levels of TNF α in the PFC which was associated with the prevention of memory impairments in a rodent model of meningitis (Barichello *et al*, 2012). Moreover, CBD treatment promoted cell proliferation during nicotine withdrawal in the subgranular zone of the hippocampal DG. Accordingly, an increase of hippocampal neurogenesis following CBD administration was observed in rodent models of Alzheimer's disease (Esposito *et al*, 2011) and chronic stress (Campos *et al*, 2013). As a whole, these data indicate that CBD might improve cognitive performance during nicotine withdrawal through the modulation of inflammation and cell proliferation. Interestingly, preliminary findings in humans show that CBD treatment reduced cigarette consumption (Morgan *et al*, 2013), and pleasantness of cigarette cues after overnight abstinence (Hindocha *et al*, 2018a) in tobacco smokers. However, a recent study has shown that acute administration of

a single dose of CBD did not improve memory performance in tasks previously shown to be impaired during cigarette abstinence (Hindocha *et al*, 2018b). CBD's lack of effect in this study could be due to the dose used, considering the bell-shaped dose-response effects widely reported for this compound (Zuardi *et al*, 2017). Indeed, low, but not high, doses of cannabidiol have been found to be effective in alleviating memory deficits in a rat model of Parkinson's disease and tardive dyskinesia (Peres *et al*, 2016).

In light of the relationships between the inflammatory profile and the cognitive impairments observed during nicotine abstinence, we evaluated whether the improvement of memory performance by CBD could be generalized to other anti-inflammatory agents. Interestingly, administration of the NSAID indomethacin prevented the development of the cognitive deficits associated with nicotine withdrawal and microglia activation in the HPC (Article 2). In agreement, indomethacin has been found to rescue the memory deficits induced by stress (Emad *et al*, 2017; Perveen *et al*, 2018), intermittent ethanol intoxication (Pascual *et al*, 2007) and in a mouse model of Alzheimer's disease (Balducci *et al*, 2017), but not in a model of social defeat stress (Duque *et al*, 2017). Indomethacin is a broad spectrum NSAID that inhibits cyclooxygenase (Cox)-1 and -2 activity, although hippocampal mRNA expression of Cox-1 and -2 was not modified under our experimental conditions (Article 2). Further experiments evaluating the activity of COX-1 and COX-2 should be performed. Recently, other mechanisms have been described to be involved in the memory rescuing effect of indomethacin. Thus, indomethacin might rescue memory impairments by acting on acetylcholinesterase (Emad *et al*, 2017), an enzyme tightly related to formation and encoding of memories (Hasselmo, 2006). In addition, increasing serotonin and dopamine

transmission in the brain seems to be another mechanism by which indomethacin prevented the memory impairment induced by stress exposure (Perveen *et al*, 2018). Given the stressful condition of nicotine withdrawal, indomethacin could act through these mechanisms to rescue memory impairment during nicotine withdrawal.

In summary, our work reveals for the first time an inflammatory process associated with the cognitive deficits that characterize early nicotine abstinence (Figure 22). Moreover, these findings underline the efficacy of anti-inflammatory agents to improve cognitive deficits during nicotine withdrawal. Given that the presence of cognitive alterations is associated with increased smoking relapse risk, our results identify anti-inflammatory drugs as new potential therapeutic strategies for nicotine dependence.

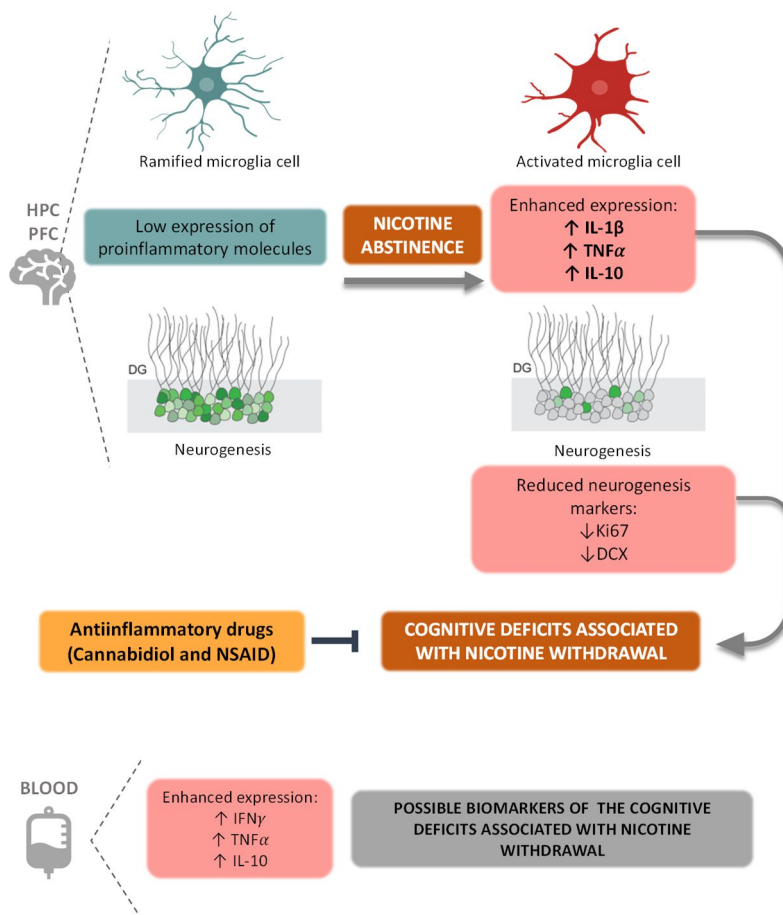


Figure 22. Neuroinflammation underlies the cognitive deficits associated with nicotine withdrawal. In brain areas related with cognitive processing, such as prefrontal cortex and hippocampus, microglia cells move from its surveilling state to a more reactive state characterized by short ramification and large soma when nicotine withdrawal is precipitated. Microglia activation is accompanied with increased expression of proinflammatory cytokines such as IL1-β and TNFα. Proinflammatory cytokines also affect the proliferation and differentiation of new neurons within the subgranular zone of the hippocampus. Neuroinflammation and altered neurogenesis contribute to the development of the cognitive deficits associated with nicotine withdrawal. Anti-inflammatory compounds, such as cannabidiol and the NSAID indomethacin reduced microglial reactivity, normalize neurogenesis and prevent memory deficits during nicotine abstinence. The increase of inflammatory cytokines was also observed in blood samples only when cognitive deficits were present. Therefore, these molecules could serve as possible peripheral biomarkers of the cognitive deficits associated with nicotine withdrawal.

Involvement of orexin signaling in the improvement of memory induced by acute nicotine administration

Human and animal studies have demonstrated that nicotine can enhance cognition (Hall *et al*, 2015a; Kutlu and Gould, 2016a), which might contribute to initial tobacco use and smoking dependence (Pulvers *et al*, 2014). Moreover, cognitive processes that rely on hippocampal function have been suggested to be more sensitive to the effects of acute nicotine administration (Kutlu and Gould, 2016a). Several reports suggest a potential role of the orexin system in cognition, learning and memory (Chen *et al*, 2017; Li *et al*, 2014). In addition, this system is also involved in behaviors associated with nicotine dependence, such as reinstatement of nicotine-seeking behavior (Bruijnzeel, 2017; Plaza-Zabala *et al*, 2013). In this study, we evaluated the possible role of the orexin signaling in effects that acute nicotine exerts on memory. To assess memory, we used the novel object recognition test. Conventionally, the test session in the object recognition task is performed 24 hours after the training session (Antunes and Biala, 2012). However, under our experimental conditions, this protocol was not sensitive enough to observe a memory improvement. Therefore, to properly evaluate an enhancement of memory, we set a gap of 48 hours between training and test. With this modification of the novel object recognition test, control mice exhibited low discrimination indexes and the effect of nicotine on memory was revealed (Supplementary results). A similar modification to the task has been previously used to evaluate the pro-cognitive effect of nicotine in the spatial version of the object recognition (Kenney *et al*, 2011). Increasing the time gap between training and test made the task more challenging and converted the tendency to improve memory (24 hours) in a significant enhancement of memory (48h)(Kenney *et al*, 2011).

Nicotine was administered at different doses (0.25, 0.50, 0.75 and 1 mg/kg) but only the dose of 0.75 mg/kg resulted in a significant increase in the discrimination index in the object recognition test (Supplementary results). This difference in the discrimination index was not due to altered locomotor activity since all groups exhibited similar exploration times. Stress can have detrimental or enhancing effects of memory depending on the type and duration of the stress stimuli (Maras and Baram, 2012). Indeed, some studies have shown that acute stressful experiences that occur shortly after learning (during memory consolidation) can improve memory performance (Glienke and Piefke, 2016; McCullough *et al*, 2015). Interestingly, a similar dose to the one used in our study (0.8 mg/kg of nicotine) has been reported to have anxiogenic-like effects, to activate orexin neurons and to activate the PVN, a stress-related brain region, in an orexigenic-dependent manner (Plaza-Zabala *et al*, 2010). Thus, it is possible that nicotine might enhance memory by acting as a mild stressor and involving orexin signaling. In our study, pharmacological and genetical blockade of the OX1R prevented the memory improvement induced by nicotine administration (Supplementary results). Consistent with our results, several studies support the role of OX1R in learning and memory in a variety of hippocampal-dependent tasks (Akbari *et al*, 2006, 2008; Flores *et al*, 2014; Yang *et al*, 2013; Zhao *et al*, 2014). Notably, antagonism of the OX2R also abolished the pro-cognitive effect of nicotine (Supplementary results). Most of the studies point to orexin-A as the orexin peptide involved in learning and memory (Akbari *et al*, 2007; Chen *et al*, 2017; Zhao *et al*, 2013, 2014). Since orexin-A binds with equal affinity to OX1R and OX2R, this peptide could be the one mediating the effects of nicotine on memory. However, the participation of OXB should not be discarded as this peptide has been also shown to improve cognition

(Lambe *et al*, 2005). Indeed, deletion of the prepro-orexin gene, that codifies for both orexin-A and orexin-B, prevented the enhancement of memory induced by nicotine (Supplementary results).

In summary, our data reveal that orexin signaling through OX1R and OX2R modulates the pro-cognitive effect of nicotine. However, further research will be needed to fully understand the mechanism by which the orexins system regulated the memory-enhancing effect of nicotine. Considering the existence of a reciprocal link between the CRF system and the orexin neurons (Tsujino and Sakurai, 2013) and that several studies have demonstrated role of CRF on cognition (Gafford *et al*, 2014; Hupalo and Berridge, 2016), the involvement of CRF signaling in the improvement of memory induced by nicotine should be explored.

The current thesis has identified new neurobiological mechanisms involved in the cognitive effects of nicotine. We have identified new possible therapeutic targets to treat the cognitive deficits that arise during nicotine withdrawal (Article 1 and 2). These cognitive deficits have been proposed to be a hallmark of nicotine withdrawal and to predict smoking relapse. We have shown that signaling through the CB1R located in GABAergic neurons is crucial for the cognitive impairments associated with nicotine withdrawal (Article 1). Moreover, we found that structural plasticity changes in CA1 pyramidal neurons in the HPC underlie the cognitive deficits of nicotine abstinence (Article 1). Furthermore, we have revealed for the first time that inflammatory processes are associated with the cognitive deficits that characterize early nicotine abstinence (Article 2). Given the role of microglia in synaptic plasticity, it is hypothesized that the increased microglial reactivity observed during nicotine abstinence (Article 2) could be mediating the alteration of structural plasticity that underlies the cognitive deficits of nicotine withdrawal (Article 1) (Figure 23). Therefore, blockade of inflammatory processes might be an interesting therapeutic strategy to ameliorate the cognitive deficits of nicotine withdrawal. Indeed, we propose the study of the use of anti-inflammatory compounds as potential therapeutic strategy for nicotine dependence. In our pilot investigation, we have demonstrated that the NSAID indomethacin is effective in normalizing memory performance during nicotine withdrawal. However, the use of indomethacin has been associated with a number of side effects including gastrointestinal erosions, and renal and hepatic insufficiency (Süleyman *et al*, 2007). Therefore, the use of other NSAIDs to with similar therapeutic but less side effects could be probably of better interest for such a purpose.

In addition, we studied the mechanisms involved in the pro-cognitive effect of acute nicotine administration, since this effect has been hypothesized to contribute to initial nicotine intake. Our results suggest that orexin signaling through both OX1R and OX2R participates in the improvement of memory induced by acute treatment with nicotine (Supplementary results). The improvement of memory induced by acute nicotine administration might be crucial to develop dependence in a population with preexisting cognitive deficits that find in smoking a way for alleviating their cognitive deficits.

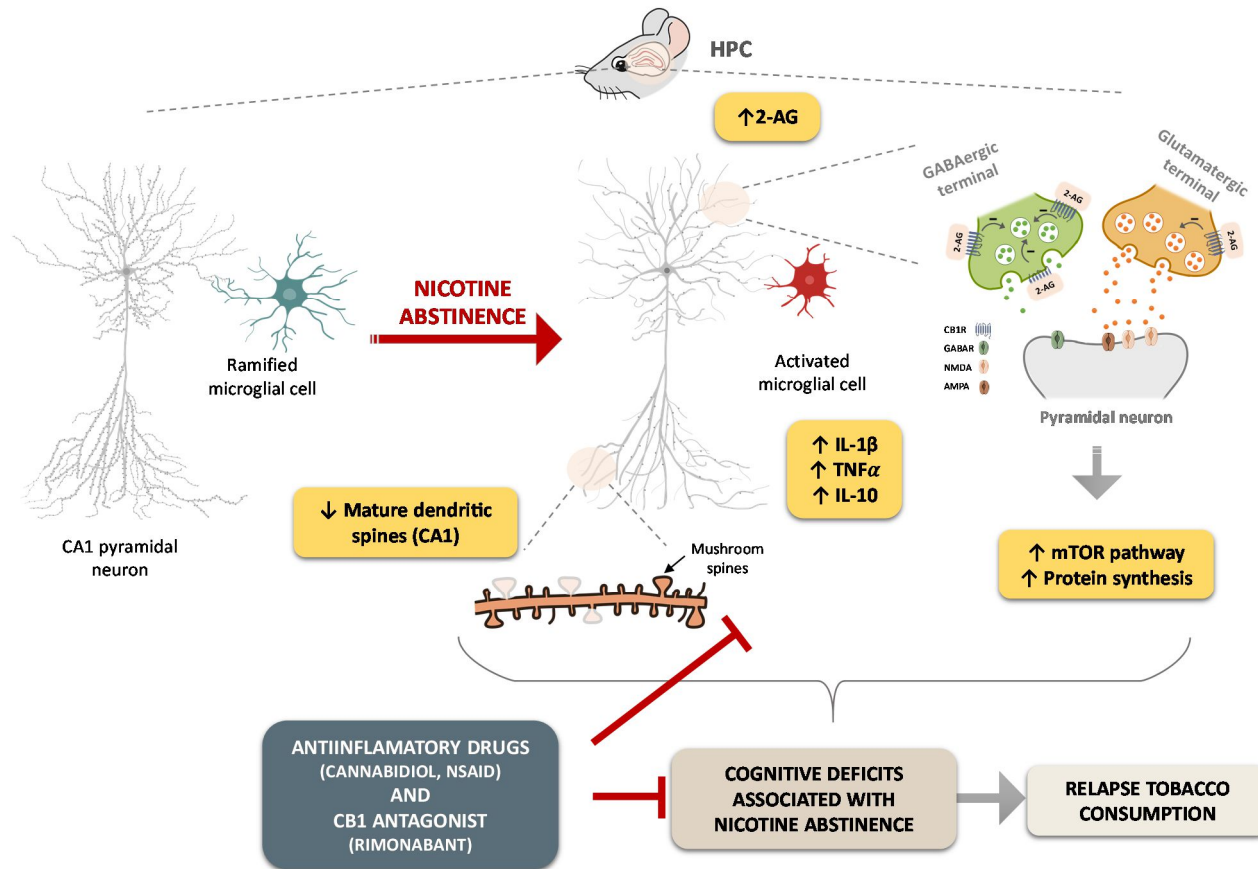
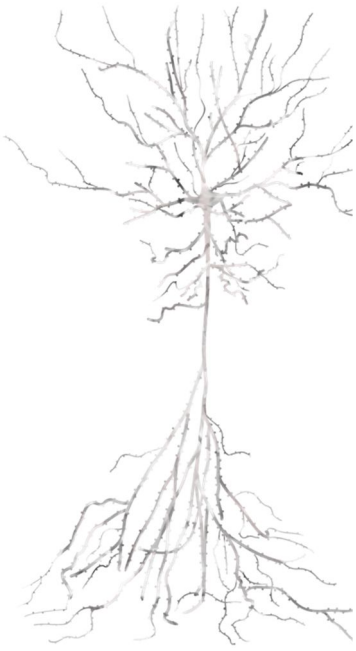


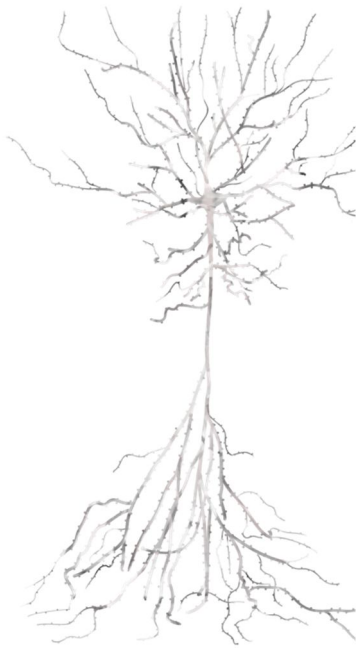
Figure 23. Schematic representation of the main mechanisms involved in the cognitive deficits associated with nicotine withdrawal assessed in this thesis. Precipitation of nicotine withdrawal results in an increase in 2-AG levels, that through activation of the CB1R in GABAergic neurons might generate an imbalance between excitatory and inhibitory signaling. The resulting increase in excitatory transmission could be responsible for the shift of microglia to a more reactive or “inflammatory” phenotype. Microglial activation also contributes to the inflammatory environment by increasing the release of proinflammatory molecules such as IL1- β and TNF α . On the other hand, activation of CB1R could increase activity in the mTOR pathway and produces an aberrant increase in protein synthesis, which could impact negatively on structural plasticity, contributing to the cognitive impairment observed during nicotine withdrawal. Indeed, a decrease in the density of mature spines was observed in CA1 pyramidal neurons of the hippocampus in abstinent mice. Given the role of microglia in synaptic and structural plasticity, an aberrant spine remodelling driven by activated microglia could be contributing to the reported reduced density of mature spines during nicotine abstinence. Restorage of normal microglia phenotype by anti-inflammatory drugs prevented memory impairment of nicotine withdrawal. Therefore, the use of anti-inflammatory agents to normalize cognition during early abstinence could represent a new therapeutic approach to treat nicotine dependence since cognitive deficits are related to increased risk of relapse to tobacco consumption in humans.



CONCLUSIONS

The findings revealed in the present thesis allow to draw the following conclusions:

1. The endocannabinoid system plays a key role in the cognitive deficits that arise during nicotine withdrawal. CB1R located in GABAergic neurons modulates the structural plasticity alterations and the cognitive deficits associated with nicotine withdrawal.
2. 2-AG seems to play divergent functional effects during nicotine abstinence. Thus, modulation of 2-AG levels induced opposite effects on the somatic signs and memory impairment revealed during withdrawal.
3. Administration of an inhibitor of the mTOR, an inhibitor of mGluR5, and cannabidiol administration blocked memory impairment without affecting somatic signs of nicotine withdrawal. These results suggest that different neurobiological mechanisms mediate somatic signs and cognitive deficits during nicotine withdrawal.
4. Precipitation of nicotine abstinence results in an increase of microglial reactivity and proinflammatory molecules in brain areas related with cognition, and a decrease in neurogenesis in the HPC. These data suggest that modulation of inflammation is a potential novel target for alleviating cognitive deficits of nicotine abstinence.
5. Subchronic treatment with cannabidiol and the NSAID indomethacin prevented the memory impairment observed during nicotine withdrawal through the modulation of microglial reactivity. Since the presence of cognitive alterations are associated with increased risk of smoking relapse, our results point to anti-inflammatory drugs as new potential therapeutic strategies for nicotine dependence.
6. The orexin system participates in the enhancement of the memory triggered by acute nicotine treatment. Signaling through both OX1R and OX2R contribute to the pro-cognitive effect of nicotine.



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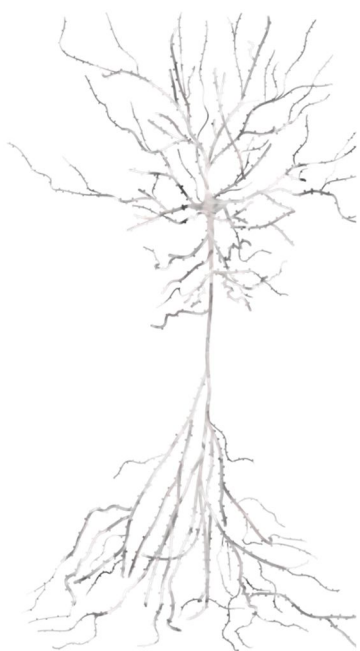
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ANNEX

ARTICLE 3

A Role for Hypocretin/Orexin Receptor-1 in Cue-Induced Reinstatement of Nicotine-Seeking Behavior

Ainhoa Plaza-Zabala, África Flores, Elena Martín-García, Rocío Saravia,
Rafael Maldonado, and Fernando Berrendero

Neuropsychopharmacology (2013) **38**:1724–1736

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ARTICLE 4

The Hypocretin/Orexin System Mediates the Extinction of Fear Memories

África Flores, Victòria Valls-Comamala, Giulia Costa, Rocío Saravia,
Rafael Maldonado and Fernando Berrendero

Neuropsychopharmacology (2014) **39**:2732–2741

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ARTICLE 5

Orexins and fear: implications for the treatment of anxiety disorders

África Flores, **Rocío Saravia**, Rafael Maldonado and Fernando Berrendero

Trends Neurosci. (2015) 38 (9): 550-559

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ARTICLE 6

**Concomitant THC and stress adolescent exposure induces
impaired fear extinction and related neurobiological changes in
adulthood**

Rocio Saravia, Marc Ten-Blanco, Marina Julià-Hernández, Humberto
Gagliano, Raúl Andero, Antonio Armario, Rafael Maldonado and
Fernando Berrendero

Neuropharmacology (under second revision)

Saravia R, Ten-Blanco M, Julià-Hernández M, Gagliano H, Andero R, Armario A, et al. [Concomitant THC and stress adolescent exposure induces impaired fear extinction and related neurobiological changes in adulthood.](#) *Neuropharmacology*. 2019 Jan 1;144:345–57. DOI: 10.1016/j.neuropharm.2018.11.016