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**Activation of pyramidal cells in rat medial prefrontal cortex projecting to ventral tegmental area by a 5-HT<sub>1A</sub> receptor agonist.**

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En aquest article mostrem que l'administració sistèmica de l'agonista del receptor de serotonina 5-HT<sub>1A</sub> BAY x 3702 incrementa l'activitat de les neurones piramidals de l'escorça prefrontal medial que projecten a l'àrea tegmental ventral.





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## 2 Activation of pyramidal cells in rat medial prefrontal cortex projecting 3 to ventral tegmental area by a 5-HT<sub>1A</sub> receptor agonist

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7

### 8 Abstract

9 5-HT<sub>1A</sub> receptor agonists increase the activity of dopamine (DA) neurons in the ventral tegmental area (VTA) and DA release in medial  
10 prefrontal cortex (mPFC). The mPFC is enriched in 5-HT<sub>1A</sub> receptors and projects to the VTA, where mesocortical dopaminergic neurons  
11 originate. We examined whether 5-HT<sub>1A</sub> receptor activation can modulate the activity of mPFC pyramidal neurons projecting to VTA. These  
12 were identified by antidromic stimulation from the VTA and were recorded extracellularly in anesthetized rats. The selective 5-HT<sub>1A</sub> agonist  
13 BAY × 3702 (10–80 µg/kg i.v.) increased the firing rate in 14/19 neurons (283 ± 79%) and reduced the activity of 5/19 neurons (22 ± 11%),  
14 resulting in an overall 2.2-fold increase of the firing rate. Both effects were blocked by the selective 5-HT<sub>1A</sub> antagonist WAY-100635. These  
15 results suggest that the increase in dopaminergic activity produced by 5-HT<sub>1A</sub> receptor activation can be driven by an increase in the activity  
16 of projection neurons in mPFC.

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18

19 *Keywords:* 5-HT<sub>1A</sub> receptors; Extracellular recordings; Prefrontal cortex; Pyramidal neurons; Ventral tegmental area

20

### 21 1. Introduction

22 The mesolimbic and mesocortical dopamine (DA)  
23 systems arising from the ventral tegmental area (VTA) are  
24 deeply involved in a large number of brain functions,  
25 including cognition, memory, reward and behavioural  
26 control (Glowinski et al., 1984; Williams and Goldman-  
27 Rakic, 1995; Robbins, 2000; Tzschentke and Schmidt,  
28 2000; Schultz, 2004). Derangements of these ascending  
29 systems likely occur in schizophrenia (Carlsson, 1988;  
30 Weinberger et al., 1994; Laruelle et al., 1996; Abi-Dargham  
31 et al., 2001), a disease whose classical treatment is based on  
32 the blockade of DA actions on D2 receptors (Seeman and  
33 Lee, 1975). However, more recent drugs (atypical anti-  
34 psychotics) display a low affinity for D2 receptors and  
35 preferentially occupy serotonergic 5-HT<sub>2A</sub> receptors in brain

(Nyberg et al., 1998) for which they possess a high in vitro  
affinity (Meltzer, 1999).

Interestingly, atypical (but not conventional) antipsy-  
chotics increase DA release in medial prefrontal cortex  
(mPFC) by a mechanism dependent on the activation of 5-  
HT<sub>1A</sub> receptors (Rollema et al., 1997, 2000; Kuroki et al.,  
1999; Rollema et al., 2000; Ichikawa et al., 2001), despite  
this they display negligible in vitro affinity for such  
receptors (Newman-Tancredi et al., 2003) (see however  
Chou et al., 2003). This has raised the interest in 5-HT<sub>1A</sub>  
receptors as potential targets for new antipsychotic drugs  
(Millan, 2000; Bantick et al., 2001). In parallel with these  
effects of atypical antipsychotics, presumably mediated by  
indirect 5-HT<sub>1A</sub> receptor activation, the systemic adminis-  
tration of selective 5-HT<sub>1A</sub> receptor agonists increases DA  
neuron activity and DA release in prefrontal cortex (PFC)  
(Arborelius et al., 1993; Lejeune and Millan, 1998, 2000;  
Sakaue et al., 2000).

The activity of mesocortical DA neurons is controlled,  
among other areas, by the mPFC, which projects to VTA  
(Thierry et al., 1979, 1983; Tong et al., 1996, 1998; Carr and

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57 Sesack, 2000a,b). Additionally, the PFC may control the  
58 activity of VTA neurons indirectly, through the pedunculo-  
59 pontine tegmental nucleus (glutamatergic/cholinergic inputs)  
60 and the nucleus accumbens-ventral pallidum pathway  
61 (GABA inputs), among others (Tzschenke and Schmidt,  
62 2000; Sesack et al., 2003; Adell and Artigas, 2004). These  
63 inputs control, respectively, phasic and tonic changes in the  
64 activity of DA neurons (Floresco et al., 2003).

65 Recent data from this laboratory indicate that 50–60% of  
66 the pyramidal neurons and 20–30% of the GABAergic  
67 interneurons in mPFC express 5-HT<sub>1A</sub> receptors (Santana et al.,  
68 2004) in close overlap with projection neurons to VTA  
69 (Thierry et al., 1979, 1983; Sesack et al., 2003). Based on  
70 these results and on previous evidence suggesting a 5-HT<sub>1A</sub>  
71 modulation of dopaminergic neurons (see above), we  
72 conducted the present study under the working hypothesis  
73 that the activation of 5-HT<sub>1A</sub> receptors may alter the activity  
74 of prefrontal inputs to midbrain, resulting in a subsequent  
75 change in dopaminergic activity. Hence, we examined the  
76 actions of the highly selective and potent 5-HT<sub>1A</sub> receptor  
77 agonist BAY × 3702 on the activity of pyramidal neurons in  
78 the medial PFC (mPFC) projecting to the VTA.

## 79 2. Experimental procedures

### 80 2.1. Animals

81 Male albino Wistar rats (230–300 g; Iffa Credo, Lyon,  
82 France) were kept in a controlled environment (12 h light–  
83 dark cycle and 22±2 °C room temperature) with food and  
84 water provided ad libitum. Animal care followed the  
85 European Union regulations (O.J. of E.C. L358/1 18/12/  
86 1986) and was approved by the Institutional Animal Care  
87 and Use Committee. Stereotaxic coordinates (in mm) were  
88 taken from bregma and duramater according to the atlas of  
89 Paxinos and Watson (1986).

### 90 2.2. Drugs

91 WAY-100635 was from RBI (Natick, MA). BAY × 3702  
92 (De Vry et al., 1988) was kindly provided by BAYER AG.  
93 Concentrated stock solutions were prepared and aliquots  
94 were stored at –80 °C. Working solutions were prepared  
95 daily by dilution. BAY × 3702 was administered i.v. at 10–  
96 80 µg/kg (free base) and WAY-100635 at the dose of 30–50  
97 µg/kg i.v. Drugs were dissolved in saline at the appropriate  
98 concentrations and injected (up to 1 ml/kg) through the  
99 femoral vein.

### 100 2.3. Single unit recordings

101 We examined the responses elicited in pyramidal neurons  
102 of the mPFC by the systemic administration of BAY × 3702  
103 in anesthetized rats. Recordings were made essentially as  
104 described in Puig et al. (2003). Rats were administered

chloral hydrate (400 mg/kg i.p.) and positioned in a David 105  
Kopf stereotaxic frame. Additional doses of chloral hydrate 106  
(80 mg/kg) were administered i.v. through the femoral vein. 107  
Typically, recordings were made between 10 and ~45 min 108  
after additional doses of anesthetic to avoid the effects of 109  
peak concentrations of chloral hydrate during recordings. 110  
Body temperature was maintained at 37 °C throughout the 111  
experiment with a heating pad. In order to minimize 112  
pulsation, the atlanto-occipital membrane was punctured 113  
to release some CSF. 114

Bipolar stimulating electrodes consisted of two stainless 115  
steel enamel-coated wires (California Fine Wire, Grover 116  
Beach, CA) with a diameter of 150 µm and a tip separation 117  
of ~100 µm and in vitro impedances of 10–30 KΩ. 118  
Stimulating electrodes were stereotaxically implanted in the 119  
VTA (AP –6.0, L –0.5, DV –8.2). After implant, the 120  
electrodes were secured to the skull with glue and dental 121  
cement. Constant current electrical stimuli were generated 122  
with a Grass stimulation unit S-48 connected to a Grass SIU 123  
5 stimulus isolation unit. Stimulating current was typically 124  
between 0.1 and 1.7 mA, 0.2 ms square pulses at 0.9 Hz. 125

Pyramidal neurons were recorded extracellularly with 126  
glass micropipettes pulled from 2.0-mm capillary glass 127  
(WPI, Sarasota, FL) on a Narishige PE-2 pipette puller 128  
(Narishige Sci. Inst., Tokyo, Japan). Microelectrodes were 129  
filled with 2 M NaCl. Typically, in vitro impedance was 130  
between 4 and 10 MΩ. Single unit extracellular recordings 131  
were amplified with a Neurodata IR283 (Cygnus Technol- 132  
ogy Inc., Delaware Water Gap, PA), postamplified and 133  
filtered with a Cibertec amplifier (Madrid, Spain) and 134  
computed on-line using a DAT 1401plus interface system 135  
Spike2 software (Cambridge Electronic Design, Cambridge, 136  
UK). Descents in mPFC were carried out at AP +3.2–3.4, L 137  
–0.5 to –1.0, DV –1.0 to –4.0 below the brain surface. 138  
We systematically confirmed that only a single pyramidal 139  
neuron was recorded by a) identification by antidromic 140  
activation from VTA and b) collision extinction with 141  
spontaneously occurring spikes (Fuller and Schlag, 1976). 142  
Neurons without antidromic activation or without spontane- 143  
ous firing activity were not considered. After the identifica- 144  
tion of a pyramidal neuron antidromically activated from the 145  
VTA, basal firing activity was recorded for 5 min and then, 146  
increasing doses of BAY × 3702 were administered i.v., 147  
followed by WAY-100635 when appropriate. 148

At the end of the experiments, rats were killed by an 149  
overdose of anesthetic. The placement of the stimulating 150  
electrodes was verified histologically. Rats were transcar- 151  
dially perfused with saline followed by 10% formalin 152  
solution (Sigma). Brains were post-fixed, coronally sectioned 153  
(80 µm) and stained with Neutral Red. The data from rats with 154  
electrodes implanted outside the VTA were not used. 155

### 2.4. Data and statistical analysis 156

Changes in firing rate were quantified by averaging the 157  
values in the second minute after each BAY × 3702 158

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159 injection. The effects of BAY × 3702 and WAY-100635  
 160 were assessed by one-way repeated measures ANOVA. Data  
 161 are expressed as the mean ± SEM. Statistical significance has  
 162 been set at the 95% confidence level (two tailed).

163 **3. Results**

164 Fig. 1 shows the identification of a pyramidal neuron by  
 165 the antidromic stimulation from the VTA. A total of 19  
 166 pyramidal neurons were recorded that projected to the VTA,  
 167 whose approximate location is shown in Fig. 1. The mean  
 168 latency of antidromic responses induced by VTA stimula-  
 169 tion was  $9.5 \pm 1.2$  ms ( $n=19$ ), a value falling within the  
 170 range previously reported values for cortical pyramidal  
 171 neurons projecting to VTA (Thierry et al., 1983; Pirot et al.,  
 172 1992; Puig et al., 2003).

173 Baseline firing rate of the recorded pyramidal neurons  
 174 was  $3.3 \pm 0.7$  spikes/s ( $n=19$ ). The administration of  
 175 BAY × 3702 exerted two opposite effects on the firing rate

of pyramidal neurons. Fourteen of the recorded units were  
 excited by BAY × 3702 administration whereas the rest  
 ( $n=5$ ) were inhibited. Baseline firing rate did not differ  
 between the two groups ( $3.8 \pm 0.9$  vs.  $1.8 \pm 0.7$  spikes/s for  
 neurons excited and inhibited by BAY × 3702, respectively;  
 $p=0.22$ ; Student's *t*-test). Likewise, there was no difference  
 in the range of doses that excited and inhibited pyramidal  
 neurons although excitatory effects were often observed at  
 lower doses ( $10\text{--}20$   $\mu\text{g}/\text{kg}$  i.v.). Fig. 2 show representative  
 examples of pyramidal neurons excited and inhibited by  
 BAY × 3702, respectively.

Of the excited neurons, BAY × 3702 had a very marked  
 effect on a subgroup of 10 neurons and a moderate effect on  
 4 other neurons. On average, excited neurons increased their  
 firing rate from  $3.8 \pm 0.9$  (baseline) to  $6.0 \pm 1.1$  ( $10$   $\mu\text{g}/\text{kg}$   
 i.v.),  $7.3 \pm 1.3$  ( $20$   $\mu\text{g}/\text{kg}$  i.v.) and  $6.0 \pm 1.1$  spikes/s ( $40\text{--}80$   
 $\mu\text{g}/\text{kg}$  i.v.) ( $n=14$ ;  $p<0.001$ , repeated measures ANOVA)  
 (Figs. 2 and 3). The effect of the maximal dose administered  
 ( $40\text{--}80$   $\mu\text{g}/\text{kg}$  i.v.) was slightly lower than that of  $20$   $\mu\text{g}/\text{kg}$   
 i.v.

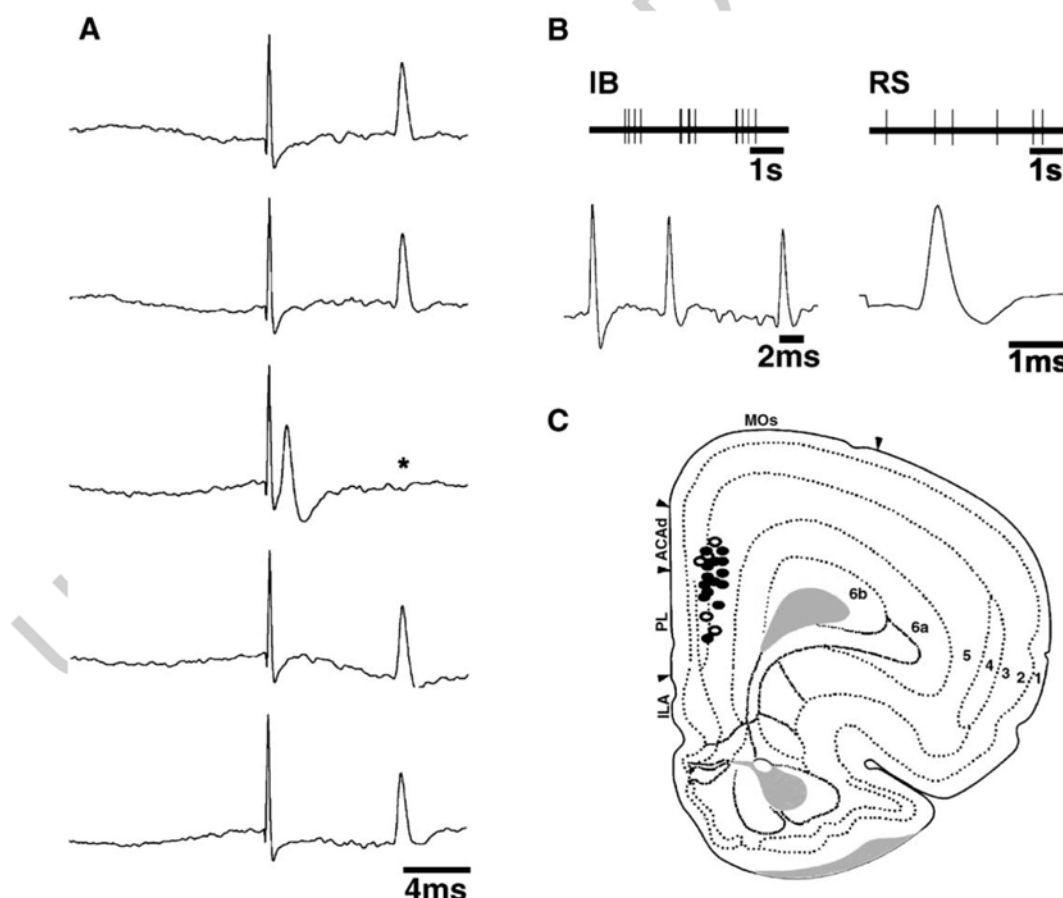


Fig. 1. Extracellular recordings of pyramidal neuron in mPFC. A) Identification of a pyramidal projection neuron by antidromic stimulation from the ventral tegmental area (VTA). The asterisk denotes an antidromic spike missing due to collision with ongoing spontaneous action potentials. B) Representative spikes and firing patterns of projection neurons in mPFC exhibiting regular mode of firing (regular spiking, RS) or burst firing (inactivating burst firing, IB) (Dégénétais et al., 2002). C) Section drawing taken from Swanson (1998) showing the localization of the neurons recorded in the cingulate and prelimbic areas of a frontal section of the rat brain at +3.2 mm from bregma. Black and open dots show, respectively, the location of neurons excited and inhibited by BAY × 3702 administration.

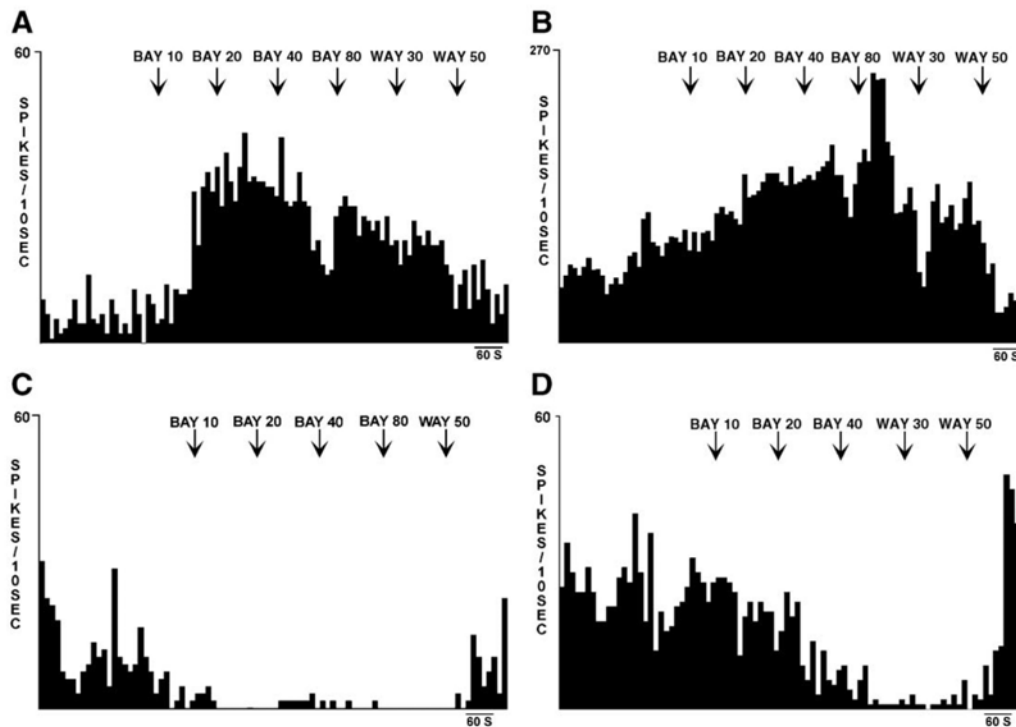


Fig. 2. Integrated firing rate histograms showing the effect of the intravenous administration of BAY  $\times$  3702 on mPFC pyramidal neurons projecting to VTA. (A, B) These neurons responded to the administration of cumulative doses of BAY  $\times$  3702 (10–80  $\mu$ g/kg i.v.) with an increase in firing rate. The effect of BAY  $\times$  3702 was antagonized by the administration of the 5-HT<sub>1A</sub> receptor antagonist WAY-100635 (30–50  $\mu$ g/kg i.v.). (C, D) Representative examples of inhibitory effects of the same dose of BAY  $\times$  3702 on pyramidal neurons of the mPFC. The two units shown in C–D had their firing rate totally suppressed by the administration of BAY  $\times$  3702 (10–80  $\mu$ g/kg). The administration of WAY-100635 (30–50  $\mu$ g/kg i.v.) completely reversed the inhibitory effect of BAY  $\times$  3702. Arrows mark the time of drug administration (cumulative doses).

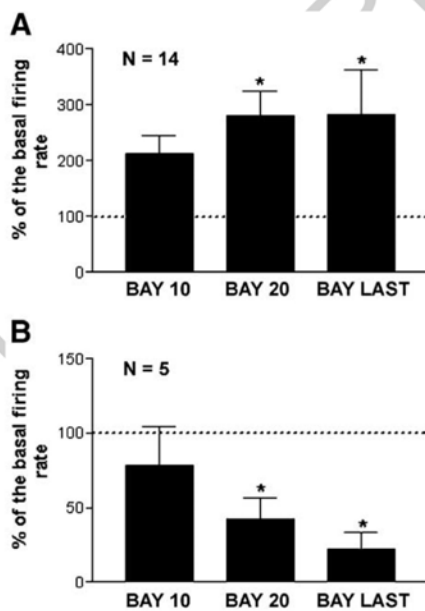


Fig. 3. Summary of the effects of BAY  $\times$  3702 on the firing rate of mPFC pyramidal neurons projecting to VTA. BAY  $\times$  3702 excited a subgroup of mPFC neurons ( $n=14$ ; panel A) and inhibited the rest ( $n=5$ ; panel B). \* $p<0.05$  versus baseline;  $t$ -test post-ANOVA. BAY LAST denotes the last dose administered to each rat; either 40 or 80  $\mu$ g/kg, depending on the magnitude of the effect attained.

Similarly, at the same doses, BAY  $\times$  3702 reduced the firing rate of 5 pyramidal neurons, from  $1.8 \pm 0.7$  (baseline) to  $1.6 \pm 0.9$  (10  $\mu$ g/kg i.v.),  $1.1 \pm 0.8$  (20  $\mu$ g/kg i.v.) and  $0.7 \pm 0.5$  spikes/s (40–80  $\mu$ g/kg i.v.) ( $n=5$ ;  $p<0.04$  repeated measures ANOVA) (Figs. 2 and 3). Considering all neurons ( $n=19$ ), BAY  $\times$  3702 increased the firing rate of pyramidal neurons to  $218 \pm 41\%$  of baseline (at 20  $\mu$ g/kg i.v.).

The suppressant effect of BAY  $\times$  3702 was reversed by the subsequent administration of the 5-HT<sub>1A</sub> antagonist WAY-100635 (30–50  $\mu$ g/kg i.v.) in all neurons where reversal was attempted (from  $22 \pm 11\%$  to  $159 \pm 35\%$  of baseline;  $n=5$ ;  $p<0.03$ ; paired Student's  $t$ -test) (Fig. 2). We attempted to reverse the excitatory effect of BAY  $\times$  3702 in 7 neurons which were markedly activated by BAY  $\times$  3702. In 4 of them, WAY-100635 administration (up to 80  $\mu$ g/kg i.v.) completely reversed the increase in firing produced by BAY  $\times$  3702 (from  $288 \pm 61\%$  to  $104 \pm 30\%$  of baseline,  $p<0.01$ ).

#### 4. Discussion

The present study shows that the selective 5-HT<sub>1A</sub> receptor agonist BAY  $\times$  3702 exerts two opposite effects on the firing rate of pyramidal neurons in the mPFC

218 projecting to VTA. All neurons examined were affected by  
 219 systemic BAY × 3702 administration: nearly 75% were  
 220 excited whereas the rest were inhibited. Since all recorded  
 221 neurons were identified by antidromic activation from the  
 222 VTA, the present results suggest that BAY × 3702 may  
 223 modulate the activity of DA neurons in the VTA through a  
 224 change in the pyramidal output to this midbrain structure.  
 225 This is in accordance with recent observations indicating  
 226 that BAY × 3702 increased the firing rate and burst firing of  
 227 DA neurons in the VTA, and this effect was abolished by  
 228 cortical transection (Díaz-Mataix et al., submitted for  
 229 publication).

230 Both effects of BAY × 3702 were sensitive to the  
 231 administration of the selective 5-HT<sub>1A</sub> receptor antagonist  
 232 WAY-100635. This, together with the very high selectivity  
 233 of this agent for 5-HT<sub>1A</sub> receptors, supports the exclusive  
 234 involvement of 5-HT<sub>1A</sub> receptors in the observed effects.  
 235 Indeed, the in vitro affinity of BAY × 3702 for 5-HT<sub>1A</sub>  
 236 receptors is more than one and two orders of magnitude  
 237 higher than for α-adrenoceptors and DA D2 receptors,  
 238 respectively (De Vry et al., 1998). The inhibitory effect of  
 239 BAY × 3702 was completely reversed by WAY-100635 in  
 240 all neurons tested (*n* = 5) whereas the excitatory effect was  
 241 antagonized in 4 out of 7 neurons. Although the maximal  
 242 dose of WAY-100635 used to antagonize both effects was  
 243 similar (up to 80 μg/kg i.v.), we cannot exclude the  
 244 possibility that higher doses were required to reverse the  
 245 excitatory effect of BAY × 3702, in as much as it may  
 246 involve an action at receptors different from those respon-  
 247 sible for inhibitory effects (see below). Likewise, it may be  
 248 that BAY × 3702 triggers a long-lasting effect on pyramidal  
 249 neurons beyond the initial activation of 5-HT<sub>1A</sub> receptors.

250 5-HT<sub>1A</sub> receptors are located on 5-HT neurons in the  
 251 midbrain raphe nuclei, where they function as autoreceptors  
 252 (Sprouse and Aghajanian, 1986, 1987; Blier and de  
 253 Montigny, 1987) and in cortical and limbic areas (Pom-  
 254 peiano et al., 1992). In particular, the mPFC contains a high  
 255 density of cells expressing 5-HT<sub>1A</sub> receptors (Pompeiano et  
 256 al., 1992; Amargós-Bosch et al., 2004). Recent studies show  
 257 that a large percentage (~50–60%) of pyramidal neurons  
 258 (as labelled by the vGLUT1 mRNA) and ~20% of  
 259 GABAergic interneurons (as labelled by GAD mRNA) in  
 260 mPFC express 5-HT<sub>1A</sub> receptors, in the area where the  
 261 present recordings were made (Santana et al., 2004). Earlier  
 262 electrophysiological studies have shown that the micro-  
 263 iontophoretic application of 5-HT or 5-HT<sub>1A</sub> agonists  
 264 suppressed the firing activity of serotonergic as well as  
 265 cortical and hippocampal pyramidal neurons (Sprouse and  
 266 Aghajanian, 1986, 1987, 1988; Blier and de Montigny,  
 267 1987; Ashby et al., 1994). Likewise, the stimulation of the  
 268 dorsal and median raphe nuclei at physiological rates  
 269 inhibited pyramidal neurons in mPFC, through the activa-  
 270 tion of 5-HT<sub>1A</sub> receptors (Hajós et al., 2003; Amargós-  
 271 Bosch et al., 2004; Puig et al., 2005). Moreover, the local  
 272 activation of 5-HT<sub>1A</sub> receptors in mPFC by BAY × 3702 or  
 273 the prototypical 5-HT<sub>1A</sub> agonist 8-OH-DPAT reduced local

5-HT release (Casanovas et al., 1999; Amargós-Bosch et al., 274  
 2004) by an effect presumably resulting from inhibition of 275  
 cortical excitatory inputs to the dorsal raphe nucleus (Celada 276  
 et al., 2001). All this previous evidence is consistent with 277  
 the well-known inhibitory action of 5-HT and 5-HT<sub>1A</sub> 278  
 receptor agonists on cells expressing 5-HT<sub>1A</sub> receptors 279  
 coupled to GiRK channels (Andrade et al., 1986; Williams 280  
 et al., 1988; Araneda and Andrade, 1991; Van den Hooff 281  
 and Galvan, 1992; Corradetti et al., 1996; Ehrenguber et 282  
 al., 1997; Schmitz et al., 1998). However, the systemic 283  
 administration of 8-OH-DPAT moderately increased the 284  
 firing of prefrontal cells (Borsini et al., 1995; Hajós et al., 285  
 1999) and induced *c-fos* expression in mPFC (Hajós et al., 286  
 1999). 287

288 The suppressant action of BAY × 3702 on pyramidal cell 289  
 firing observed herein is consistent with these previous 290  
 observations and most likely reflects the direct activation of 291  
 5-HT<sub>1A</sub> receptors in the recorded neurons, i.e. inhibited 292  
 neurons would be those expressing 5-HT<sub>1A</sub> receptors. 293  
 However, the proportion of inhibited neurons is lower than 294  
 that of neurons expressing 5-HT<sub>1A</sub> receptor mRNA in 295  
 mPFC (Amargós-Bosch et al., 2004; Santana et al., 2004). 296  
 This difference may perhaps be due to the fact that recorded 297  
 neurons projected to the VTA and may not be representative 298  
 of the general population in PFC. 299

300 5-HT<sub>1A</sub> receptors may be located in the axon hillock of 301  
 cortical pyramidal neurons, a crucial compartment for the 302  
 generation of nerve impulses (De Felipe et al., 2001; Czyrak 303  
 et al., 2003; Cruz et al., 2004). However, as previously 304  
 observed for 8-OH-DPAT on putative pyramidal neurons 305  
 (Borsini et al., 1995; Hajós et al., 1999), BAY × 3702 306  
 predominantly excited mPFC pyramidal neurons projecting 307  
 to VTA, an effect which cannot be attributable to the 308  
 activation of 5-HT<sub>1A</sub> receptors on the recorded neurons. 309  
 Both effects occurred within the same dose range and were 310  
 sensitive to WAY-100635, as previously observed for 8-OH- 311  
 DPAT (Hajós et al., 1999). 312

313 Given the inhibitory nature of 5-HT<sub>1A</sub> receptors, the 314  
 BAY × 3702-induced excitations may actually be disinhibi- 315  
 tions mediated by 5-HT<sub>1A</sub> receptors in other cells or brain 316  
 areas controlling the activity of the recorded neurons. The 317  
 widespread distribution of 5-HT<sub>1A</sub> receptors in rat brain 318  
 opens several possibilities. A first possibility is that the 319  
 excitatory effect resulted from the suppression of seroton- 320  
 ergic activity produced by BAY × 3702 (Dong et al., 1998; 321  
 Casanovas et al., 2000) and a subsequent drop of the 5-HT 322  
 tone on prefrontal inhibitory receptors controlling pyramidal 323  
 activity (e.g. 5-HT<sub>2A</sub> and 5-HT<sub>3</sub> receptors located on 324  
 GABAergic interneurons; Tanaka and North, 1993; Zhou 325  
 and Hablitz, 1999; Puig et al., 2004; Santana et al., 2004). 326  
 However, the ED<sub>50</sub> for the suppression of serotonergic cell 327  
 firing in the dorsal raphe nucleus is 0.5–1 μg/kg i.v. and full 328  
 suppression of firing occurred at 2–4 μg/kg i.v. (Dong et al., 329  
 1998; Casanovas et al., 2000). This dose is below the 330  
 threshold to modulate pyramidal cell activity (>10 μg/kg 331  
 i.v.) which suggests the additional involvement of postsyn- 332

330 aptic 5-HT<sub>1A</sub> receptors, which exhibit a lower sensitivity to  
 331 agonists (Sprouse and Aghajanian, 1988). In parallel with  
 332 the present results, the dose of 8-OH-DPAT suppressing the  
 333 activity of 5-HT neurons is more than one order of  
 334 magnitude lower than that activating VTA DA neurons  
 335 (Lejeune et al., 1997). However, the putative involvement of  
 336 presynaptic 5-HT<sub>1A</sub> autoreceptors in the activation of  
 337 pyramidal neurons should be assessed in more detail using  
 338 5-HT-depleted animals, as examined in VTA DA neurons  
 339 (Prisco et al., 1994).

340 The excitatory effect of BAY × 3702 might also result  
 341 from the activation of 5-HT<sub>1A</sub> receptors in mPFC GABAergic  
 342 neurons (Santana et al., 2004), resulting in the  
 343 suppression of local inhibitory inputs (Fig. 4). The putative  
 344 involvement of a GABA<sub>A</sub> receptor-mediated disinhibitions  
 345 is consistent with the more marked effects of GABA<sub>A</sub>  
 346 receptors (increasing  $g_{Cl}$ ) compared with 5-HT<sub>1A</sub> receptors  
 347 (increasing  $g_K$ ) on neuronal activity. Hence, GABA<sub>A</sub>-  
 348 mediated disinhibitions may overcome the inhibitory effect  
 349 produced by the direct activation of 5-HT<sub>1A</sub> receptors on the  
 350 recorded neurons.

351 Likewise, BAY × 3702 may modulate the activity of PFC  
 352 pyramidal neurons distally, through the activation of 5-  
 353 HT<sub>1A</sub> receptors in other brain areas anatomically or  
 354 functionally related to the PFC (Groenewegen and Uylings,  
 355 2000). Among these, the hippocampal formation may play  
 356 an important role since i) the entire hippocampal formation  
 357 and afferent areas such as the entorhinal cortex and septum  
 358 are very rich in 5-HT<sub>1A</sub> receptors (Pompeiano et al., 1992),

359 ii) the CA1 subfield and the subiculum project via a direct  
 360 pathway to the mPFC, including the prelimbic area where  
 361 present recordings were made (Swanson, 1981; Jay et al.,  
 362 1989; Gabbott et al., 2002), and iii) pyramidal neurons from  
 363 CA1 and subiculum control the activity of pyramidal  
 364 neurons in mPFC (Thierry et al., 2000; Tierney et al.,  
 365 2004) either directly or through GABAergic interneurons  
 366 (Gabbott et al., 2002; Tierney et al., 2004). Interestingly,  
 367 unlike those in mPFC, hippocampal pyramidal cells respond  
 368 invariably with inhibitions to both the local and systemic  
 369 administration of 5-HT<sub>1A</sub> receptor agonists (Sprouse and  
 370 Aghajanian, 1988; Romero et al., 1996; Tada et al., 1999).  
 371 The putative circuitry involved in these effects is schemati-  
 372 cally shown in Fig. 4. The excitatory (or disinhibitory)  
 373 effect of BAY × 3702 on mPFC pyramidal neurons might  
 374 therefore be produced by an inhibitory effect on CA1/  
 375 subiculum neurons projecting to GABAergic interneurons  
 376 in mPFC. In support of this assumption, Tierney et al.  
 377 (2004) reported that 70% of identified GABAergic neurons  
 378 in mPFC responded with single action potentials or bursts to  
 379 single pulse stimulation of CA1/subiculum. When pairs of  
 380 neurons (pyramidal and GABAergic) were occasionally  
 381 recorded, a rapid, monosynaptic excitation of the GABAergic  
 382 neuron together with a prolonged inhibition of the  
 383 pyramidal neuron were observed (Tierney et al., 2004).

384 In common with other selective 5-HT<sub>1A</sub> receptor agonists  
 385 (Arborelius et al., 1993; Lejeune et al., 1997; Lejeune and  
 386 Millan, 1998, 2000; Sakaue et al., 2000), the systemic  
 387 administration of BAY × 3702 (10–40 μg/kg i.v.) increased  
 388

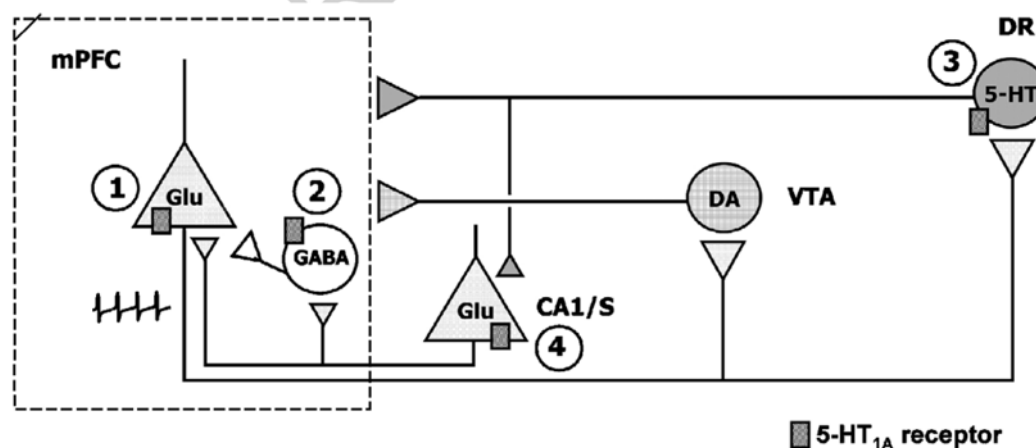


Fig. 4. Schematic representation of putative sites of action of BAY × 3702. (1) Inhibitory effects are likely mediated by a direct action on pyramidal neurons expressing 5-HT<sub>1A</sub> receptors. (2–4) Excitatory effects may possibly be accounted for by an action at various sites in brain. On the one hand, activation of 5-HT<sub>1A</sub> receptors on GABA interneurons in mPFC (Santana et al., 2004) may disinhibit pyramidal neurons from local GABA inputs (2). Likewise, activation of 5-HT<sub>1A</sub> receptors in serotonergic cell bodies reduces serotonergic tone on postsynaptic receptors putatively involved in the control of pyramidal neurons in mPFC (3). The latter may include terminal 5-HT<sub>1B</sub> heteroreceptors as well as 5-HT<sub>2A</sub> and 5-HT<sub>3</sub> receptors on GABAergic neurons, whose activation by 5-HT inhibits pyramidal cell activity (Tanaka and North, 1993; Zhou and Hablitz, 1999). Finally, BAY × 3702 may increase pyramidal activity by an action at postsynaptic receptors located in distal areas projecting to mPFC, such as the hippocampal formation and afferent areas (4). 5-HT<sub>1A</sub> agonists have been shown to suppress the activity of CA1 pyramidal neurons after systemic administration (Tada et al., 1999). Since the CA1/subiculum sends excitatory afferents to PFC, a similar action would be expected in cortical pyramidal neurons. However, a recent study reported that 70% of the GABAergic interneurons in the mPFC were excited monosynaptically by CA1/subiculum. This raises the possibility that pyramidal neurons in mPFC can be disinhibited after the suppression of firing of CA1/subiculum neurons produced by the 5-HT<sub>1A</sub> agonist.



388 DA cell firing and DA release in mPFC (Díaz-Mataix et al.,  
389 submitted for publication). Here we show that BAY × 3702  
390 induced an overall increase of the activity of pyramidal  
391 neurons in mPFC projecting to VTA at the same doses.  
392 Although no definite causal evidence can be drawn from  
393 these results, this parallelism suggests that the change in DA  
394 cell activity is driven by the observed effects in mPFC  
395 projection neurons.

396 In summary, we show that the exogenous activation of 5-  
397 HT<sub>1A</sub> receptors by BAY × 3702 exerts a biphasic effect on  
398 the activity of prefrontal pyramidal neurons projecting to  
399 VTA, with a marked overall increase in activity. Given the  
400 similarity of actions of 5-HT<sub>1A</sub> agonists and atypical  
401 antipsychotics on the mesocortical DA system, the present  
402 results may help to elucidate the neurobiological mecha-  
403 nisms involved in the elevation of DA release produced by  
404 the latter agents.

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